

Geotechnical Engineering II / Foundation Engineering
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Lecture - 31
Earth Pressure Theories (Contd.)

Good morning. Once again I welcome you all to this lecture Foundation Engineering and we are discussing on Earth Pressure Theories. And in fact, our main objective is to do stability analysis of the earth retaining wall and I have mentioned you before that the main component in earth stability analysis of the retaining wall is the earth pressure calculation.

There are other loading, but earth pressure is one of the most important component and first of all you need to know how to calculate the earth pressure and where they act. And for that I have already discussed ways method, Rankine's theory columns theory and now and most of the cases via what I have discussed here so far it is for we cohesion less soil. And; that means, backfill material is sand mostly and but sometimes pure sand may not be the situation most of the cases.

So, you may have to use sometimes c phi soil also, and if we use c phi soil then how to calculate earth pressure behind the wall under different conditions like active and passive case. So, we will see that first and then subsequently we will see some other applications.

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Earth Pressure Theories

Lateral earth pressure in partially cohesive soils: Active case

(c-φ) Backfill

H

A

B

c

$c + \sigma \tan \phi$

$(\sigma_1 - \sigma_3)/2$

$(\sigma_1 + \sigma_3)/2$

α

ϕ

rh

swayam

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So, let me see that this is a case of active earth pressure and we already we have we know that this is the and this is wall and in fact, let me show, this is actually behind the wall and this is the backfill and $c \phi$ soil. As usual I can find out σ_1 by normal procedures σ_1 is nothing, but γ multiplied by h .

And then I had to find out σ_3 which is nothing, but here lateral pressure or earth pressure and so, if the soil is $c \phi$ then if you plot in a τ versus σ or s or τ you can s also you can think of τ actually τ versus σ or shear strength versus σ . If you plot and then generally you will have the envelope it will be will be intersect at τ axis and if we extend it will meet somewhere here.

Now that mean this is the failure envelope, this is the failure envelope and if full active case develop in this case then corresponding to that there will be more envelop; Mohr circle and that this may be the Mohr circle for that condition. So; that means, this is originally σ_1 and with the movement of the wall away from the backfill σ_1 was decreasing suppose, somewhere here or then somewhere here like that finally, at exactly at fully active condition σ_3 σ_1 reduces to these values suppose this is σ_3 .

So, this σ_3 is nothing, but the lateral earth pressure in the wall in active condition. So, this circle will be tangential to this. Now, if I use this failure envelope on this Mohr circle and then from these actually if we write this τ this also has to be written as τ . So, if I use these geometrically and you can see that this is the center of the circle and then if I join that here at where it is tangent. So, that become the radius and then this radius will be actually this is σ_1 minus σ_3 by 2 definitely and again we can find out this distance as σ_1 plus σ_3 by 2 this distance and of course, c is this one if a consider these as a c , this portion as c this is ϕ . So, this distance will be $c \cot \phi$.

Now using this geometry and we can consider this we this triangle, suppose this is O A and B this triangle I have take I if I take and if I express $\sin \phi$ then $\sin \phi$ by you will be these divided by this. So, that is the thing I will try to do in the next slide.

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Earth Pressure Theories

Active case

$$\sin \phi = \frac{(\sigma_1 - \sigma_3)/2}{(\sigma_1 + \sigma_3)/2 + c \cot \phi} = \frac{\sigma_1 - \sigma_3}{\sigma_1 + \sigma_3 + 2c \cot \phi}$$

$$\sigma_3 = \sigma_1 \frac{1 - \sin \phi}{1 + \sin \phi} - 2c \frac{1 - \sin \phi}{1 + \sin \phi}$$

$$K_a = \frac{1 - \sin \phi}{1 + \sin \phi}$$

Diagram labels: σ_a , K_a , $\sqrt{K_a}$, $2c\sqrt{K_a}$, $C \cot \phi$, ϕ , c , ϕ .

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You can see here that we have expressed sin phi and that is radius by this up word news actually here and if you see that this is the radius and this is the; that means, if I draw the diagram it was something like that and it was something like this. So, this is radius and this is this distance was sigma 1 plus sigma 1 plus sigma 3 by 2 and this was c cot phi.

So, that is why; so, these our sin phi is this is phi sin phi is these divided by this. So, if I do that then sigma 1 minus sigma 3 by 2 sigma 1 plus sigma 3 by 2 plus c cot phi and if you simplify further it become like this. So, sigma 1 minus sigma 3 by sigma 1 plus sigma 3 plus c 2 cot phi and now if I substitute then and, if we express sigma 3 because our I know sigma 1 because sigma 1 is nothing, but gamma times h. And I need to find out sigma 3 and; that means, I want to express sigma 3 in terms of sigma 1 c and other parameters like k a k p whatever maybe.

So, if I simplify this one and express sigma 3 then it will become in this form. So, in this form sigma 3 will be sigma 1 1 minus sin phi by 1 plus phi minus 2 c under root 1 minus sin phi by 1 plus sin phi. And you can see this is nothing, but we know k a already we have done and this is actually nothing, but root k a.

So, I can write that sigma 3 will be equal to sigma 1 k a minus 2 c root k; that means, so at any depth suppose this is the wall and it contains c phi soil here, then if I want to find out the pressure at any depth, then what I have to do? I have to find out gamma times a

σ_1 multiplied by k_a that is normal one and it has to be reduced because of this cohesion part; that how it will reduce? $2c$ times under root k_a .

So, we can and if it is suppose if I want to find out a surface; that means, when your normal stress; that means, σ_1 is 0 then you can see still there is a value minus $2c$ root k_a so; that means, at the surface there will be minus value there will be a minus value which will be called to minus $2c$ root k_a . So, this will be there at the surface and when you go deeper and deeper this minus will be reduced because when h is some small value so it will be plus and this is minus which will be reduced.

And add some depth at some depth it will become 0 and then finally, when it becomes further increase the depth then it will become positive value like this. So; that means, for this case when soil the wall retained back, wall retained c phi backfill then in that case your earth pressure diagram will be like this. This portion will be negative and this portion be positive so; that means, of course, this will give you thrust in this direction and this will give you tension. So, that I will discuss later on what is the effect of it.

So, for the time being what I want to mention here actually to make clear that if the backfill retains c phi soil how to find out lateral pressure; that means, this is σ_3 is nothing but I can say here; I can say here σ_{active} σ_3 here σ_{active} . And, your this will be in terms of $\sigma_1 k_a$; that means, σ_1 is nothing, but γ times h . Of course, if it is a water table is there γ_{summers} time h minus $2c$ root k_a . So, this is the way one can find out the lateral pressure for c phi file.

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Earth Pressure Theories

Passive case

$$\sin \phi = \frac{\sigma_3 - \sigma_1}{\sigma_3 + \sigma_1 + 2c \cot \phi}$$

$$\sigma_3 = \sigma_1 \frac{1 + \sin \phi}{1 - \sin \phi} + 2c \frac{1 + \sin \phi}{1 - \sin \phi} = \sigma_1 k_p + 2c \sqrt{k_p}$$

$$\sigma_p = \gamma h k_p + 2c \sqrt{k_p}$$

$$k_p = \frac{1 + \sin \phi}{1 - \sin \phi}$$

$$c \cot \phi$$

$$c \phi$$

The slide also features a Mohr circle diagram with a failure envelope $\tau = c + \sigma \tan \phi$, a trapezoidal soil element with vertical stress σ_1 and horizontal stress σ_3 , and a video inset of a lecturer.

Similarly, if I take a passive case it will be similar actually in fact it will be reverse of active k that if the wall is somewhere here and I returning $c \phi$ soil the wall moves in this direction when wall move this direction then initially there will be there that we have σ_1 . So, that should be actually in terms of our understanding this must be σ_1 because that is known non vertical stress and this will be slowly, when wall moves this direction while moves this direction this value increase. And finally, it reach to a maximum value before failure so that suppose this is the one that must be suppose σ_3 , because that is that is the one though magnitude wise this is σ_1 that is larger.

But I am here σ_3 ; that means, which is unknown initially σ_1 as applied it is there and then with the movement of the wall pressure is increasing it is becoming σ_3 and that σ_3 actually is the nothing, but σ_3 passive σ_3 passive the earlier cases σ_1 active and single passive. So now, this will be a Mohr circle and if I draw this Mohr circle tangential to the envelope of the failure envelope the soil.

And I know that this is a failure envelope and this is generally when $c \phi$ soil the failure envelope will be intersecting this is suppose τ axis and it will intersect at a c where this must be the value of c and then if I extend this line this is the this is the failure envelope we extend it will intersect the σ axis somewhere here. That means, σ axis some over here and that distance if it is ϕ then I can find out from the geometry $c \cot \phi$.

So, again similar to that if I do it here then you can see here that σ_3 here actually bigger σ_3 for these cases. So, bigger value so, σ_3 minus σ_1 similar to that if I take this triangle this triangle and then $\sin \phi$ will be these divided by these and if I do that and simplify then this two will get this equation. And once you get this equation, once you get this equation; once you get this equation then go further simplify to find out σ_3 because which is unknown to us.

So, σ_3 here I have expressed and σ_3 here actually nothing, but σ_p passive pressure and this will be when you expressed, what in terms of what I should express? I should express in terms of σ_1 which is known which is equal to γ times h and if it is water table is there it is γ_{sat} times h and this become $1 + \sin \phi$ by $1 - \sin \phi$ plus $2c$ multiplied by $\sqrt{1 + \sin \phi}$ by $1 - \sin \phi$.

Now, we can see this, this is nothing, but k_p and this one nothing, but $\sqrt{k_p}$. So, this one again for the simplification I can do and I can write this σ_3 equal to σ_1 k_p plus $2c$ $\sqrt{k_p}$ or I can write γk_p plus $2c$ $\sqrt{k_p}$. So, when there is a c ϕ soil the pressure diagram pressure expression σ_p σ_p will be a lateral earth pressure or lateral passive pressure is I can be express like this.

So that means, if h is 0; that means, that at the surface that are these are the surface of the top surface of the wall I want to find out the pressure you can see this part become 0, but still there is a positive parts. So; that means, when c ϕ soil passive case it will start with a positive value and then if h increases then this will be further increase the positive value; that means, your pressure diagram will be like this.

Whereas, we have seen that when it is the active case at the surface there is a negative value and then it will increase further and it with a positive value, but for passive case it is throughout positive with at the top surface some value and at the bottom surface some other value which higher magnitude. So, this is actually for earth pressure diagram sorry procedure for calculating active pressure and passive pressure for your c ϕ soil when the backfill retain c ϕ soil. So, two important expression you have to remember, that is actually I just go to the next slide and I will show you.

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The slide displays two diagrams of a retaining wall of height h . The left diagram shows the active earth pressure distribution, which is a triangle with its maximum value at the bottom and a tension zone at the top. The right diagram shows the passive earth pressure distribution, which is a triangle with its maximum value at the bottom and a compression zone at the top. Handwritten equations in blue ink are present: $\sigma_a = \sigma_3 = \gamma h k_a - 2c \sqrt{K_a}$ and $\sigma_p = \sigma_3 = \gamma h k_p + 2c \sqrt{K_p}$. Other equations include $P = P_1 + P_2$ and $P_1 y = P_1 h_1 + E h_2$. The slide also features logos for 'swayam' and 'Department of Civil Engineering'.

You can see that as I have shown before that if this is the wall and at height actually this is sigma 1 and this is sigma 3 and then if I write sigma 1 or I can say sigma 3 as sigma 3 when it is active it become gamma h k a minus 2 c root K a and when it is passive; that means, sigma 3 will be called to gamma h k p plus 2 c root K p. If I keep side by side this is a difference I can see you can see here and this is nothing, but this is nothing, but sigma active and this is nothing, but sigma passive.

So now, with the variation of h depth if I want to draw the pressure distribution earth pressure distribution over depth then for the active case you can see as I mentioned that when h become 0 then I will get this whole portion will be, the whole part of the 0 and only value will be minus 2 c root K. So, that is actually that the surface we will get a value negative value 2 c root K a and when h increases then this minus plus.

So, it will be negative value will be decreasing and at some time when negative value and positive value are equal then it will become 0 and when further increase average it will give you a value at the bottom of the wall something like that gamma h root K a sorry gamma h k a minus 2 c root k a.

So, this is actually earth pressure distribution, active earth pressure distribution for c phi soil behind the wall. Similarly if there is a passive case then you can see here that when you h put h equal to 0 then entire thing will be 0; that means, your value will be at the surface will be 2 c root K p and when you increase h then from here this will be constant

throughout the depth. Suppose and then assuming this part is missing, this part is missing this is a constant part only with the increase of h these value will be increasing linear do this part will be there.

So; that means, you have this part this part $2 c \text{ root } K p$ and at this part this part will be $\gamma h K p$ and these two together will have the earth pressure at the bottom. So, now, if this is the situation you know the pressure distribution, then if you want to find out the thrust then what I will do? I will find out the area of this diagram. So, this area I can divide into two parts, for this rectangular part I will do suppose this P_1 and triangular part I can say P_2 and then p will be equal to P_1 plus P_2 . So, total thrust will be called to P_1 plus P_2 so, like that I can calculate.

Similarly you can find out the point of application of that earth pressure how to find out for P_1 I can find out what is the hone for P_2 also I can find out what is the h_1 this is our P_2 . So, this is h_1 . So, finally, I can take and $P p$, suppose this is acting and this is hide actually suppose y bar then what I can do $P p$ multiplied by y bar will be equal to P_1 into h_1 plus p_2 into h_2 . So, this way so, everything is known $P_1 h_1 p_2 h_2 P p$ everything is known only unknown is y . So, I can find out from this equation.

So, that way actually one can find out that total thrust then part of application of the, similarly for this case generally negative part ignore we find out the area of this diagram and this will active at one-third hide from the base of the triangle. So, that is the way on can find out.

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The slide, titled "Earth Pressure Theories", illustrates the concept of a tension zone in a retaining wall. It shows a vertical wall of height H retaining soil with cohesion c and angle of internal friction ϕ . The active earth pressure is given by $\sigma_a = \gamma h K_a - 2c\sqrt{K_a}$. The depth h_c where the pressure becomes zero is derived as $h_c = \frac{2c\sqrt{K_a}}{\gamma K_a}$. The depth h where the pressure becomes zero is also given as $h = \frac{2c}{\gamma\sqrt{K_a}}$. The slide includes logos for "swayam" and "Department of Civil Engineering" and a photo of the presenter, Dilip Kumar Baidya.

Next one is as I mentioned that when the retaining wall retains a backfill with c ϕ then we have seen that at the surface we are getting negative pressure, but negative pressure soil cannot take. As a result this is nothing more tension and because of that tension there will crack will form and you can see this is the thing I can visualize that because of c ϕ soil it craft crack will for and it will go some up to some depth, up to what depth this crack will go. That means, were actually pressure will become 0 you can find out and; that means, you when we excavate generally we need to give support, but when it is c ϕ soil and because of these if you discovered it this will pressure is getting released.

So, because of that there is no need to put support actually, up to some deep depth actually up to certain depth we will can excavate the c ϕ soil without any support. So, if you want to find out up to what depth you can excavate without support that is the thing I can this analysis can be utilized. So, you can see here that first of all I can find out at what depth you have tension become 0. So, I know that your formula is, our formula is σ_a will be equal to $\gamma h K_a - 2c\sqrt{K_a}$ this is the expression c ϕ say that σ_a become 0. So, if I equate this two 0 then from here I will get a value of h from here actually if I equate to 0 then I will get h equal to $2c$ this is the h equal to $2c$ $\sqrt{K_a}$ divided by γK_a so; that means, it will be $2c$ by $\gamma\sqrt{K_a}$.

So; that means, at what depth; that means, I have set I assume that at a depth h your pressure or tension becomes 0; that means, this is the 1. So, this is the 1 h . So, and I have

expressed the general expression for sigma lateral pressure this and I equate this one to 0 because I have assume that h depth that becomes 0 if I equate to this expression 0 then from simplify I get h equal to $2 c \gamma \sqrt{K a}$. That means, up to this there actually tension crack will go, but since this is a pressure release because of earth excavation; that means, equal to this double the amount if I cut also without support it can stand. So, that is why. So, depth of unsupported cart will be just twice of that.

So, this is actually just depth of tension crack. So, if I release this one so; that means, these minus and plus this resultant become 0. So, because of that though we could find out that depth at which the pressure is becoming 0 is the $2 c \gamma \sqrt{K a}$, but depth up to which we can cut without support will be twice that depth; why it is so? Because this minus diagram on this plus diagram if I go h here. So, this diagram on this diagram will be identical and then this will be with the increase of h from here it is negatively increasing and with the increase of h it is positively decrease it this way. So, this diagram and this diagram will be equal.

So, because of that so, they resultant so this is minus and this is plus. So, because of that result and pressure with 0 and; that means, up to that if you cut; that means, wall is not giving any vacuole is not giving any pressure on the wall so; that means, up to this depth we can excavate without any support. That means, h_c is a critical depth and h cut or excavation without support will be that be twice if I do; that means, will be $4 c \gamma \sqrt{K a}$ ok. So, this one I will show you in detail in the next slide again and you can see here.

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Earth Pressure Theories

Unsupported cuts in c-φ soil

$$\sigma_3 = \sigma_1 \frac{1 - \sin\phi}{1 + \sin\phi} - 2c \sqrt{\frac{1 - \sin\phi}{1 + \sin\phi}} = \sigma_1 k_a - 2c \sqrt{k_a}$$

At ground surface $h=0$ and $\sigma_3 = -2c \sqrt{k_a}$ Tension

The theoretical depth of the crack h_t can be determined by recognising that at the bottom of the crack $\sigma_3 = 0$

$$0 = \gamma h_t k_a - 2c \sqrt{k_a}$$

$$h_t = \frac{2c}{\gamma \sqrt{k_a}}$$

$$h_e = 2 \times h_t = \frac{4c}{\gamma \sqrt{k_a}}$$

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So, that's the thing I have done here you can see that our general expression for σ_3 equal to $\sigma_1 \gamma k_a - 2c \sqrt{k_a}$ this is a formula, at ground surface h equal to 0 and σ_3 become minus 2 that is tension that already I mentioned and the theoretical depth of the crack h_t theoretical depth at which that tension crack will reach that I suppose give a different name h_t can be determined by recognizing that at the bottom of the crack σ_3 will be 0. That means, that this is the wall and this is the ground surface and crack something like this it comes.

So, this is the depth of tension crack. So, h_t if I share. So, that h_t ; that means, at this depth happens σ_3 will be 0. So, this 0 to be equal to $\gamma h_t k_a - 2c \sqrt{k_a}$ I do, then from here I can get h_t equal to $2c$ by $\gamma \sqrt{k_a}$. So, depth of excavation should be depth of excavation that when h excavation will be without support will be twice of h_t so; that means, equal to equal to $4c$ by $\gamma \sqrt{k_a}$. So, this is the way one can find out. So, these are useful for some earth pressure calculation also later on will show the use of it.

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The slide shows a diagram of a retaining wall of height H with a surcharge q (kN/m²) applied at the top. The vertical distance from the top of the wall to a point at depth h is labeled h . The diagram illustrates the stress state at that point, with σ_1 (vertical) and σ_3 (horizontal) stresses. Handwritten equations include:

$$\sigma_3 = \gamma h k_a - 2c\sqrt{k_a}$$

$$= k_a(q + \gamma h) - 2c\sqrt{k_a}$$

$$\sigma_1 = \gamma h + q$$

$$\sigma_1 = (\gamma h + q) \tan^2(45 - \phi/2) + 2c \tan(45 - \phi/2)$$

Other handwritten notes include $\gamma h k_a - 2c\sqrt{k_a}$ and $(\gamma h + q)k_a - 2c\sqrt{k_a}$.

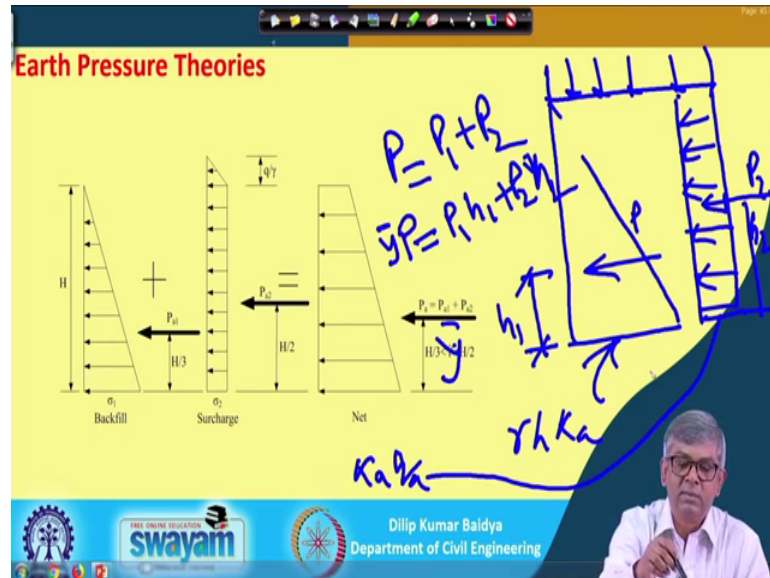
Next part is that in addition to these sometime there may be some surcharge s there may be surcharge s pressure this is the ground surface you can see this is ground surface and on that ground surface additionally if there is a pressure. How we can visualize this one during excavation or some construction work there will be some loading truck or there will be excavation equipment or something else is there. In that case you can visualize there is some amount of distributed load acting on that surface, if it is there then how to consider that?

So, that actually so, this is σ_1 because of this loading and this is σ_3 is the lateral pressure, σ_1 actually we can visualize as σ_1 as γh plus q and then σ_3 can be visualized as σ_3 is a γh instead of $\gamma h k$ instead of $\gamma h k a$ this is nothing, but $k a$ and this is nothing, but $\sqrt{k a}$ this is $k a$ and this is $\sqrt{k a}$. So, $\gamma h k a$ minus $2 c \sqrt{k a}$ $2 c \sqrt{k a}$, this is the one we can visualize, but when there is a surcharge I can visualize as γh plus q plus $q k a$ minus $2 c \sqrt{k a}$.

So; that means, I can what way it is different? That means, one additional term so, σ_3 was σ_3 was σ_3 was these $\gamma h k a$ minus minus $2 c \sqrt{k a}$. Now if I simplify this one it is becoming $k a$ multiplied by q plus $\gamma h k a$ minus $2 c \sqrt{k a}$ a so; that means, this part was there already, now because of this q this is additional part is coming. That is actually throughout the depth it is independent of depth. So, if I draw the

pressure diagram so; that means, if I draw the diagram for c phi soil and then additionally if I give the q times k a another constant throughout the depth than that is the total picture diagram for the surcharge case. So, this can be seen in the next slide let me see.

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You can see that, you can see suppose that was the surcharge was there I will draw that one again; suppose this is the wall and this is there is a surcharge there is a surcharge and suppose this is a suppose cohesion less soil I will take first. So, cohesion less soil if it is a cohesion less soil then pressure diagram will be something like this $\gamma h k_a$. So, it will be $\gamma h k_a$ is it not and in addition to that I can find out the effect of surcharge, what I will do effective surcharge? I will do this diagram, this will be equal to this value will be equal to this value will be equal to throughout everywhere it will be k_a times $q a$.

So, now, if I want to find out the thrust then what will happen? So, this triangular diagram this will be somewhere P_1 acting somewhere here and this is suppose P_2 acting somewhere here P_1 and this is P_1 and this is P_2 . So, P will be equal to P_1 plus P_2 and resultant actually I can find out y bar multiplied by P equal to $P_1 h_1$ plus $P_2 h_2$. So, $P_1 h_1$ means this is h_1 and this is suppose h_2 . So, and y bar actually suppose is the resultant thrust somewhere here this is the one resultant thrust actually somewhere actually this is the resultant thrust. So, that become y bar suppose if I consider.

So, that way actually we can find out the thrust; so, what is the thing actually now to note. If it is a surcharge then only this is the additional q times k_a and this is the one I

have considered cohesion less soil, if instead of cohesion less soil if it is $c \phi$ then corresponding diagram I would have drawn in addition I could have drawn this. So that means, so first of all without surcharge you draw the pressure diagram and then add another diagram which will be throughout the depth pressure intensity constant and which is equal to $k a$ times q which is be equal to like this and then sum it then you can find out the resultant pressure and resultant thrust and resultant all those things ok.

With this actually almost earth pressure theories I have whatever relevant I have just completed. And now I will try to show the calculation; that means, earth pressure calculation through some application and then stability analysis ok.

Thank you.