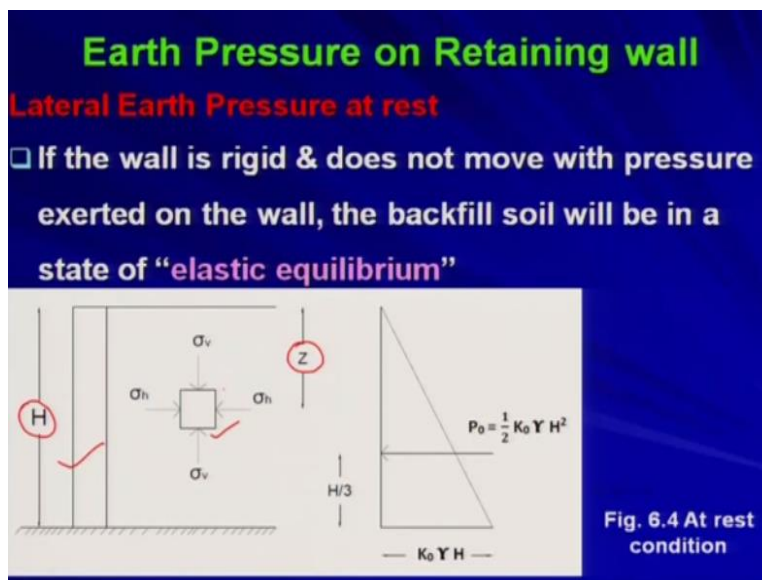


Geology and Soil Mechanics
Prof. P. Ghosh
Department of Civil Engineering
Indian Institute of Technology Kanpur
Lecture - 51
Earth Pressure on Retaining wall - A

Welcome back. So, in the last lecture we just started discussion on the earth pressure on retaining wall. So, there we have seen that how you will be getting or how the earth pressure at rest at passive at active condition are going to develop. So, those things we have seen in the last lecture.

(Refer Slide Time: 00:35)



So, now in this lecture we will be talking about the elastic equilibrium and we will be trying to establish the relation or trying to establish the expression for earth pressure at rest and earth pressure at active and passive condition. So, if the wall is rigid and does not move with pressure exerted on the wall the backfill soil will be in state of elastic equilibrium.

That means when the wall is not moving at all when the wall is at rest condition when the backfill is at rest condition at that time basically the soil will be under elastic equilibrium. So, what does this mean? So, if I look at this figure so this is the wall which is having the height say capital H we are considering at some depth z from the top surface of the backfill we are considering one soil element. So, this soil element will be under this kind of state of stress.

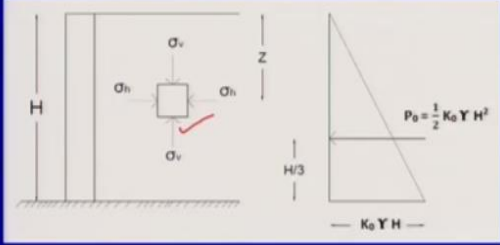
On the horizontal plane, you will be having σ_v and on the vertical plane you will be having σ_h . So, horizontal and vertical plane both will be both will be your principle planes right because there is no shear stress on this plane so they must be the principle planes.

(Refer Slide Time: 01:49)

Earth Pressure on Retaining wall

Lateral Earth Pressure at rest

- Considering the element,
 $\sigma_v = \gamma z, \sigma_h = \text{lateral pressure}$
- Earth pressure coefficient,
 $K = \frac{\sigma_h}{\sigma_v}$



Now if we consider this soil element whatever is shown at depth z below the ground surface so considering this element σ_v is nothing but γz as I told you in the last lecture and σ_h it is nothing but the lateral pressure as I right so which is nothing but your objective function which is which needs to be determined okay. So, σ_v is known to you because if you know at what depth you are considering okay so you know the depth you know the unit weight of the soil depending on the situation of the water table and all we will come to that point later on. So, based on that you can find out σ_v .

So, once you know σ_v you can find out σ_h if you know the relation between σ_v and σ_h as I right. So, σ_h is nothing but your lateral earth pressure which is I mean in case of earth pressure at rest condition this is the earth pressure at rest. In active situation, it will be the active pressure and in passive situation it will be the passive pressure right. This lateral pressure is nothing but the earth pressure okay.

Now earth pressure coefficient is defined by this expression where earth pressure coefficient K is nothing but equal to σ_h by σ_v . So, in different situations in different conditions whether it is under rest condition whether it is under active or passive condition depending on the situation you can find out this earth pressure coefficient. So, earth pressure coefficient at rest condition, earth pressure coefficient at active condition, earth pressure coefficient at passive condition.

So, once you know the earth pressure at different conditions right earth pressure rest. If you know this I mean earth pressure coefficient then you can find out sigma v provided if you know sigma v sorry. So, basically this earth pressure coefficient at different conditions we are going to find out, earth pressure at rest, earth pressure at active, earth pressure at passive. So, this earth pressure coefficient we are going to obtain or we are going to formula is this thing.

So, once you know this earth pressure coefficient and if you know sigma v that is obviously at some depth you are considering so sigma v is known to you so if you know sigma v if you know the earth pressure coefficient you can find out sigma h that is nothing but your earth pressure that is the lateral earth pressure at different conditions. If you are using earth pressure coefficient at rest condition then you will be getting earth pressure at rest condition. If you are using earth pressure at active condition you will be getting earth pressure at active condition. So, in that way you can find out sigma h okay.

(Refer Slide Time: 04:32)

Earth Pressure on Retaining wall

Lateral Earth Pressure at rest

Earth pressure coefficient at rest,

$$K_0 = \frac{\sigma_h}{\sigma_v} = \frac{\sigma_h}{\gamma z}$$

$$\sigma_h = K_0 \gamma z$$

z=0, sigma_h=0

$$P_0 = \frac{1}{2} K_0 \gamma H^2 \text{ [Total pressure]}$$

So, now first we are going to find out earth pressure at earth pressure coefficient at rest condition. So, earth pressure coefficient at rest condition is defined by K 0 which is nothing but sigma h by sigma v that is the definition of the earth pressure coefficient. Therefore, that is nothing but sigma h. Now what is sigma v that is nothing but gamma z am I right. So, if you know K 0 and if you know at what depth you are considering so therefore sigma v is known to you can find out sigma h.

So, from this I can write σ_h equal to K_0 into γz right. K_0 is nothing but the earth pressure coefficient at rest condition into γ into z . Now by seeing this variation we can get the variation of the lateral pressure from top to bottom of the wall as linear. So, this is shown here. So, at z equal to 0 what is σ_h it is 0 okay. So, that is here. At z equal to h what is your σ_h ? σ_h is equal to K_0 into γh so that is here. So, this is the pressure.

So, it starts from 0 and ending at $K_0 \gamma h$. So, this is the earth pressure distribution okay variation of earth pressure at rest condition along the wall height. Is that clear? So, at any depth you can find out earth pressure at rest condition okay. So, now the if you want to find out the total pressure that is the total thrust at rest condition that is nothing but the area of this triangle so area of this triangle is P_0 equal to half into K_0 into γH^2 right.

Because we are considering this all these problems as plane strain problem that is the direction normal to these board or normal to these plane okay is unit okay. So, this P_0 is equal to half into K_0 into γH^2 that is nothing but the total pressure at rest condition. That is the total thrust acting on the wall and which will be obviously acting at the CG of this triangle that is at $H/3$ from the base of the triangle okay.

So, this is the point of application of this thrust and this is the total thrust. So, based on that you can design the wall. So, this much I mean the wall should be designed based on this pressure and at that label that pressure is acting or the total pressure rather total pressure is acting and based on that you can design the wall okay. That is earth pressure rest condition. Now in all this equations or the formulation we know how to find out σ_h we know how to find out the total pressure and all those things but provided if we know K_0 .

K_0 is nothing but earth pressure at rest condition. If I know this K_0 value then only I can find out the magnitude of the total pressure total thrust pressure at different locations all those things right. σ_h is only known when you know K_0 value. So, let us find out how to find out K_0 .

(Refer Slide Time: 08:10)

Earth Pressure on Retaining wall

Lateral Earth Pressure at rest

Value of K_0

Lateral Strain,

$$\epsilon_h = \frac{1}{E} [\sigma_h - \mu(\sigma_v + \sigma_h)]$$

For rest condition, $\epsilon_h = 0$

Or, $\sigma_h - \mu(\sigma_v + \sigma_h) = 0$

$$\sigma_h = \left(\frac{\mu}{1 - \mu} \right) \sigma_v = K_0 \sigma_v$$

$$K_0 = \left(\frac{\mu}{1 - \mu} \right)$$

So, value of K_0 . So, lateral strain generally I mean you know from the Hooke's law lateral strain is given by this expression. So, this is very simple that is coming from your mechanics. That is epsilon h that is your lateral strain is equal to 1 by E into sigma h - mu into sigma v + sigma h because this is the 2D problem 2D plane strain problem so that your epsilon h is given by this expression and this is coming from your Hooke's law right.

Now for rest condition what is epsilon h? epsilon h what is epsilon h that is the lateral strain that is the movement of the wall that is the strain happening in the soil. So, earth pressure at rest condition if you consider the rest condition at that time what is the lateral strain, lateral strain is zero, there is no movement in the soil backfill. So, epsilon h is zero. If epsilon h is zero so I can write sigma h - mu into sigma v + sigma h is equal to 0.

From this I can write sigma h is equal to mu by 1 - mu into sigma v which is nothing but K_0 sigma v. Therefore K_0 is mu by 1 - mu okay. So, what is mu? mu is the Poisson ratio. So, if you know the Poisson ratio you can find out the magnitude of K_0 . So, once you know K_0 you can find out sigma h at different locations and as well as the total pressure or the total thrust on the wall at rest condition.

(Refer Slide Time: 09:46)

Earth Pressure on Retaining wall

Lateral Earth Pressure at rest

Jaky (1944) proposed ,

$$K_0 = 1 - \sin\phi$$

Now Jaky in 1944 he proposed that K_0 can be taken as $1 - \sin \phi$ where ϕ is the angle of internal friction of the soil and it has been found that this value of K_0 gives very good or reasonable result in the soil mechanics.

(Refer Slide Time: 10:03)

Earth Pressure on Retaining wall

Rankine's earth pressure theory for cohesionless soil

Fig. 6.5 Active condition

Now in case of active condition we are trying to obtain this kind of say or we are trying to develop this earth pressure coefficient or the relation between the vertical pressure and the horizontal pressure in case of active state. Now and that was proposed by Rankine's okay so therefore that is why it is known as Rankine's earth pressure theory for cohesionless soil. So, first we are considering the cohesionless soil that means c is 0 okay.

So, at that time we are considering again similar thing this is the wall so we are considering one say small element at some depth z okay from the ground surface H is the total depth of the wall so again the earth pressure distribution at active state will be so this is the earth pressure distribution at rest condition K_0 into γH already we have established that and already you know that your earth pressure at active condition will be lesser than your earth pressure at rest condition right.

Now we need to find out the variation. So, we will see that this variation will be also linear and because your σ_h by σ_v is K_a where K_a is nothing but the earth pressure coefficient at active condition okay. We need to find out K_a . Now this once you know K_a which will define how to find out if you know σ_v you can find out σ_h which is nothing but the lateral earth pressure at active condition.

So, σ_h is nothing but K_a into σ_v okay. So, this relation or this K_a further can be written as K_a into γz right. So, at z equal to 0 your σ_h at active condition will be 0 same okay as rest condition. Now when z equal to H at that time σ_h will be K_a into γH . So, that is written here K_a into γH okay. So, and the variation is linear so therefore this is your variation of the active earth pressure which will be lesser than your earth pressure at rest condition but it will be always linear okay.

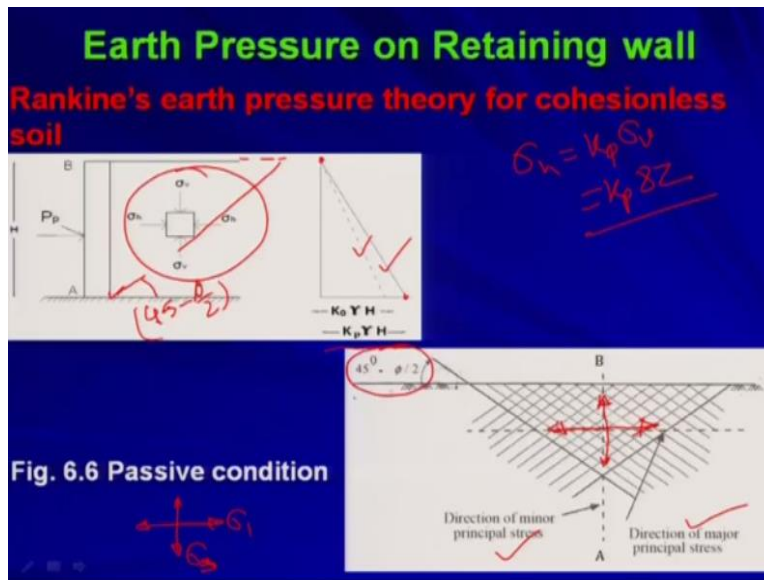
So, now in this figure if you look at so in case of active state what is the direction of the major principle stress. The direction of the major principle stress is vertical. So, this is the direction of the major principle stress right. So, therefore what will be the direction of minor principle stress. That will be just 90 degree from the major principle stress direction so that will be simply horizontal.

This is your minor principle stress direction okay. So, now if you recall your Mohr circle representation in the Mohr circle in case of this kind of orientation when σ_1 is acting in the vertical direction and σ_3 is acting horizontal direction in this situation okay horizontal direction means σ_3 is acting on the vertical plane and σ_1 is acting on the horizontal plane in that situation in the Mohr circle if you recall from your mechanics point of view then basically the slip lines that means we will come to that point later on the slip lines will be making an angle $45 + \frac{\phi}{2}$ with the horizontal, horizontal means the plane on which σ_1 is acting.

Sigma 1 is acting on the horizontal plane because sigma 1 is acting in the vertical direction so sigma 1 is acting on the horizontal plane. So, the line which is making an angle $45 + \phi$ by 2 with the horizontal plane will be the slip lines okay the shear lines right. So, there will be 2 shear lines because from the top you will be having one failure envelope and the bottom you will be having another failure envelope so you will be getting 2 shear lines and those shear lines and that is why this failure as I told you this will be making $45 + \phi$ by 2 because that is your shear line. Along that line basically the failure will be happening okay.

So, I told you that we will be discussing this thing later on anyway. So, we will see this thing in more detail when we will be talking about the Mohr circle representation.

(Refer Slide Time: 14:49)



Now talking about the passive condition at that time also we are considering this state of stress okay. So, one soil element we are considering. Here also your sigma h is equal to K p into sigma v which is nothing but K p into gamma into z okay. So, now you see this dotted line is your earth pressure at rest condition that is the variation at earth pressure at rest condition okay. Now this solid line will be giving you the earth pressure at passive state.

So, at z equal to 0 it is 0 at z equal to H it is K p into gamma H which will be greater than your earth pressure at rest condition agreed. So, earlier in case of active state you got the lesser value than the rest condition. Now you are getting the higher value than the rest condition which is quite obvious from our earlier discussion. Again, in this situation in case of passive state if you see what is the direction of the major principle stress?

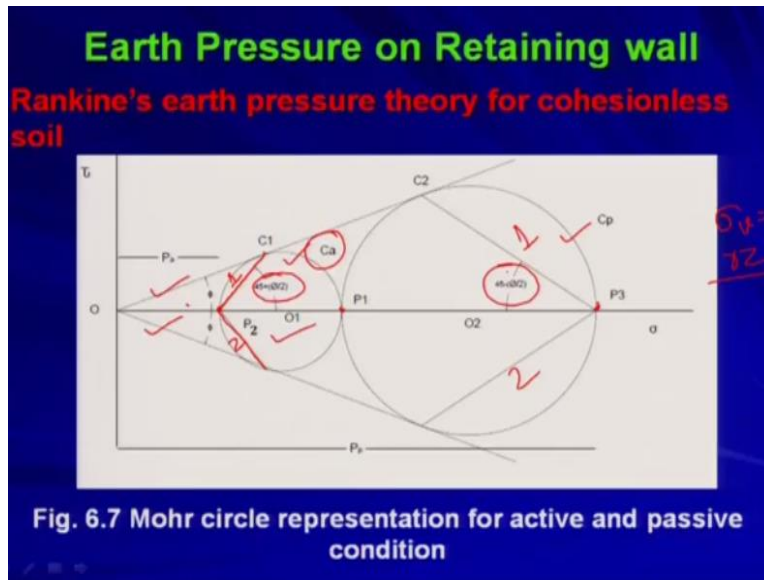
Direction of the major principle stress is horizontal right. In case of active state direction of major principle stress was the vertical, now it is horizontal. That means you are pushing, this is your main action right, you are pushing the wall towards the backfill so that should give you the major principle stress. So, what is your minor principle stress? What is the direction of the minor principle stress? That is nothing but vertical.

So, this is the so this is your major principle stress direction and this is your minor principle stress direction which is just reverse than the active state okay and if you plot so that means now basically your σ_1 is acting horizontally and σ_3 is acting vertically. So, in this situation in this condition okay so this condition if you try to plot in the Mohr circle you will be getting the shear lines which will be making an angle $45 - \phi/2$ with the plane on which σ_3 is acting right.

σ_3 is acting on horizontal plane. Now σ_3 is acting on horizontal plane. So, $45 - \phi/2$ is the angle between the shear lines and the horizontal plane on which σ_3 is acting. Now this thing will be clear when you will be talking about the Mohr circle representation. So, therefore you will be getting the failure surface which will be inclined $45 - \phi/2$. So, already we have seen so this shear lines will be making an angle $45 - \phi/2$ with the horizontal plane okay.

So, therefore this failure envelope or the failure surface in case of passive state will be making an angle $45 - \phi/2$. So, that is the reason that is why you are getting this failure surface is inclined at $45 - \phi/2$ with the horizontal in case of passive state whereas in case of active state you got the active I mean the failure envelope or the failure plane is inclined at an angle $45 + \phi/2$ with the horizontal right. So, now if you see this Mohr circle representation it will be more clear.

(Refer Slide Time: 18:20)



So, this is the Mohr circle C_a that is Mohr circle for active state and C_p is the Mohr circle for passive state. So, and as I told you your σ_v is same for passive state or active state whatever state you consider σ_v is γz because you are not changing the location at which you are considering that soil element whatever soil element whatever soil element we considered. So, σ_v will be remaining same for active and passive state whereas your σ_3 for active state and σ_1 for passive state will be different.

This P_1 point will be talking about this σ_v . So, you are starting from this. So, active state circle this circle is giving you the active state and therefore this point P_2 is nothing but your active earth pressure that is your minor principle stress and minor principle stress is nothing but the lateral earth pressure in case of active state and that is eventually your active earth pressure. Whereas in case of passive state this P_1 becomes the minor principle stress whereas this P_3 point is becoming the major principle stress which is giving you the lateral pressure and which is nothing but the passive earth pressure okay.

So, now as I told you this so this is the plane this is the first shear line and this is the second shear line right. So, because you are you will be getting the Mohr-Coulomb failure envelope on both the sides. So, this is your first shear line. This is your second shear line which is making an angle $45 + \phi/2$. So, I am not going too much detail about how to draw this how to obtain this shear line and how to get this first shear line second shear line because this is the part of your mechanics and which is eventually your prerequisite of this course.

So, you must know that how to get these planes on which the failure is happening. So, this is your first shear line which is making an angle $45 + \phi/2$ in case of active state. So, that is why you are getting the failure line or the failure envelope which is making an angle $45 + \phi/2$ with the horizontal but on the other side in case of passive state you are getting this angle as $45 - \phi/2$ right so if you recall I mean just for sake of brevity okay so this point will be becoming as the pole that is the origin of planes and this point will be becoming as pole which is nothing but the origin of planes in case of passive state.

So, P 2 is your origin of planes that is the pole in case of active state and so from this point to the failure point if you join so on that plane you will be getting the failure. Similarly, this is the point P 3 will be the pole in case of passive state. So, if you join P 3 and C 2 so you will be getting the failure. So, this is your first shear line this is your second shear line which will be making an $45 - \phi/2$ angle with the horizontal and that is why you are getting the failure line or the failure envelope is inclined at an angle $45 - \phi/2$ in case of passive state. I hope that you have understood this okay.

(Refer Slide Time: 21:45)

Earth Pressure on Retaining wall

Mohr circle for active & passive states of equilibrium

❖ Vertical stress on horizontal plane at depth z,

$\sigma_v = \gamma z$ \longrightarrow Principal stress

❖ Since shear stress on that plane = 0

$\tau_f = \sigma \tan \phi$ [Coulomb equation]

For active or passive, σ_v is always equal to γz

Now coming to the Mohr circle for active and passive states of equilibrium so vertical stress on horizontal plane at any depth z is nothing but σ_v which is nothing but equal to γz this is your principle stress right one of the principle stress. If you are considering active state this will be your major principle stress. If you consider the passive state it will be your minor principle stress right but it will be your one of the principle stress okay.

Since shear stress on the plane is 0 because the principle I mean the on that plane basically your shear stress is 0 so that is why it is becoming the principle stress right and from the Coulomb's equation I know $\tau = \sigma \tan \phi$ because c is not there we are not considering the cohesive soil for the time being as we told that we are considering only cohesionless soil cohesive soil will be coming later on.


So, the for active or passive state σ_v is always equal to γz please try to remember. σ_v could be minor could be major depending on the situation whether you are dealing with active and passive state but σ_v will be unchanged and σ_v will be equal to γz whether you are considering active state or whether you are considering passive state no matter whatever state you are considering.

(Refer Slide Time: 22:56)

Earth Pressure on Retaining wall

Active state of stress

From Mohr circle C_a



- > Major principal stress, $\sigma_1 = OP_1 = \sigma_z = \gamma z$
- > Minor principal stress, $\sigma_3 = OP_2 = \sigma_h$

$$OO_1 = \frac{\sigma_1 + \sigma_3}{2}, \quad O_1C_1 = \frac{\sigma_1 - \sigma_3}{2}$$

From ΔOO_1C_1 , $\frac{\sigma_1 - \sigma_3}{2} = \frac{\sigma_1 + \sigma_3}{2} \sin \phi$

$$\sigma_1 = \left(\frac{1 + \sin \phi}{1 - \sin \phi} \right) \sigma_3$$

So, in case of active state of stress so from the Mohr circle C_a as I told you in the active state of stress the major principle stress σ_1 is equal to OP_1 okay so O is the origin okay and P_1 is this point. So, OP_1 is your σ_1 which is nothing but your σ_z that is that means σ_v right σ_z and σ_v both are same so in the z direction that is why I have written σ_z to understand this thing more clearly.

So, σ_z is equal to γz . So, σ_1 that is the major principle stress in case of active state is γz . Now the what is the minor principle stress then? Minor principle stress is nothing but σ_3 which is nothing but OP_2 okay. So, OP_2 is nothing but σ_h which needs to be determined. σ_h is your active pressure. So, OO_1 OO_1 what is OO_1 that is the

distance between the center of the Mohr circle here this is O 1 center of the Mohr circle and origin okay.

So, OO 1 is $\frac{\sigma_1 + \sigma_3}{2}$. I do not think that I should explain this thing in more detail because already we have explained enough in in the chapter when we discussed about shear strength. So, OO 1 equal to $\frac{\sigma_1 + \sigma_3}{2}$. Similarly, O 1 C 1 is the radius that is nothing but $\frac{\sigma_1 - \sigma_3}{2}$. So, from triangle OO 1 C 1 we get this relation right. So, from this I can write σ_1 is equal to $\frac{1 + \sin \phi}{1 - \sin \phi} \sigma_3$.

(Refer Slide Time: 24:51)

Earth Pressure on Retaining wall

Active state of stress

Or, $\sigma_h = \left(\frac{1 - \sin \phi}{1 + \sin \phi} \right) \sigma_v$

Or, $K_A = \frac{1 - \sin \phi}{1 + \sin \phi}$

So, what does it mean σ_1 so if you see the previous slide σ_1 is equal to $\frac{1 + \sin \phi}{1 - \sin \phi} \sigma_3$. Now what is σ_1 ? σ_1 is nothing but your σ_v right that is nothing but your γz . What is σ_3 ? σ_3 is your lateral earth pressure that is σ_h right. So, I can write σ_h is equal to $\frac{1 - \sin \phi}{1 + \sin \phi} \sigma_v$. Now from the relation of your earth pressure coefficient this therefore I can write this is equal to σ_v . So, now K_A is nothing but $\frac{1 - \sin \phi}{1 + \sin \phi}$.

So, if you know the angle of internal friction ϕ you can find out what is your active earth pressure coefficient right. So, once you know K_A and already you know σ_v you can find out σ_h . That is how much active earth pressure is exerted on the wall by the backfill. So, I will stop here today. So, in the next lecture we will talk about or we will try to find out the magnitude of K_p and we will move further. Thank you very much.