

Rock Mechanics and Tunneling
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Lecture 15
Mechanical Properties

Hello everyone, I welcome all of you to the fourth lecture of module 3. So, in Module 3, we were discussing about physical mechanical properties. In initial 3 lectures, we have covered the physical properties. Now, today we will start discussing about the mechanical properties of intact rock and rock mass.

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So, primarily we will discuss about mechanical properties and under that this week's Hooke's law is very important. So, we will a little bit focus on this part Hooke's law. So, but the main topic we will discuss is about the mechanical properties of rock.


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Mechanical Properties

The mechanical properties indicate the behavior of the rock subjected to loading.

Some of the mechanical properties are

- 1) Elastic Modulus
- 2) Poisson's ratio
- 3) Compressive strength
- 4) Tensile strength
- 5) Point load strength
- 6) Shear strength



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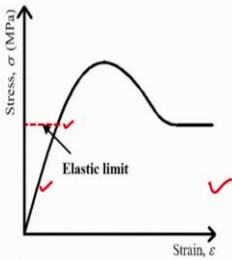
So, now, just as I have stated earlier that mechanical properties indicate the behavior of rock subjected to loading. So, that is already we have discussed in our initial class of this module. So, now, some of the mechanical properties about which we should have some idea are like elastic modulus, then Poisson's ratio, then we should also discuss about the compressive strength also the tensile strength then the point load strength, point load strength also definitely we should discuss about the shear strength.

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Mechanical Properties (contd...)

Hooke's Law

- The stress (σ) is directly proportional to the strain (ϵ).
 $\sigma = E\epsilon$
- The law is valid only up to the elastic limit of the material
- This relation is known as Hooke's law, after Robert Hooke (1635 – 1703)
- E = Modulus of elasticity of the material or Young's modulus



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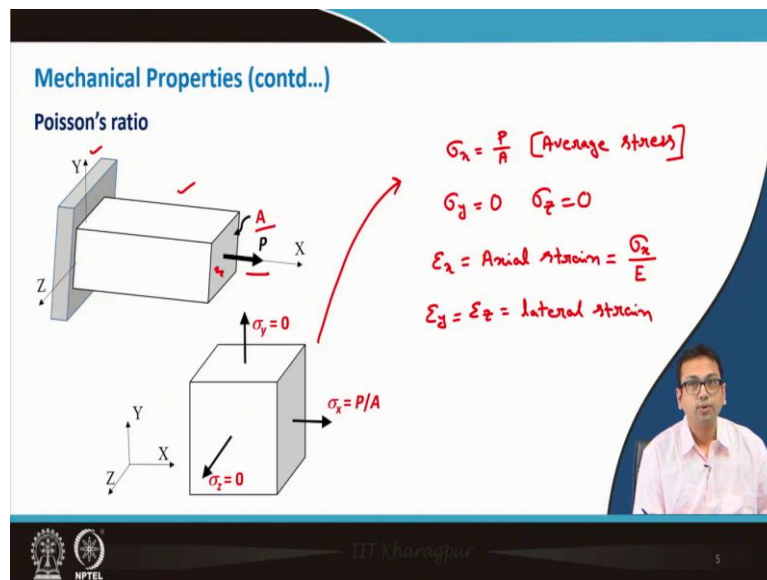
So, let us start discussing about the Hooke's law. About Hooke's law all of us are familiar with this law, in school days also you have learned in your undergraduate also must have

learned about it, but it is very much important. So, I think we should little bit discuss about this topic.

So, so, it is a typical stress strain plots if we consider now, suppose this is my elastic limit means stress is proportional to strain here. So, as you can see this portion is almost linear. So, the law is valid only up to the elastic limit of the material, we know that and this relationship that is stress is proportional to strain and if you write in this form stress is equal to E into epsilon, this is my stress and this is my strain is nothing but my Young's modulus.

So, this relationship is known as Hooke's law and this is after the name of Robert Hooke, as we know E is nothing but the modulus of elasticity of the material or the Young's modulus. So, this is something we know quite well.

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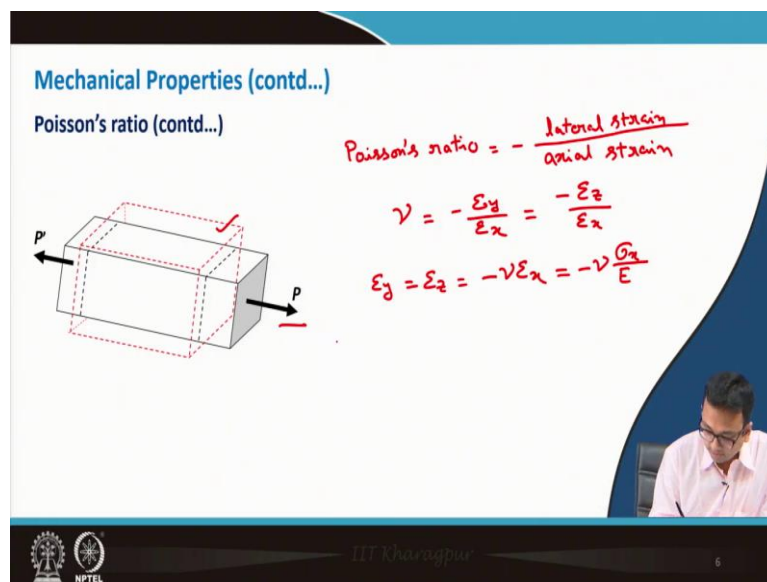
Now, one important thing is Poisson's ratio. It is very important to discuss because that gives us a lot of information. Like if we first think about suppose this is a maybe a beam and fixed at this point here is the beam. Now a load P is acting along x axis only and in y and z direction there is no force acting, let us consider the area of this surface is A.

Now, since, there is no force acting on y or z direction, so, what we can say that sigma x is nothing but p by A now p by A is what, P by A is sigma x is equal to P by A if we write that indicates the average stress that all of us we know, because, if we want to present it in terms of stress at a point, then we need to present it in terms of the limit as we know, but this is nothing but the average stress.

So, as we can see from this one maybe if touch on this one and now, what are the things we can notice we can write this is nothing but equal to P by A , which is the average stress. Now, what we can notice that since we have not applied any force in Y and Z direction, so, what we can clearly notice σ_y is equal to 0 and σ_z is also equal to 0.

Now, those σ_y and σ_z are equal to 0 that does not mean my strain in y and z direction will be 0; there will be some strain, some strain will definitely develop. Now, that strain will develop because of the Poisson's effect as we know. So, if we consider ϵ_x as my actual strain, so, how do we can write from our Hooke's law it is very easily we can write it as this σ_x by E , young modulus. Whereas, mine let us consider ϵ_y and ϵ_z are my Lateral strains; one is in y direction one is in z direction.

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Now, let us see next diagram. Yes, so, this is what actually what I was telling, if I apply a force P here so then means, if it is initially suppose of this shape, then because of the application of load, what will happen it will elongate and it's in y and z direction it dimension will reduce. So, that is very well understood. So, that is nothing but called as the Poisson's effect and from there this Poisson's ratio comes to the picture.

So, the Poisson's ratio it is also well known to us, Poisson's ratio is nothing but equal to the minus lateral strain by actual strain or longitudinal strain. So, this Poisson's ratio generally present using this term ν and ν can be written as then minus y by x or minus ϵ_z by ϵ_x because ϵ_y and ϵ_z both are nothing but our lateral strains. So, just you have written remember, here for lateral strains, they are these are the lateral strains. So, we can write it as like this very easily.

Now, if we fought the tried to get the as we have seen here that the actual strain is nothing but σ_x by E . Now, if we are interested in obtaining this ϵ_y and ϵ_z in terms of the stress what will happen, that we can very easily right from this we can get ϵ_y is equal to or even ϵ_z is also equal to both we can write as equal to nothing but minus ν ϵ_x , no confusion. So, from here we are writing this.

So, now, what we know ϵ_x is equal to σ_x by E . So, this is equal to minus ν σ_x by E . So, that means, if again if we look at this one this block, so because of the application of this nonzero stress, though here these are 0 stress some change in its lateral dimension is happening, because of this force. So, that is nothing but the Poisson's effect.

So, what we can see, if we consider this scenario, where we have applied only force in the x direction not in y and z direction, then what we can write, we can write the strain if I want to write down the strain, so, like my strain maybe, let me go to the next slide.

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Mechanical Properties (contd...)
Generalized Hooke's Law

Diagram 1: Stress in x -direction
 $\sigma_x = \frac{P}{A}$, $\sigma_y = 0$, $\sigma_z = 0$, $\tau_{xy} = 0$, $\tau_{xz} = 0$

Diagram 2: Stress in y -direction
 $\sigma_y = \frac{P}{A}$, $\sigma_x = 0$, $\sigma_z = 0$, $\tau_{xy} = 0$, $\tau_{xz} = 0$

Diagram 3: General 3D stress state
 $\sigma_x, \sigma_y, \sigma_z, \tau_{xy}, \tau_{xz}$

Equations for strain:

$$\epsilon_x = \frac{\sigma_x}{E}$$

$$\epsilon_y = -\frac{\nu \sigma_x}{E} + \frac{\sigma_y}{E} - \frac{\nu \sigma_z}{E}$$

$$\epsilon_z = -\frac{\nu \sigma_x}{E} - \frac{\nu \sigma_y}{E} + \frac{\sigma_z}{E}$$

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So, here just first you consider only the keys of only you have applied the force in the x direction. So, suppose just one by one let me take I think that will be easier for you to understand. So, if this is the case where only I have applied I have this only so it is σ_x is equal to suppose P by A average stress and here and here they are maybe 0 and 0, then if I want to write down my ϵ_x that is definitely nothing but this plus σ_x by E .

But, because of this some Poisson's effect due to the Poisson's effect what is happening some strain is developing in y direction and some strain is developing in z direction. So, the strain is developing in the y direction is nothing but if we go back here it is nothing but this and as

well as in the z direction also this. So, we can write it as this, because of this case we have this scenario, so, $\epsilon_x = -\nu \sigma_x / E$ minus $\nu \sigma_x$ by E , no confusion very simple.

Now, if I want to think another scenario in the same line that we found to now think about it, we take another color maybe, so, maybe take green. So, if I consider now the case where we have applied only force in the y direction and in x and y direction, we have not applied any for suppose that is the scenario. So, suppose this is the case.

So, this is equal to 0, this is equal to 0 whereas, this is probably let us consider is equal to P/A (average stress). So, then what will happen, because of this force we have applied in the y direction what will happen there will be definitely a actual strain will develop in y direction. So, that is nothing but σ_y / E .

And now, because of the Poisson's effect what will happen similar to this just if you look at here, because of like these, here presently we have σ_y we have applied nonzero values of σ_y . So, we have some axial strain that is σ_y / E , but lateral strain that is ϵ_x and ϵ_z will be nothing but $-\nu \sigma_y / E$. So, same similar way we can write there, so, $\epsilon_x = -\nu \sigma_y / E$ and $\epsilon_z = -\nu \sigma_y / E$, no confusion.

Now, similarly, if you want to let me here also write $\epsilon_z = 0$ and $\sigma_y = 0$. Now, the third case let me use some another color, maybe this one so, where we will apply the force in the z direction, but in x and y direction there will not be any force applied. So, as a result of the average stress will be definitely equal to 0, but, so, this is the case σ_y is equal to 0 where but suppose in this direction we applied the force. So, σ_z is equal to now suppose P/A , the average stress.

So, if this is the scenario, then what will happen, our axial strain in z direction will be definitely our σ_z / E and now, due to Poisson's effect we will have $-\nu \sigma_z / E$ and $-\nu \sigma_z / E$. So, now, basically what we have now obtained here, so, this is from this, this from maybe let me so, basically what we can notice here that this is the case which is giving this column, this is giving us this column and this one is giving us this column.

So, now, together this is called as the generalized Hooke's law. Now, this diagram is the case that generalized case means, what we have done basically, we have individually considered 3 cases; first case only x direction force not in y, not in z. Second case is only y direction force,

not in x not in z and similarly, third case where we have considered force is applied only in the, axial force applied only in the z direction not in x and not in y.

Now, because of the first case, we will get these strains will develop in x y and z direction. Now, for the second case, these stresses or strains will develop. So, this is the axial strain, these are the lateral strains. And similarly, for the third case, where we have applied axial force only in the z direction noting in y and x direction there is no force in that case strain will develop definitely in y and x direction.

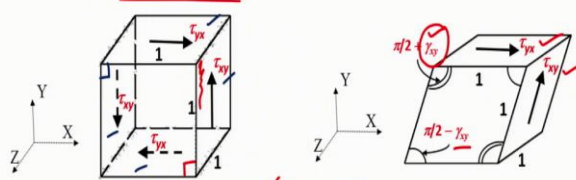
So, together if we superimpose 3 cases, we will get this one which is nothing but my generalized Hooke's law and in this figure after super position, all the 3 directions, my normal stresses are acting as you can see, and non-zero normal stresses are acting and because of that, what will happen to my equation.

Now, obviously, if your other two in one direction it is some non-zero other two 0, then obviously, accordingly your things will also change and it will give us the in generalized form what will be the strain in x direction, strain in y directions, strain in Z direction. So, this is very important for any solid ones, if we are going to do any mechanics related what the understanding of generalized hooke's law, , it should be very clear to all of us, so, that is why we have taken this and have discussed about this in detail and spend a good amount of time. So, this is our generalized Hooke's law.

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Mechanical Properties (contd...)

Determination of Shear Modulus



Shear modulus $G = \frac{\tau_{xy}}{\gamma_{xy}}$

It is also called as the **modulus of rigidity**

Handwritten notes:

- $\tau_{xy} = G \gamma_{xy}$
- $\tau_{yz} = G \gamma_{yz}$
- $\tau_{zx} = G \gamma_{zx}$
- Shear strain $\gamma_{xy} = \frac{\tau_{xy}}{G}$

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Now, we have discussed here about the actual force applied and because of that, some normal stresses are developing though mainly we are considering everything in terms of average

normal stress as we know. Now, there is another type of stress may develop as we know that is nothing but my shear stress.

So, if it is a like a cube, so, you need cube if you consider like these and now, if you apply this kind of shear stresses like these and these and these, then what will happen, we can visualize this obviously, this will take a shape like this rhomboid shape it will develop. So, because of that what is happening initially, these angles were so like $\pi/2$, now, because of the action of this shear stress what is happening this angle is reducing and this angle is increasing.

So, this quantity is nothing but my, this is nothing but my shear strain. So, now, basically what first we can write maybe τ_{xy} is equal to $G \gamma_{xy}$, note here this G is nothing but the shear modulus or modulus of rigidity. So, that is only here it is written so, G is nothing but our τ_{xy} by γ_{xy} . Now, this equations for other two stresses what it will be it is very simple τ_{yz} will be nothing but equal to $G \gamma_{yz}$ and τ_{zx} will be equal to $G \gamma_{zx}$.

So, this γ_{xy} γ_{yz} and γ_{zx} are nothing but my shear strains. And these 3 are nothing but my shear stresses and G is my modulus of rigidity or shear modulus. So, it is also known as the modulus of rigidity as it is written. Now, one small thing like this x and y this first subscript and the second subscript what are they, I hope you know.

Mainly the first subsequent justify in general for all if I want to say the first subscript like x is representing the means corresponding to the plane on which this shear stress is acting and next to y your second subscript is that related to the direction of the action of this shear stress. So, basically you see this is a plane which is basically perpendicular to my x axis. So, τ_{xy} this first x is for that and next y is you see the direction of this shear stress is in the y direction.

So, y so, first subscript is related to the plane on which the stress is acting and second subscript is related to the direction of the that act, the action of that in the which direction the stress is acting. So, the plane means the plane perpendicular to here perpendicular x axis. So, τ_{xy} likewise, if it is like τ_{yz} that will indicate y will indicate the plane, but when will the y axis it is acting and the direction is in the z direction. So, this is I hope you already knew, but just discussed a little bit.

So, these 3 are the equations now, these strains obviously, similarly, the strains can also be written in the form of as we have seen the strain earlier case we have written in terms of

epsilon like epsilon x or sigma x by E likewise, here what will happen , if I want to write gamma xy, it is nothing but equal to tau xy by G. So, likewise for other 3 cases or two cases also it will be similar.

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Mechanical Properties (contd...)
Under Generalized Stress Condition

$$\begin{aligned} \epsilon_x &= +\frac{\sigma_x}{E} - \frac{\nu\sigma_y}{E} - \frac{\nu\sigma_z}{E} & \gamma_{xy} &= \frac{\tau_{xy}}{G} \\ \epsilon_y &= -\frac{\nu\sigma_x}{E} + \frac{\sigma_y}{E} - \frac{\nu\sigma_z}{E} & \gamma_{yz} &= \frac{\tau_{yz}}{G} \\ \epsilon_z &= -\frac{\nu\sigma_x}{E} - \frac{\nu\sigma_y}{E} + \frac{\sigma_z}{E} & \gamma_{zx} &= \frac{\tau_{zx}}{G} \end{aligned}$$

where E = Young's modulus
 ν = Poisson's ratio
 G = Shear Modulus

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So, now, if I consider a case like this generalized case were not only the normal stresses but also the shear stresses are acting. So, that becomes actually in true sense of the generalized stress condition and under there not only these 3 equations what we have just have derived actually, along with this we need to also consider these 3 equations also.

So, under generalized stress condition, if you want to find out the strains like epsilon x, epsilon y epsilon z and as well as gamma xy, gamma yz, gamma zx, for that we have to use these equations. So, that is very important thing and here as we know E is nothing but the Young's modulus, nu is my Poisson's ratio and g is my shear modulus.

So, this is a case of generalized loading and generalized stress condition as it is shown over in this diagram for that, this state of equation we need to, so, this is also means it is in true sense generalized Hooke's law and this equation like as we see well these are called also sometimes called as the Hooke's equation or Hooke's law for shear stress strain, the stress-strain relationship.

So Hooke's law for shear stress and relationship very much similar to like sigma x is equal to E epsilon x likewise, here this is for the shear stress. These are the some few things which you already knew, but I think we should also discuss that so, you have discussed over here

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Mechanical Properties (contd...)
Determination of Volumetric strain

Initial volume of the block = $(1 \times 1 \times 1) \text{ unit}^3$ $\text{mm}^3/\text{cm}^3/\text{m}^3$
 $= 1$
 New volume = $(1 + \epsilon_x)(1 + \epsilon_y)(1 + \epsilon_z)$
 The products of $\epsilon_x, \epsilon_y, \epsilon_z$ are negligible
 \therefore The new volume = $(1 + \epsilon_x + \epsilon_y + \epsilon_z)$

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Now, another term is very important that is called the volumetric strain. So, we should have some knowledge about the volumetric strain also. So, basically I will try to basically derive the expression for volumetric strain. All of us or probably you know what is the expression but we can derive it in maybe different we can take different approaches. So, maybe here I will take a simple approach that is just consider first this is the initially, this is a cube, unit cube, so, all the x y z (directions) dimensions are 111 and now, in this diagram what we have shown just the normal stresses are applied over here.

So, now, if we apply normal stress like this, so, what will happen epsilon sigma x if I apply so, this dimension initially was 1, but now it will become 1 plus epsilon x. Similarly, initially this dimension was 1 as we can see now, it is becoming this because of the application of these sigma x sigma y and sigma z and similarly, initially this dimension was 1 and now it has become like this.

So, what we can write the to derive the expression so, we can write the initial volume of the block if we consider is nothing but what, 1 so, maybe if it is centimeter cube one meter cube or maybe millimeter cube. So, you need to cube just some bits maybe millimeter like millimeter cube or centimeter cube etcetera or meter cube etcetera. So, simply writing unit cube.

Now, as I have stated initially this was 1 because it is nothing but how it is coming 1 by the way, it is coming as 1 into 1 into one 3 dimension so, it is becoming 1. Now, the new dimensions are these as we have marked, so, new volume will be 1 plus epsilon x 1 plus epsilon y 1 plus epsilon z.

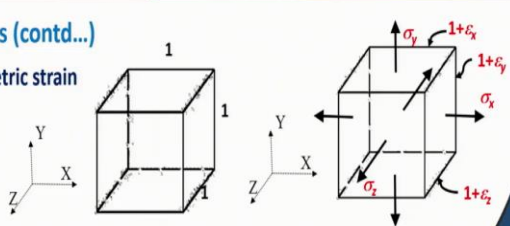
So, now, if you simplify it, what we will get? We see that epsilon x is multiply, epsilon y or sometimes epsilon y you will multiply with epsilon z and epsilon makes epsilon z they will be multiplied with each other. Now, what is the thing like this epsilon x or epsilon y or epsilon z they are very small quantity as compared to this 1 what we have considered the unit dimension, means these are very small quantity as compared to 1.

So, what we can consider that since they are very small quantity and the multiplication of that means the product of this epsilon is x into epsilon y or epsilon x into epsilon z they will be even smaller. So, what we can do, we can simply neglect those times. So, what we can do in that case there we can write the products of epsilon x, epsilon y epsilon z are negligible. So, what we can write?

So, therefore, the new value can be simply written as 1 plus epsilon x plus epsilon y plus epsilon z. So, this is my original initial volume and this is my new volume, final volume. Now, what we can do let us go to next page. So, remember this is 1, initial volume 1 and new volume is this.

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Mechanical Properties (contd...)
Determination of Volumetric strain



Change in volume = New volume - Initial volume

$$= (1 + \epsilon_x + \epsilon_y + \epsilon_z) - 1 = \epsilon_x + \epsilon_y + \epsilon_z$$

Volumetric strain = $\frac{\text{Change in volume}}{\text{Original volume}}$

$$\epsilon_v = \frac{\epsilon_x + \epsilon_y + \epsilon_z}{1} = \epsilon_x + \epsilon_y + \epsilon_z$$

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So, what we can write the change in volume is what then so, the change in volume is nothing but the new volume or final volume minus initial volume. So, this is equal to 1 plus epsilon x plus epsilon y plus epsilon z minus initial volume was 1. So, which is equal to simply 1 1 cancels so, epsilon x plus epsilon y plus epsilon z.

Now, what is volumetric strain or simply what is strain, then that is nothing but the change in length by origin length. Likewise, volumetric strain is change in volume by original volume. So, volumetric strain can we return as change in volume by original volume or initial volume.

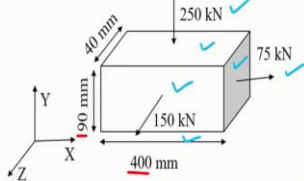
So, change in volume is epsilon x plus epsilon y plus epsilon z what we have seen over here and original volume is unity. So, volumetric strain is nothing but epsilon y plus epsilon z. So, generally it is written using this $\epsilon_v = \epsilon_x + \epsilon_y + \epsilon_z$. So, this is the expression for volumetric strain we have derived with just with the base fundamental considering a unit cube simply, means cube of having all the dimensions 111 and its volume is this 1 unity.

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Mechanical Properties (contd...)

Example problem

Q1. A rectangular block of 400 mm x 90 mm x 40 mm is subjected to forces as shown in the figure. Assume Young's modulus and Poisson's ratio of block as 175 GPa and 0.25, respectively. Calculate the Volumetric strain.



Sol:

$$\sigma_x = \frac{+75 \times 10^3}{90 \times 40} = 20.83 \text{ MPa}$$

$$\sigma_y = \frac{-250 \times 10^3}{400 \times 40} = -15.62 \text{ MPa}$$

$$\sigma_z = \frac{+150 \times 10^3}{400 \times 90} = 4.167 \text{ MPa}$$

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Now, with this idea, let us take a small problem the problem says the a rectangular block of 400 mm by 90 mm by 40 mm is subjected to forces as shown in figure. As you can see here 75 kilo newton, here tensile force there it is 250 kilo newton compressive force again here Z direction 150 kilo newton tensile force is acting. Assume Young's modulus and Poison's ratio of block as 175 Giga Pascal and 0.25 respectively calculate the volumetric strain.

So, very simple problem. So, what can be done let us see. First solution. So, first what we have to get see here I ultimately I have to obtain epsilon x, epsilon y and epsilon z we need to add them and we will get my volumetric strain, but here things would what are the informations available to us, we know the area of this area, this area, this area and the corresponding force what is acting.

So, that means, we can find out the stresses from there and from there what we can do, we can apply our knowledge of generalize Hooke's law that will obtain the strains and from there we can obtain the volumetric strain. So, let us do that quickly. So, first is sigma x is nothing but in the x direction 75 kilonewtons. So, 75 into 10 to the power 3 and the area is how much, you see it is 90 this and this is 40. So, 90 into 40 it is becoming 20.83 Newton per millimeter square which is nothing but mega Pascal.

y is equal to what, considering tension as positive actually we are doing so, here we have the tensor plus so likewise here should be minus 250 into 10 to the power 3 to make it in newton and area is how much, 400 by 40, 400 into 40. So, this is becoming minus 15.62 newton per millimeter squared, which is nothing mega Pascal. And Z is equal to what?

So, we have 150 kilonewton again tensile 150 into 10 to the power 3, kilonewton to Newton and the area is how much 400 and here 90 so 400 into 90 which is becoming now 4.167 Newton per millimeter scalar mega Pascal. So, this is these are the 3 stresses. Now, what do we know, we can we ultimately have to obtain the strain. So, let us go to our maybe the next page.

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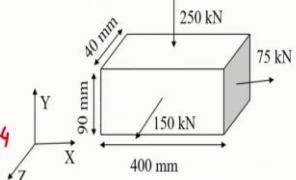
Mechanical Properties (contd...)

Solution

Strains:

$$\epsilon_x = \frac{\sigma_x}{E} - \frac{\nu \sigma_y}{E} - \frac{\nu \sigma_z}{E} = 1.35 \times 10^{-4}$$

$$\epsilon_y = -\frac{\nu \sigma_x}{E} + \frac{\sigma_y}{E} - \frac{\nu \sigma_z}{E} = -1.25 \times 10^{-4}$$

$$\epsilon_z = -\frac{\nu \sigma_x}{E} - \frac{\nu \sigma_y}{E} + \frac{\sigma_z}{E} = 1.64 \times 10^{-5}$$


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So, now, let us try to get the strains, so, the strains, so, first is epsilon x is nothing, but as we know sigma x by E minus what, nu sigma y by E minus nu sigma z by E. Just let us quickly verify we have it we have derived it ourselves only or maybe here we can see so, sigma x by E minus nu sigma y by E y minus nu sigma z by E or where you have derived this one thing these are the things you have derived yourself.

So, I just utilize that. Similarly, so, if I do that and we know sigma x we know E and nu are provided, E value is given as how much 175 Giga Pascal, GPa and a means, and this is Poisson ratio 0.25 it is given. So, if you replace over here and just directly writing the value can cross check it 1.35×10^{-4} this will be the strain likewise, epsilon y will be likewise sigma minus nu sigma x by E minus nu sigma z by E.

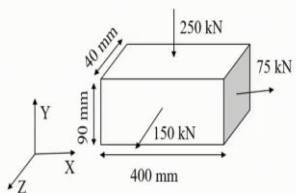
This will give us -1.25×10^{-4} and z epsilon z is equal to minus nu sigma x by E minus nu sigma y by E plus sigma z by E. So, if we do that we will get it is 1.64×10^{-5} .

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Mechanical Properties (contd...)

Solution

$$\epsilon_v = \epsilon_x + \epsilon_y + \epsilon_z$$

$$= 0.26 \times 10^{-4} \quad \text{Ans}$$


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So, now, volumetric strain is what volume metric strain is nothing but my x plus epsilon y plus epsilon z. So, if we just simply add them you will get either 0.26×10^{-4} . So, this is your answer. So, yes we have solved a problem also. So, and we have learned how to derive generalize Hooke's law. So, with this let us conclude our today's lecture. Thank you.