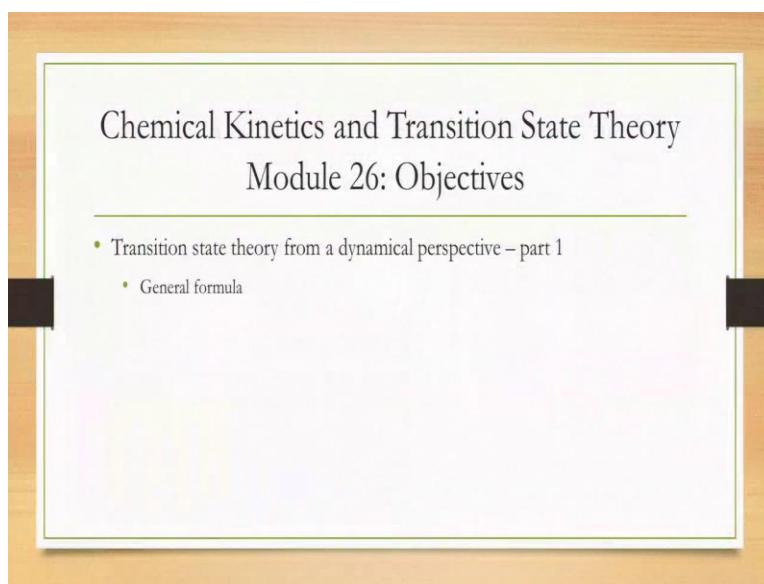


Chemical Kinetics and Transition State Theory
Professor Amber Jain
Department of Chemistry,
Indian Institute of Technology Bombay
Lecture 26
Rate as a reflux across a dividing surface

(Refer Slide Time: 00:16)



Hello, and welcome to module 26 of Chemical Kinetics and Transition State Theory. In today's module and the next module, we are going to look at a different way of deriving transition state theory. The derivation that we have done so far is from statistical mechanics. We look at the equilibrium constant and relate that to partition functions and we specifically make the five assumptions that we have discussed in the last several modules and we get a final expression, the very famous transition state theory expression. That derivation is perfectly correct.


But what I want to do in today's and the next module is to look at it from a different angle. What is missing in this derivation is any sense of dynamics. Remember what we are calculating is a rate constant and the rate is how fast is a reaction going. So, dynamics is very central to all of this. And that get somehow hidden into all this intricacies of equilibrium constant and partition functions. So, we want to bring the dynamics a little bit upside and elaborate where is this dynamics exactly hidden. So, in today's module, we are going to provide a much more general formula for rate constant, more general than transition state theory. And in the next module, we

are going to use that formula and make it a few more approximations and get the transition state theory result. So, without further ado let us begin.

(Refer Slide Time: 01:59)

Resources

- Chemical kinetics and dynamics, Steinfeld, Francisco and Hase, Chapter 10
- Bruce Mahan, *J. Chem. Ed.* **51**, 709 (1974):
<https://pubs.acs.org/doi/pdf/10.1021/ed051p709>




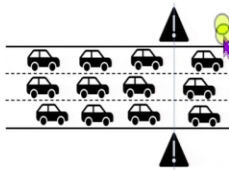
The resources, what book I am following is, one is this Steinfeld and Francisco and Hase. What I am covering today is not covered in that standard textbook of Laidler. You can also find the same thing in this excellent article by Bruce Mahan, Journal of Chemical Education. You can find the article here.

(Refer Slide Time: 02:20)

Rate as the flux across a surface

$$k = \int_0^{\infty} du \underbrace{D \rho(u)}_{\substack{\text{Density of cars} \\ \text{at speed } u}} u$$

D = No. of particles along q_1 per unit length
 $\rho(u)du$ = probability of being at speed u
 u = speed (flux across the dividing surface)



So, I will go back several modules and what we discussed in one particular module is, if you have a series of cars and the cars are going on some highway and I am standing at one given point and I am asking the question what is the rate at which cars will cross this dividing line. That we found is equal to this answer. This answer is the integral overall speeds, positive speeds, the density of cars multiplied by the probability that I am at that given speed.

So, this factor D into ρu is the density of cars at speed u , and u here is the speed which is nothing but the flux across my dividing surface. That is a technical language we use. So, this is what I call as my dividing surface. Dividing surface is nothing but a line that crosses the left to the right and u is telling me at what rate I am transitioning and D into ρu gives me the density and if I integrate overall speed, so I get the rate constant.

(Refer Slide Time: 03:43)

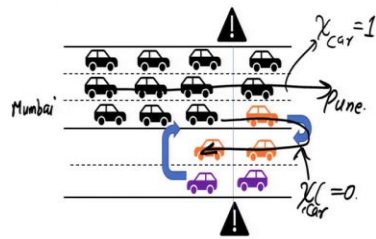
Generalized rate: transmission factor

$$k = \int_0^{\infty} du D \rho(u) u$$

$$= \int_{-\infty}^{\infty} du \cdot D \cdot \rho(u) u \cdot \chi(u)$$

transmission factor

$\chi(u)$: probability of transmission at speed u of travelling from Mumbai to Pune



First thing I want to do today is to make this formula more general, in several aspects. First, in writing this formula, what I have actually assumed is I am integrating the speed only from 0 to infinite. So, I am assuming all cars are moving forward, one straight line. That may not be true though.

So, imagine there are some cars and some car moves forward, but suddenly realizes they have missed something and they turn around and come back, that can happen. So, this car is actually not contributing towards the rate. This car goes up and comes back. So, this formula has a problem then.

Or in other ways you can also imagine there is a car coming like this and it might have turned around this way and moved forward. So, cars can do funny business and so can our molecules. So, we have to make this a little bit more general and our way is, we hide all of this funny business in the following form. So, we hide all the funny business in the chi. And we call chi, the funny chi, the transmission factor. So, note now, I have changed the integral from minus infinite to plus infinite. I am saying let us look at all speeds. And at that given speed, chi of u tells me the probability of transmission at speed u.

So, let us just make things little bit more specific. Let us say here is Mumbai, let us say here is Pune. I am going from Mumbai to Pune. So, chi will tell me what is the probability of going from Mumbai to Pune if my car travels at speed u, transmission speed u of traveling from Mumbai to Pune. So, Mumbai can be my reactant in a chemical sense, Pune can be my product and this line can be my transition state at which I am counting the cars traveling through.

But the important thing is this chi is hiding all the dynamical information now. Chi is telling me, if a car is going forward, will it turn around in the future or not. So, for a car which behaves like this, for this particular car, chi will be 0. For this particular car, chi will be 1. That is the essential idea.

(Refer Slide Time: 07:05)

Generalized rate: transmission factor

$$k = \int_{-\infty}^{\infty} du D \rho(u) u \chi(u)$$

$$= \int_{-\infty}^{\infty} du_1 \int_{-\infty}^{\infty} du_2 D \cdot \rho(u_1, u_2) \chi(u_1, u_2) u_1$$

So, I have multiplied by chi, we have made some progress. How to calculate chi is a different business. But we are making the formula more general. We are trying to get to the most general

formula I can write. The second problem is, cars also do not necessarily move only in this direction, in the forward direction. Right now, I am writing everything in one direction, u . But your cars can do funny business once more. Some car might be traveling like this. Some car might be traveling like this, colliding with every other car, causing accidents. That is bad news but the cars can do that. Nothing stops them.

So, I have two directions now, one is u_1 and the other component is u_2 . So, in two dimension I can write any velocity in two components. So, let us in general make sure that I have most general idea of u_1 and u_2 . So, I will generalize this formula and integrate over both speeds along both directions. D rho of u_1 comma u_2 , so there is some density of u_1 comma u_2 , χ of u_1 comma u_2 , χ will also depend on both, for example, a car which has the large u_2 component, well, it is quite possible that it is going to turn around.

If it is anyway going in this way, they perhaps, it might turn around compared to a car with 0 u_2 component. So, χ should depend on u_2 as well, but this thing is simply u_1 , the flux, because remember this is my dividing line. This is the line at which I am sitting and counting the total cars passing through in the forward direction. That is what my aim is. How many cars per second go from left to right of this line, that is what I am calculating. So, that number remains u_1 , u_2 does not contribute to this flux, u_2 is parallel to this line.

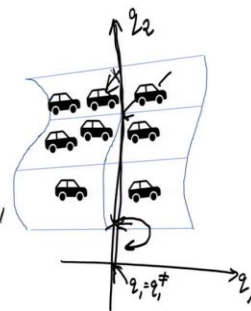
(Refer Slide Time: 09:29)

Generalized rate: cars on a hilly terrain

$$k = \int_{-\infty}^{\infty} du_2 \int_{-\infty}^{\infty} du_1 \underbrace{D \rho(u_1, u_2)}_{\text{constant}} \chi(u_1, u_2) u_1$$

$$\tilde{D}(u_1, u_2, q_2)$$

$$R = \int_{\text{across road}} dq_2 \int_{-\infty}^{\infty} du_2 \int_{-\infty}^{\infty} du_1 \tilde{D}(u_1, u_2, q_2) \chi(q_2, u_1, u_2) \cdot u_1$$



So, now I have a slightly more general formula with me. But there is still something missing. There is one factor that I have still missed and which is, that is density is I have assumed to be constant here that it is, all the cars are uniformly spread over all roads, but if you have ever travelled on a road, you will tell me that it is a bad approximation. More so for, imagine now, our dynamics happening on our, for reactants or our molecules, there the reaction is happening on a very hilly surface. So, now this is a very bad drawing perhaps of a hilly surface and this is my dividing line here now.

So, imagine that I have a hilly surface here and cars are travelling on this hilly surface with speeds u_1 and u_2 in forward and perpendicular direction. But when you have this rugged nature, well, some positions which are more smooth, more cars will accumulation. Cars would like to travel in that. And if some surface is very high and rugged, not good surface, then cars will avoid that region. So, this density will depend on where, on what point of this dividing surface I am looking at. So here you might have a less density, here you have more cars passing through.

So, what we are going to do, we are going to look at this D into ρ and we will call this as \tilde{D} which depends not only on u_1 and u_2 , but also on coordinate q_2 . So, this I am calling as coordinate q_2 , this I am calling as coordinate q_1 . So, I am sitting at this point, let me call that as q_1 equal to q_1^\dagger , in transition state theory again, linking to transition state theory where we use daggers. So, I am sitting at this q_1 point, call it whatever else you want if you do not like dagger, this mile marker, and at that mile marker I am going across the road and finding the density at different points.

So, I write a more general formula now. I add another integral over q_2 across the whole road, du_2 overall speeds, du_1 overall speeds, I call this $\tilde{D}(u_1, u_2, q_2)$, this full thing, D into ρ . Now this χ also, let us be general, we never now. It might also depend on $\chi(u_2)$. So, imagine a car that is here. It might have a more tendency to be turning around compared to a car sitting here. If I am here, maybe I will feel a bit awkward traveling all this distance around. So it is, χ might also depend on q_2 , but this is simply u_1 .

(Refer Slide Time: 12:33)

Rate as the flux across a surface

$$k = \int dq_2 \int_{-\infty}^{\infty} du_2 \int_{-\infty}^{\infty} du_1 \tilde{D}(q_2, u_1, u_2) \chi(q_2, u_1, u_2) u_1$$

q_1 = reaction coordinate, q_2 = direction perpendicular to rxn. coord.

$\tilde{D}(q_2, u_1, u_2)$ = No. of particles per unit length along q_1 moving with speed u_1 calculated at the dividing surface

$\chi(q_2, u_1, u_2)$ = probability of transmitting for a particle at q_2 moving with speed u_1, u_2 .

u_1 = flux across the dividing surface

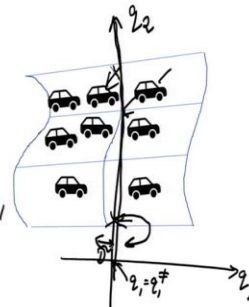


Generalized rate: cars on a hilly terrain

$$k = \int_{-\infty}^{\infty} du_2 \int_{-\infty}^{\infty} du_1 \underbrace{D \rho(u_1, u_2)}_{\text{constant}} \chi(u_1, u_2) u_1$$

$\tilde{D}(u_1, u_2, q_2)$

$$R = \int_{\text{across road}} dq_2 \int_{-\infty}^{\infty} du_2 \int_{-\infty}^{\infty} du_1 \tilde{D}(u_1, u_2, q_2) \chi(u_1, u_2) u_1$$



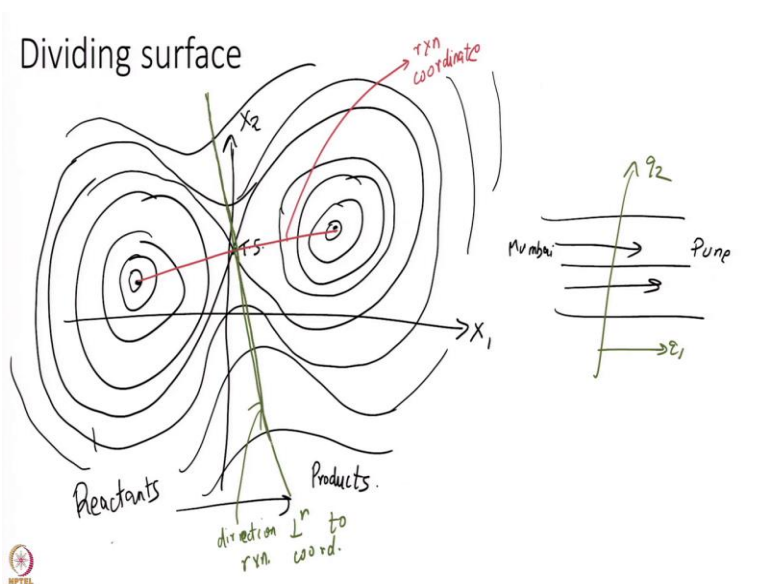
So, we arrive at a much more general formula in two dimension. Remember, roads are only two dimension, we are not making our cars go in more than two dimensions, they are not flying around. But for this at least two dimension, we have the as general formula as we can get following Newton's law by the way, this is quantum mechanical. We still have positions and speeds.

So here, q_1 is my reaction coordinate, q_2 is a direction perpendicular to reaction coordinate, \tilde{D} is the number of particles per unit length along the direction q_1 . So, let me just go back one slide. I am sorry, I went forward. So, if I am sitting here and along this direction is where D is

measured. So, the number of cars in a unit length along the direction of q_1 that is my D tilde at various values of q_2 , u_1 and u_2 and χ is the probability of transmitting if I am traveling at speed u_1 comma u_2 and u_1 is what is the flux. And if flux sounds too fancy, u_1 is telling me essentially the rate of transmission.

So now, I want to connect this to our molecular transition state theory. If we actually understand this formula that I had written you understand all of rate, all of kinetics, all of rate theories. That is all, all rate theories are, they are just an integral of flux across a dividing surface. So, we have to just carefully think on what dividing surfaces mean in chemical dynamics. So, imagine a reaction now.

(Refer Slide Time: 14:42)



So, what we have our drawing so far, we had these roads with cars traveling like this and here was my Mumbai, here was my Pune. Well, in the language of reactants, what I have are energy surfaces. Let me just redraw that. So, imagine I have some coordinate space, just to start with a drawing in two dimensions only. And let us say here is my reactants and reactants appear as this in terms of contour plots. Here is my, let us say, some product in general. So, they are given by some energy surface. And if you have a reactant or a product typically you will have a minimum, a bowl shape like this.

And the transition state, I am sorry, that should have happened. Something like this, this point is the transition state. I am just bad at drawing this contour. This contour should never touch each

other. So, you get energy surfaces that look like this. And I am going from this reactant to product, so this is my reaction coordinate. And I am sitting at the transition state and if I draw a surface that is parallel, that is perpendicular to the reaction coordinate, this is called, this is essentially my q_2 . So, this was q_2 and this was q_1 . This is my direction perpendicular to reaction coordinate.

So, what I want to do is to do an integral over this green line effectively. So, I set a transition state, I find my reaction coordinate, I find the direction that is perpendicular to my reaction coordinate and I integrate over that. I find the flux of my trajectory is going from reactant to product across this green line. That is what I have to calculate.


(Refer Slide Time: 17:34)

Dividing surface

Coordinate: $3N$ dimensions
 $N \equiv$ No. of atoms

$q_1 \equiv$ ~~the~~ rxn. coordinate \rightarrow connects reactants to products
 along minimum energy path.

$\{q_2 \dots q_{3N}\} \rightarrow$ Dividing surface.
 $3N-1$ dimensional surface. passing through T.S.



I just want to make things more general because, as you know, our coordinates, I have to change my color back to black, so I have the coordinate space of $3N$ dimensions, not two, in general, where N is number of atoms. So, each atom has x, y, z , so I get $3N$ number of coordinates.

So, I cannot draw an energy surface for you in $3N$ dimensions, it is not easy to visualize, it is perhaps impossible. But the idea, mathematically the idea is the same. I have q_1 , one coordinate that I will call the reaction coordinate, reaction coordinate is always only one. That is the coordinate that connects reactants to products along minimum energy path. That is one coordinate. Every other coordinate constitutes my dividing surface.

So, I really have to be integrating the flux or this rate across a $3N$ minus 1 dimensional surface passing through transition state. So, transition state is one point. I draw a surface of $3N$ minus 1 dimensions, do not even try to visualize it, you cannot, you can visualize a surface only in 3D. But imagine this huge surface that is separating the reactant from the product and I am simply sitting at this surface and trying to see how many trajectories per second go from reactant side to product side. That is what I have to calculate.

(Refer Slide Time: 19:53)

In $6N$ dimensional phase space

$$k = \int dq_2 \int_{-\infty}^{\infty} du_2 \int_{-\infty}^{\infty} du_1 \tilde{D}(q_2, u_1, u_2) \chi(q_2, u_1, u_2) u_1$$

$q_1 =$ reaction coordinate



$$= \int dq_2 \dots \int dq_{3N} \int du_1 \dots \int du_{3N} \tilde{D}(q_2, \dots, q_{3N}, u_1, \dots, u_{3N}) \chi(q_2, \dots, q_{3N}, u_1, \dots, u_{3N}) u_1$$



So, in short, this is the formula I had in 2D. I take this formula and I generalize it. I integrate from dq_2 to dq_{3N} and I integrate overall speeds, \tilde{D} of q_2 to q_{3N} , u_1 to u_{3N} χ of q_2 to q_{3N} u_1 to u_{3N} into u_1 . So, it is a straight forward generalization. Earlier I, for example, I showed you how it works in q_2 space only because it is easier to see, but the really it is q_2 to q_{3N} and all speeds.

(Refer Slide Time: 20:44)

In 6N dimensional phase space

$$k = \int dq_2 \dots \int dq_{3N} \int_{-\infty}^{\infty} du_1 \dots \int_{-\infty}^{\infty} du_{3N} \tilde{D}(\vec{q}, \vec{u}) \chi(\vec{q}, \vec{u}) u_1$$

$$\approx \{ \vec{q}, \vec{u} \} \rightarrow \{ \vec{q}, \vec{p} \}$$

$$k = \frac{1}{m^{3N}} \int dq_1 \dots \int dq_{3N} \int dp_1 \dots \int dp_{3N} \tilde{D}(q_1 \dots q_{3N}, p_1 \dots p_{3N}) \chi(q_1 \dots q_{3N}, p_1 \dots p_{3N}) \frac{p_1}{m}$$



And just one remark, the point is we really in statistical mechanics integrate over q, p space. So, I want to go from this q, u space to q, p space, momentum space. So that is straightforward. I just write this in p space instead, this integral. So, I am integrating overall momenta instead of speeds.



This D, which would depend on q2 to q3N, p1 to p3N, what is that, do not know what that symbol even is, we will correct the symbol, chi q2 to q3N, p1 to p3N and the u1 is nothing but p1 over m, because of p equal to m u. So, this is my more general formula.

And this formula actually is, makes very few assumptions. All I have really assumed is that a dividing surface exists and classical mechanics, only two assumptions have made. No idea about equilibrium, no idea that you have no re-crossing assumption, all that is not made, only two assumptions have made, that the trajectories are classical and the dividing surface does exist over which I am integrating.

(Refer Slide Time: 22:30)

Summary – module 26

- Rate constant formula from a 6N dimensional phase space perspective

$$k = \int dq_2 \dots \int dq_{3N} \int_{-\infty}^{\infty} dp_1 \dots \int_{-\infty}^{\infty} dp_{3N} \tilde{D}(\vec{q}, \vec{p}) \chi(\vec{q}, \vec{p}) u_1$$


So, we have this more general formula with us today. And what we are going to do in the next module is to use this formula and make a few more assumptions that are needed to get to transition state rate. Thank you.