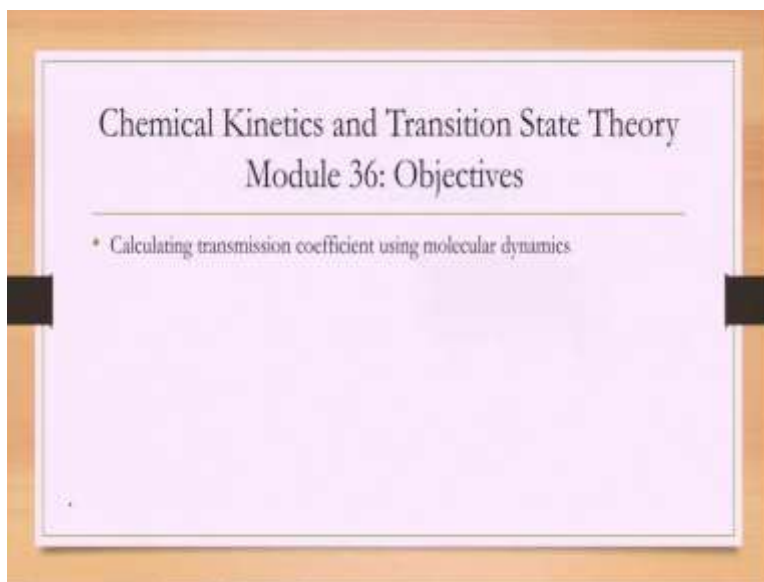


Chemical Kinetics and Transition State Theory
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Lecture 36
Transmission Coefficient and Molecular Dynamics

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Hello, and welcome to module 36 of Chemical Kinetics and Transition State Theory. This is a continuation of the last module. In the last module what we looked at is a calculation of transition state theory rate constant using molecular dynamics simulation. How we can apply molecular dynamics tricks to do a thermodynamic integration and calculate rate constant. Today, we will extend that a little bit and use the powers of MD to actually improve upon some of the assumptions of transition state theory as well.

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Recap: Module 27
TST derived from phase space perspective

$$k = \int dq_1 \dots \int dq_{3N} \int_{-\infty}^{\infty} dp_1 \dots \int_{-\infty}^{\infty} dp_{3N} \left(\frac{e^{-\beta H(q_1^\ddagger, q_2, \dots, q_{3N}, p_1, \dots, p_{3N})}}{N} \right) \chi(\vec{q}, \vec{p}) \frac{p_1}{m}$$

$$= \int_{\text{div. surf.}} d\vec{q} \int d\vec{p} \cdot e^{-\beta H_{TS}} \cdot \chi \cdot \frac{p_1}{m}$$

$$\frac{\int d\vec{q} \int d\vec{p} \cdot e^{-\beta H_R}}{\int d\vec{q} \int d\vec{p} \cdot e^{-\beta H_R}}$$

$N = \int d\vec{q} \int d\vec{p} e^{-\beta H_R}$

TST: $\chi = 1$ only if $p_1 > 0$

$$H_{TS} \equiv H[q_1^\ddagger, q_2, \dots, q_{3N}, p_1, \dots, p_{3N}]$$

So I will go back to a very old formula we wrote in module number 27. You can go back to that module and have a look. What we had really showed that the rate constant under the assumptions of a classical nuclei and Boltzmann distribution, thermal statistics being true, can be written as this big integral. This integral I will just simplify, just shorthand notation for the purpose of this module, I will write this integral from dq to dq_{3N} as dq over dividing surface.

This notation we have used before as well in the course just to simplify our notation. This integral I will write as dp . And there is no restriction here. It is over all momentum, over all possible values of momentum. This I will write as e to the power of minus beta H_{TS} . Again, that is a notation we have used before.

H_{TS} really mean this $H(q_1^\ddagger, q_2, \dots, q_{3N}, p_1, \dots, p_{3N})$. So this H is what I call H_{TS} . Again, the whole purpose is just so that I can write faster. If I write all of this all the time then we would not get anywhere. Multiplied by χ , again, I am dropping function of q and p but that is always present, just shorthand notation.

And note that I am dividing by N . N is given here. And so this is nothing but Q_R . Instead of Q_R , let me write this integral clearly, because this might get confusing since there is no H there. Integral dq integral dp e to the power of minus beta H_R , where H_R represents the Hamiltonian of only the reactants as we have been using it so far.

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Thermodynamic integration using MD

$$k_{TST} = \left(\frac{k_B T}{2\pi m} \right) p(q_1^\ddagger)$$

$$p(q_1^\ddagger) = \text{probability density with } q_1 = q_1^\ddagger$$

$$p(q_1^\ddagger) = p(q_1^R) e^{-\beta[W(q_1^\ddagger) - W(q_1^R)]}$$

- Calculate $p(q_1^R) = \frac{1}{\delta q} \frac{\text{time for which } |q_1 - q_1^R| < \delta q}{\text{total simulation time}}$
- Use constrained MD to calculate $\frac{dW}{dq_1^0} = \frac{\int dq_2 \dots \int dq_{3N} e^{-\beta V(q_1^0, q_2, \dots, q_{3N})} \frac{\partial V}{\partial q_1^0}}{\int dq_2 \dots \int dq_{3N} e^{-\beta V(q_1^0, q_2, \dots, q_{3N})}}$



So we used this formula in the last module to derive a slightly different formula for kTST which is amenable to molecular dynamics. So what we had shown is that we can play around with this formula a little bit and right kTST as this formula root kT over 2 pi m, which is a thermal velocity into this function p and p is nothing but the probability distribution of being at q1. So what we had discussed in the last module was that p of q1 naught is the probability density with q1 equal to q1 naught.

So if I set this one coordinate q1 as q1 naught and do a thermal integration over all other variables q2 to q3N, p1 to p3N what will be the probability I will get that is what we defined as p of q1. And so this is p at q1 dagger, where q1 dagger represents the transition state value. And then we had workout scheme to calculate this pq1 dagger. We figured out that p of q1 dagger can be written as p of q1R into this Boltzmann distribution, where W is a kind of a free energy. And then we figured out a scheme to calculate p of q1R and this difference as well from last model.

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Transmission coefficient

$$k = \int_{\text{surf}} d\vec{q} \int d\vec{p} \rho_{\text{eq}} \chi \frac{p_x}{m}$$

$$k_{\text{TST}} = \int_{\text{div surf}} d\vec{q} \int d\vec{p} \rho_{\text{eq}} h(p_x) \cdot h/m$$

$$K = k_{\text{TST}} \cdot K$$

$$K \equiv \frac{K}{k_{\text{TST}}} = \frac{\int_{\text{div surf}} d\vec{q} \int d\vec{p} e^{-\beta H_{\text{TST}}} \chi \cdot h/m}{\int_{\text{div surf}} d\vec{q} \int d\vec{p} e^{-\beta H_{\text{TST}}} h(p_x) \cdot h/m}$$

TST: $\chi = 1$ only if $p_x > 0$

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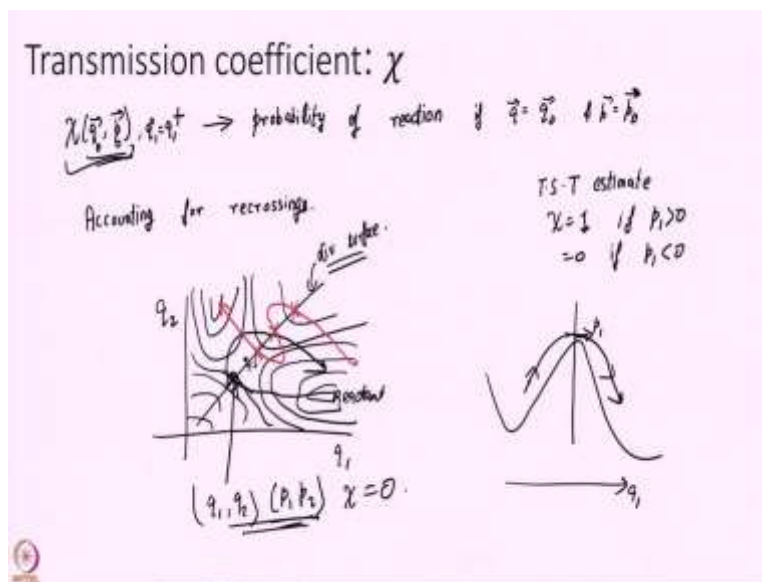
$h(p) = 1$ if $p_x > 0$
 $= 0$ if $p_x < 0$

So, today, let us do something more. So this is our actual formula for k, this big integral. Now, kTST is nothing but integral over this dividing surface dq, integral over dp, rho equilibrium into chi is replaced by this. So we write this as a different form which is H of p1. I will define this in just a moment. H of p1 equal to 1, if even greater than 0, this is equal to 0, if p1 less than 0.

So really it is a mathematical way of writing this line. So if I write this H of p1, this integral will automatically be 0 if p1 is less than 0. And my integral over p1 by default will become integral from 0 to infinite, which is what we have been doing. So it is just an easier way of writing it. I am just being a bit lazy, that is all. So instead of writing dp1 separately with a 0 to infinite I have written it in this simple functional form. If you want, you can go ahead and write it in that particular form of 0 to infinity dp1 and dp2 to dpn. What we have done in the last modules, exactly the same thing.

So my point is this kappa, this assumption that we have made, today we are going to lift this assumption and we are going to use molecular dynamics to calculate this kappa more accurately than what transition state theory does. So, let us start. I will simplify my life by defining something like this, where kappa is nothing but it is a definition. So, something stupid. So if I divide these two dq dp rho equilibrium I will just write as e to the power of minus beta transition state chi p1 over m divided by dividing surface dq dp e to the power of minus beta H transition state H of p1 into p1 over m.

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This is fine. But let us think of how we can calculate this expression. Have we made any real improvement? I can keep on defining variables, but what about it. How do I calculate this variable? So, let us give a little bit of moment in thinking what is chi really means. Chi is the probability of reaction if I am at that given q comma p . So let us think about this coefficient a little bit more, this chi with q_1 equal to q_1^\dagger .

This chi tells me probability of reaction if q equal to, let me just say, q naught and p naught equal to q naught and p equal to p naught. So if my p and q are at this value here, I start with this value on the transition state. Remember that transition state is simply specified by q_1 equal to q_1^\dagger , but you have all these other variables with you as well which are q_2 to q_3 , q_3^N and p_2 to p_1 to p_3^N they can be taking all kinds of values.

And depending what their value is, your chi might not be 1. So, chi is a complex dynamical variable which depends on q_2 to q_3^N comma p_1 to p_3^N . It is a function of it. You give me these values and I should be able to figure out what this kappa is for you. So, how do we think about this? This effectively is accounting for re-crossings. So let us think about this statement a little bit. What I mean by that? If p_1 is greater than 0 and I say chi is equal to 1 that is my transition state estimate. So let us just come back to it.

So transition state theory makes a very simplifying assumption. It says look only for the value of p_1 . Forget all about your q_2 to q_3^N and p_1 to p_3^N , p_2 to p_3^N , just look at p_1 , positive 1,

negative 0. What is the underlying idea behind this assumption? So let us say I have this potential barrier, this is q_1 , it says that if I am moving in this forward direction, I am reactive.

That is if I would have went back, I would have ended in reactant. If I move forward, I will end in product. So the reality is that we have a multi-dimensional energy surface now. So our energy surface, let us say, let me just draw two of them, the kind of energy surfaces that we drew in the last module. So let us draw something symmetric, something simple. This is let us say my transition state.

Now a trajectory let us say comes from here. This is let us say my reactant. Well, it has a complex energy surface it sees. It might turn around like this and come back. So now when I am looking at, let us say, this point, q_1 comma q_2 with the momentum that this point, that trajectory reaches this point with. So this is my dividing surface. This is the surface I am integrating over, where I am setting the value of q_1 too.

Now, for this particular value of p_1 comma p_2 comma q_2 , my chi is basically 0. It is not reactive. I can have another case as well. So let us say I start here. I come here, I come here and I come here. This is a bit more confusing. So I have three points here that I am hitting, two with positive momentum and it is reactive. But the problem is that I am counting this one trajectory three times when I do transition state theory.

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Constrained molecular dynamics

$$K = \frac{\int dq_2 \dots \int dq_{3N} \int_{-\infty}^{\infty} dp_1 \dots \int_{-\infty}^{\infty} dp_{3N} e^{-\beta H(q_1^\ddagger, q_2, \dots, q_{3N}, p_1, \dots, p_{3N})} \chi(q, p) \frac{p_1}{m}}{\int dq_2 \dots \int dq_{3N} \int_0^{\infty} dp_1 \int_{-\infty}^{\infty} dp_2 \dots \int_{-\infty}^{\infty} dp_{3N} e^{-\beta H(q_1^\ddagger, q_2, \dots, q_{3N}, p_1, \dots, p_{3N})} \frac{p_1}{m}}$$

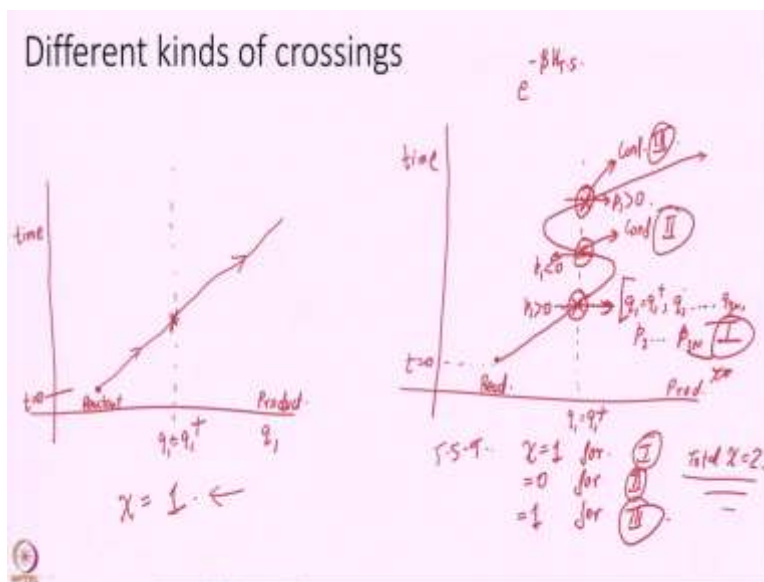
* Do constrained MD with initial conditions $q_i = q_i^\ddagger$

* Sample $\{q_2, \dots, q_{3N}\}$ & $\{p_2, \dots, p_{3N}\}$ using Boltzmann distribution:
 $P(q_2, \dots, q_{3N}, p_2, \dots, p_{3N}) = \frac{e^{-\beta H(q_2, \dots, q_{3N}, p_2, \dots, p_{3N})}}{N}$

So the main idea that we have is the following. We want to calculate this kappa and I have written the full integral once for you. We want to do this full calculation. We want to solve this big integral, but using MD. So our idea is do constrained MD with initial conditions q_1 set at q_1^\ddagger . Sample q_2 to q_{3N} and p_2 to p_{3N} using Boltzmann distribution to q_{3N} p_2 to p_{3N} is equal to $e^{-\beta H(\text{transition state})}$.

So I sample all these q_2 to q_{3N} and p_2 to p_{3N} , sorry, p_1 to p_{3N} . I am so sorry using Boltzmann distribution, because that is what this factor I am getting here. Q_1 is set at q_1^\ddagger . And now basically we have to give a recipe of how to calculate this chi. As it turns out calculating chi using statistical mechanics is not easy. There are attempts at it. But molecular dynamics is much more amenable to calculate this chi, because the chi is truly a dynamical quantity. Thermodynamics cannot really describe chi very well.

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Constrained molecular dynamics

$$\kappa = \frac{\int dq_2 \dots \int dq_{3N} \int_{-\infty}^{\infty} dp_1 \dots \int_{-\infty}^{\infty} dp_{3N} e^{-\beta H(q_1^\ddagger, q_2, \dots, q_{3N}, p_1, \dots, p_{3N})} \chi(q, \vec{p}) \frac{p_1}{m}}{\int dq_2 \dots \int dq_{3N} \int_0^{\infty} dp_1 \int_{-\infty}^{\infty} dp_2 \dots \int_{-\infty}^{\infty} dp_{3N} e^{-\beta H(q_1^\ddagger, q_2, \dots, q_{3N}, p_1, \dots, p_{3N})} \frac{p_1}{m}}$$

* Do constrained MD with initial conditions $q_1 = q_1^\ddagger$
 * Sample $\{q_2, \dots, q_{3N}\}$ & $\{p_1, \dots, p_{3N}\}$ using Boltzmann distribution:
 $P(q_2, \dots, q_{3N}, p_1, \dots, p_{3N}) = \frac{e^{-\beta H(q_2, \dots, q_{3N}, p_1, \dots, p_{3N})}}{N}$

So let us look at a few different kind of trajectories, a few different kind of crossings. So I will making simple figures. Let us say on the x-axis I have q_1 and on the y-axis let me draw time. Let us say this is my transition state geometry. Let us say at t equal to 0, my q_1 is somewhere here, which is a reactant and this side is product. So q_1 is my reaction coordinate. The middle decides a transition state, left of transition state is let us say my reactant, right of transition state is my product.

So as I move in time, let us say, the trajectory does something very simple. So let us start with this one. This is the best case scenario for transition state theory. It crossed the transition state exactly once. So think about your transition state theory. I am really sampling this big integral with q_1 set to q_1^\ddagger . So this point here will also be sampled with q_1 equal to q_1^\ddagger , and I, although I have not drawn q_2 to q_{3N} and p_1 to p_{3N} , they are having some value here and they have such values that I get this kind of a trajectory.

If that happens, my life is really, really good. My χ is simply 1 for this trajectory. Let us look at a more confusing trajectory. If this was the whole case, then I do not need this module, then κ can be, κ is simply 1. Transition state theory will be perfect. But that is not always the case unfortunately. Life is complex. So there are trajectories, the same let us say I start here, I let us say it hits here the same way, but here my values of p_2 to p_{3N} and q_2 to q_{3N} are different compared to this one.

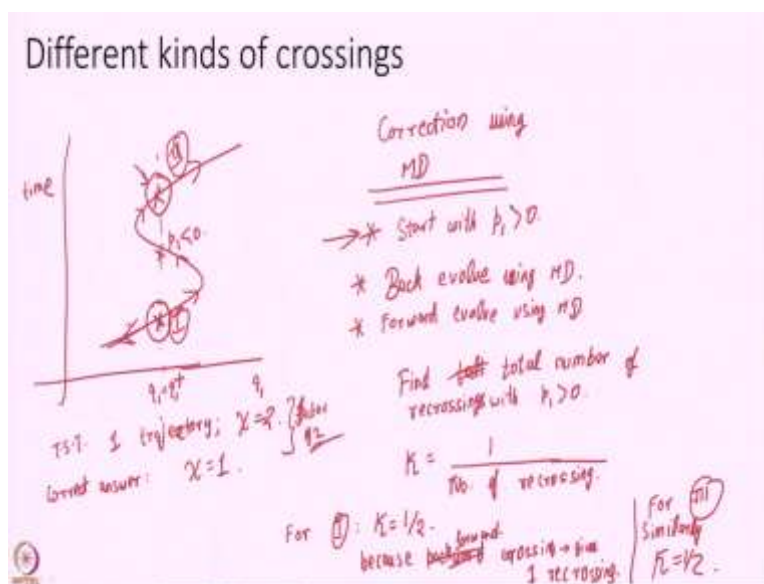
So the values of other coordinates other than q_1 at this point and this point are different that will lead to different dynamics now. So this one let us say does the following just for example. So, now, when I sample my e to the power of minus beta H transition state, this point will appear, this point will appear and this point will appear. I again emphasize this point corresponds to a certain value, q_1 is fixed, but it corresponds to certain values of q_2 to q_{3N} comma p_2 to p_{3N} .

I will call this configuration, configuration I, I will call this configuration, configuration II and I will call this configuration, configuration III. Now, note, when I sample e to the power of minus beta HTS only constraint is q_1 should be q_1 dagger, which is true for I, II and III. So, all three points, these three points will emerge in this distribution. I will sample them with some probability, but they will be present.

If that is the case and if I assign χ equal to 1 for this one, let us say I use transition state theory basically. So, if I follow transition state theory, χ equal to 1 for one, equal to 0 for two, equal to 1 for three. So transition state theory will essentially give me a total χ of II. Let us assume that just for a moment that H transition state is, has a potential has a same value at the crossings I, II and III that is not true, but for our discussion let us assume that.

So if I have that, I got a total value of χ of 2, because transition state theory only looked at p_1 . So p_1 is positive here. I am moving in the forward direction here. P_1 is negative here and again p_1 is positive here. So this one trajectory, I get χ equal to 2, but you see that is the problem. That is precisely the problem. That is the double counting problem of transition state theory or the re-crossing problem of transient state theory.

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So for this kind of a trajectory, for this one trajectory, I am getting chi equal to 2. But the correct answer is equal to 1. Chi is really counting reactive trajectories that is a true meaning of chi. Chi should include all these three points as one point only, because they are connected through this phase space. They are part of one trajectory only.

So which means that transition state theory overestimates the rate constant by a factor of two in this case. So a simple idea on how will I correct, correction using MD start with p_1 greater than 0, back evolve using molecular dynamics. Back evolve really means I am setting t to minus t . Remember that the Newton's laws are perfectly time reversible. So if you give me a value of q comma p , I can also go back in time and tell you what q and p would have been some time ago. So that is very easy to do in molecular dynamics.

Forward evolve using molecular dynamics, find total number of re-crossings, and set kappa equal to 1 over number of re-crossings. So let us just go by it in a moment. So let us see what this will do? Has it solved anything? I just given you an algorithm, but does it, this algorithm solves our problem or not? So, my sampling will only include this point and this point, because I have set p_1 is greater than 0. This one p_1 is less than 0. So this is not part of my sampling at all.

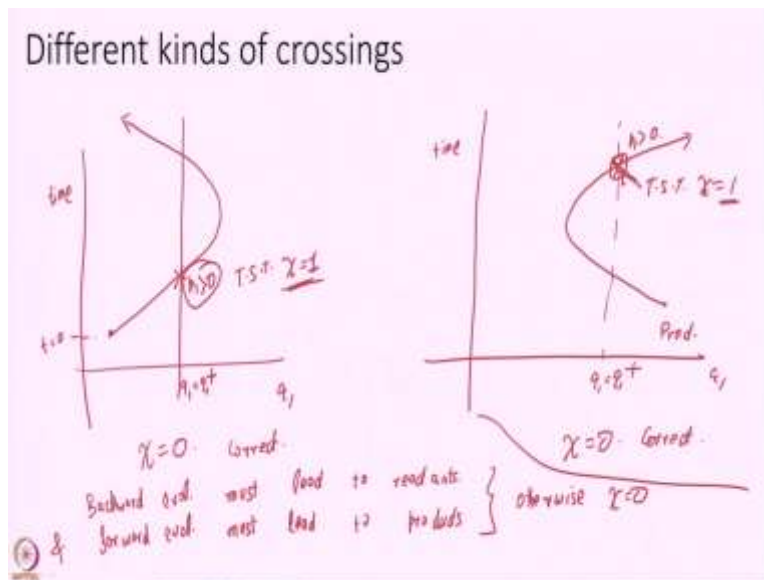
For these two points, I will go back in time. So I will, let us say, I start with one. I go back in time. I go forward in time, I am sorry, with p_1 greater than 0. So if I am sitting at this point, I will go backward in time, I will go forward in time and I will do this trajectory basically and I

will find that I have two points of re-crossings, one is this point itself. But by doing MD, I will also that there was a point three.

So when I evolve forward in time from point one, I will end up with point three which is also a crossing in the, with p_1 greater than 0. So for one my kappa will be set at half, because backward crossing, sorry, forward crossing here gave me, gives one re-crossing. So the starting point itself is a crossing plus when I moved forward in time I found another crossing, so the total number of crossings became 2.

For three, similarly, kappa is also half and it is half here because in backward direction now, so if I start with three here, now I will go back in time, then I will find one. So when I propagate backwards in time, I will have another re-crossing. So, I, basically I have two re-crossings. So, now, I have solved my problem. So I get a half from one and half from three and that basically accounts for my factor of two here is accounted for. So that is the main idea.

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Let me just add one more thing here. Let us imagine another kind of trajectory. This is my time. This is q_1 . This is q_1 equal to q_1 dagger. Let us start with a trajectory here. And this trajectory let us say does this. This is not reactive at all. For this trajectory chi must be equal to 0. I can have another trajectory where let us say it does this. For this as well chi should be 0. So this point will come. This has p_1 greater than 0.

So I will be sampling this point. I will be sampling this point. So transition state theory would have set chi equal to 1 for this. Similarly, at this point, transition state theory will put chi equal to 1, but this is wrong. This is the correct answer. So we have to account for this. So the simplest idea is backwards evolution must lead to reactants and forward evolution must lead to products. Otherwise, chi equal to 0.

So for this trajectory in my forward evolution, I will end in reactant, hence chi will be set to 0. For this trajectory in the backward evolution I will end in products and hence chi will be 0.

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Calculating κ

$$\kappa = \frac{\int dq_{12} \dots \int dq_{3N} \int_{-\infty}^{\infty} dp_1 \dots \int_{-\infty}^{\infty} dp_{3N} e^{-\beta H(q_1^\ddagger, q_{2\dots 3N}, p_1, \dots, p_{3N})} \chi(\vec{q}, \vec{p}) \frac{p_1}{m}}{\int dq_{12} \dots \int dq_{3N} \int_0^{\infty} dp_1 \dots \int_{-\infty}^{\infty} dp_{3N} e^{-\beta H(q_1^\ddagger, q_{2\dots 3N}, p_1, \dots, p_{3N})} \frac{p_1}{m}}$$

* Set $q_1 = q_1^\ddagger$ & sample rest using $e^{-\beta H_{TS}}$.

* Backward evolve 2

* Forward evolve.

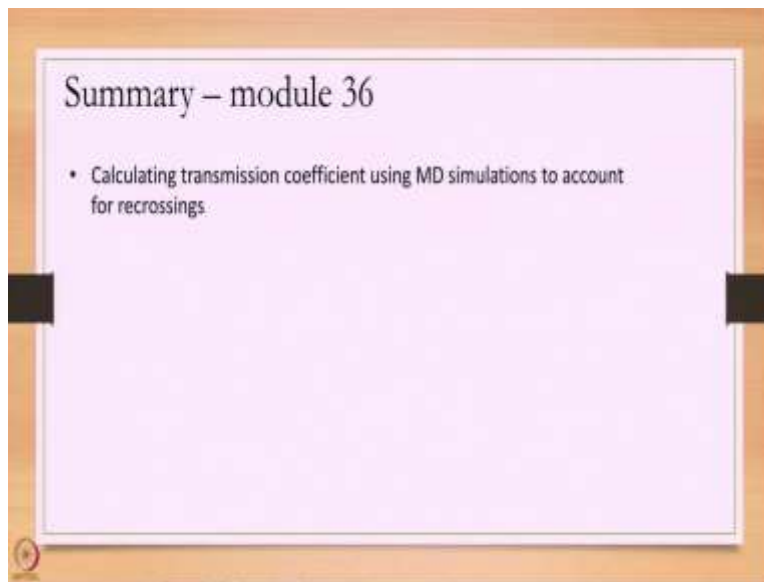
* If B. end leads to prod $\chi = 0$

* If F. end leads to react $\chi = 0$

Otherwise $\chi = \frac{1}{\text{no. of recrossings.}}$

So, in short, to calculate chi what we are doing, set q_1 equal to q_1^\ddagger and sample rest using $e^{-\beta H}$ distribution, backward evolve, forward evolve, if back evolution leads to product chi equal to simply 0, if forward evolution leads to reactants chi is 0, otherwise, chi is equal to 1 over number of re-crossings. So this is the value of chi, I will feed here and calculate these integrals.

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So, in summary, we have today looked at how to calculate this transmission coefficient κ using molecular dynamics to account for re-crossings, and this κ will always be between 0 and 1. Thank you very much.