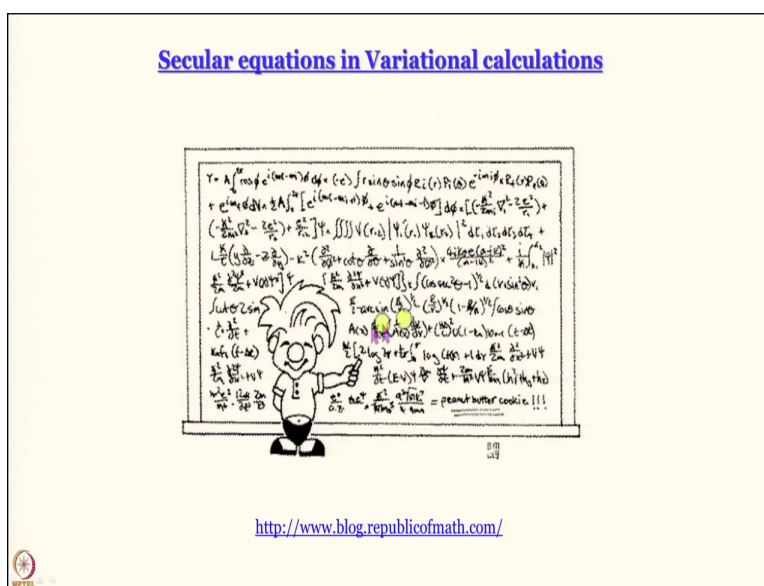


Quantum Chemistry of Atoms and Molecules
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Lecture-46
Secular equations in Variational calculations

I found this nice cartoon in republic of math which sort of depicts the state we are presently in so what we are doing is we are doing lots of calculations.

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Humongous amount of calculations right in fact so humongous that we are not even doing it that is I am not doing it I am telling you that you can do it by yourself right tedious big calculations but I mean if you are intimidated we are intimidated only by the volume the tools are not all that difficult. So, far what is present in this cartoon is looks much more intimidating. So, but then this is leading us to very important results that is why we are happy like this person right here.

What we want to see is can we now slowly move towards a situation where this boat full of mumbo jumbo falls into something that is systematic and easier to handle.

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The Variational Method so far

Ground state of an arbitrary system: $\hat{H}\psi_0 = E_0 \psi_0$ $E_0 = \frac{\langle \psi_0 | \hat{H} | \psi_0 \rangle}{\langle \psi_0 | \psi_0 \rangle}$

Trial/ guess wavefunction: ϕ $\varepsilon_0(\phi) = \frac{\langle \phi | \hat{H} | \phi \rangle}{\langle \phi | \phi \rangle}$

Upper limit theorem: $\varepsilon_0(\phi) \geq E_0$ **Upper limit/ upper bound on E_0**

Hydrogen atom:	$E_0 = -0.500 \left(\frac{\mu e^4}{16\pi^2 \epsilon_0^2 \hbar^2} \right)$	Features:
	$E_{min} = -0.424 \left(\frac{\mu e^4}{16\pi^2 \epsilon_0^2 \hbar^2} \right)$	
Harmonic oscillator:	$E_{min} = (1.14) \frac{1}{2} \hbar \omega$	
Particle in a box:	$E_{min} = 1.043 E_0$	<ul style="list-style-type: none"> • Start with an arbitrary trial function • Guess its nature depending on the system • Variational parameters • More parameters: closer to correct result Tedious calculation



So to do that we once again recap what we have learned I will not say once again all these high equal to sign kind of thing but we have studied upper limit theorem we have used it and I will show you the results once again to refresh your memories. For hydrogen atom using upper limit theorem we have calculated a an energy and the peril of copy paste the second equal to sign is still there we have obtained the value of 0.424 whereas the actual value is 0.5 with minus sign for hydrogen atom.

For harmonic oscillator we have a deviation of about 14% and for particle in a box using a weird wave function we have got a deviation of about 4%. So, the strategies or the features that we have seen so far is we start with an arbitrary trial function arbitrary in the sense that we do not know whether is a correct 1 but then there is a method in the madness as well we remember what we did for particle in a box or for simple harmonic oscillator we sort of realized that they have to be symmetric with respect to the midpoint and they have to become 0 somewhere or the other.

So I am not going to use something like E to the power αx^2 that is not even a good wave function so when I say arbitrary trial function it is arbitrary within a certain limit it is not a arbitrary-arbitrary completely using anything that is not going to work you still have to think before you decide which trial function you can use. So, we have to guess the nature depending on the system and this trial function has to be associated with variational parameters means parameters that we can vary.

And see how that variation affects the energy of the system and for which value of this parameter or parameters we get the minimum value of energy. So, the more parameters we use and this is something that will demonstrate today we will get closer to the correct result. Of course the downside of that is that the calculation becomes more tedious but then if you have sufficient computational power it is better to use as many parameters as you can there is no problem of over parameterization we are saved by the upper limit theorem great.

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Trial function: Linear combination of functions

$$\phi = \sum_{n=1}^N c_n f_n$$

f_n : Arbitrary **KNOWN** functions
 c_n : Variational parameters

$$\epsilon_0(\phi) = \frac{\langle \phi | \hat{H} | \phi \rangle}{\langle \phi | \phi \rangle}$$

$n = 1, 2$: $\phi = c_1 f_1 + c_2 f_2$ **Real** coefficients, to start with

$$E(c_1^2 S_{11} + 2c_1 c_2 S_{12} + c_2^2 S_{22}) = (c_1^2 H_{11} + 2c_1 c_2 H_{12} + c_2^2 H_{22})$$

$$E = \epsilon_0(\phi)$$

$$\langle \phi | \hat{H} | \phi \rangle = \langle (c_1 f_1 + c_2 f_2) | \hat{H} | (c_1 f_1 + c_2 f_2) \rangle = c_1^2 \langle f_1 | \hat{H} | f_1 \rangle + c_1 c_2 \langle f_1 | \hat{H} | f_2 \rangle + c_1 c_2 \langle f_2 | \hat{H} | f_1 \rangle + c_2^2 \langle f_2 | \hat{H} | f_2 \rangle$$

$$\langle \phi | \phi \rangle = \langle (c_1 f_1 + c_2 f_2) | (c_1 f_1 + c_2 f_2) \rangle = c_1^2 \langle f_1 | f_1 \rangle + c_1 c_2 \langle f_1 | f_2 \rangle + c_1 c_2 \langle f_2 | f_1 \rangle + c_2^2 \langle f_2 | f_2 \rangle$$

S_{11}

S_{12}

S_{21}

S_{22}

$S_{12} = S_{21}$

$H_{12} = H_{21}$ Turnover rule

$$\epsilon_0(\phi) = \frac{c_1^2 H_{11} + 2c_1 c_2 H_{12} + c_2^2 H_{22}}{c_1^2 S_{11} + 2c_1 c_2 S_{12} + c_2^2 S_{22}}$$

So what we will do now is we are going to use this trial function which is a linear combination of functions. So, let us say that we express this function of ours phi which is a wave function of the system as a linear combination of n number of some arbitrary but known functions are they orthonormal not yet and at the risk of boring you I am going to say this 3, 4 times more. Please remember right now we are performing a perfectly general discussion we know what the functions are they can be Gaussian functions with different full width of maximum and curvature and so on so forth.

They can be cosine functions sine functions combinations of them but I should know the functional form of f at least it is not completely arbitrary and then everything will converge at you know that will not happen. So, I start with capital n number of arbitrary known functions which are compatible with the system. And what I do is I use the coefficients as the variational

parameters. We can always do it and again this is something that we have encountered in chemistry I am not sure whether I have referred to it earlier in this course but even if I have I do it again.

See I request you to think of something that we studied in solutions in the chemistry of solutions thermodynamics. Remember we talked about activity and we said that concentration usually especially for more concentrated solutions concentration is not a good enough parameter you have to use activity. Activity is equal to concentration to activity coefficient right. So, the thing is this what does that mean so we are pretending as if part of the concentration actual concentration is not available for doing the reaction right because law of mass action says that whatever mass of the reactant there is that is going to participate in that reaction that is going to govern the rate of reaction and so on and so forth.

Why are we using a fraction of the mass by invoking this activity coefficient because the problem is not with concentration to be honest the problem there is that these ions especially cannot move as fast in solution in the presence of other ions as they would in their absence? So, consider sodium chloride solution this is a sodium ion and there is well 1 excess of chloride ion around it right. So, now when the sodium ion moves it has to overcome the drag by the what we call negatively charged cloud that is why it is actually running slower.

But since we do not have any easy way of handling this running slower business we use this coefficient and pretend as if not all of the concentration is available for doing the reaction right. Similarly it is very highly possible that actually you should play around with the function itself like what we did earlier for particle in a box remember we had this x to the power α kind of thing perhaps that is the right thing to do but we do not know what the right thing to do is?

So we just compensate for that like we did for activity in case of activity and activity coefficients we can consider we compensate for that by bringing in this coefficient for each of these functions and we say that this coefficient is the variational parameter. So, basically we make this problem a mixing problem when I take a linear combination its as if I am mixing functions right I cannot

mix functions with hand but I can add them right. I can so coefficients they tell me what is the; contribution or concentration of each function in the function that I synthesize.

So, I am playing around with the composition of the function by setting c_n to be the variational parameters then we can use with we can work with fixed functions f_n right. So, and we will see an example of that when we talk about this particle in a box problem and to keep things simple we start with real coefficients but that is really not a mandatory. You might as well work with complex coefficients it will take care of itself and also to keep things simple we start it with a 2 component system.

Let us say only 2 functions contribute to ϕ so n equal to 1 and 2 right N equal to 2 in that case what is ϕ ϕ then will be equal to $c_1 f_1 + c_2 f_2$ what is f_1 what is f_2 it is something I have decided that this is f_1 that is f_2 I have not revealed yet and ϕ is the wave function I try to synthesize. So, now this is what we want right ϵ_0 how do we get it? Let us try to evaluate the numerator first integral $\phi^* H \phi$ is h known yeah h would better be known for a system right h is known.

So what I do is in ket vector in sorry bra vector I write $c_1 f_1 + c_2 f_2$ which really means its complex conjugate in the bra vector I write $c_1 f_1 + c_2 f_2$ itself and now I have 2 terms in the bra vector 2 terms in the ket vector. So, I am going to get actually if I open it out I am going to get a sum of 4 different integrals multiplied by appropriate coefficients. Let us see let us take the first term of the bra vector first term of the ket vector what do I have $c_1 f_1$ left multiplying H operating on $c_1 f_1$.

So since H is linear c_1 is going to come out and I am going to get c_1 square outside the integral inside the integral I have $\int f_1^* H f_1$ this c_1 square multiplied by $\int f_1^* H f_1$. So, this is the first term I get. What is the second term? The second term I can take between this first term of bra vector and second term of ket vector if I do that I get c_1 from bra vector c_2 from ket vector f_1 in the bra vector H operating on f_2 in the ket vector right.

Next I take the product of $c_2 \psi_2$ and $H c_1 \psi_1$ then I get again $c_1 c_2$ it does not matter whether I write $c_1 c_2$ or $c_2 c_1$ so I will follow the same convention multiplied by $\int \psi_2^* H \psi_1$ star is sorry not $\psi_1^* \psi_2^* H$ operating on ψ_1 is there anything else yes there is something else $c_2 \psi_2$ multiplied by $H c_2 \psi_2$, so I bring that c_2 out and we get c_2^2 square multiplied by $\int \psi_2^* H \psi_2$. So, see the first and the last integrals are similar in form they are $\int \psi_i^* H \psi_i$ well $\int \psi_i^* H \psi_i$ right.

In the first case i equal to 1 in the second case i equal to 2 that is all. So, this I call H_{11} and the second 1 is called H_{12} so in general I can call these the h_{ii} vectors in the case we are discussing I can take up only 2 values i equal to 1 or i equal to 2. So, this is what we get H_{ii} next we focus on the other 2 well you understood where this i_{11} came from right the index denominator well not denominator index or subscript of the function here in the bra vector you have ψ_1 ket vector of ψ_1 .

So one from here one from here I call it h_{11} here you have 2 in bra vector 2 in ket vectors h_{22} so what will this 1 be we have ψ_1 in bra vector ψ_2 in ket vector. So, this will be H_{12} this will be h_{21} before I forget let me remind you that these are actually matrix elements. If you write an H matrix with these integrals then H_{11} takes the 11 position h_{12} takes E 12 position H_{21} takes the 21 position h_{22} takes the 22 position.

So, these are matrix elements, since I have forgotten to write this here, let me at least write by hand. So, these are matrix elements forgive my bad handwriting. So, now let us quickly write an expression for $\int \psi^* \psi d\tau$ yeah so again substitute $c_1 \psi_1 + c_2 \psi_2$ for ψ in both bra and ket vector open now up this is what you get. Once again you have c_1^2 square multiplied by we call this s_{11} $\int \psi_1^* \psi_1$ we call it s_{11} .

This $\int \psi_2^* \psi_2 d\tau$ is called s_{22} and these 2 are called s_{12} and s_{21} respectively now this s does it ring up bell I mean I am sure most of us would have studied some quantum chemistry course somewhere. When we talk about bonding this kind of expressions are often encountered and this s is used for overlap integral. Please remember that these are not overlap

integrals here because when you talk about overlap integrals the convention is you talk about 2 different atoms here we are discussing the same system.

We are not even talking about any atom or anything right now same system. So, let us just call this s_{11} they are going to evolve into overlap integrals later on when you talk about molecules. So, we have ended up and once again do you see that s_{11} , s_{12} s_{21} and s_{22} they are again matrix elements of the capital S matrix not very difficult to understand. So, it is trivial and it is very easy to see that s_{12} has to be equal to s_{21} it should also be very easy to see that H_{12} equal to H_{21} why because we know turnover rule right.

Now right so we can easily write $\int \phi_1^* H \phi_2$ is equal to $\int \phi_2^* H \phi_1$ remember H is a hermitian operator even though we do not know what the wave function is we know what H is and even if you do not know what H is H has to be a hermitian operator by definition. So, turnover rule is applied and h_{12} is going to be equal to h_{21} so that is an important observation in the H matrix the corresponding of diagonal elements are equal to each other H_{21} equal to H_{13} H_{32} is equal to h_{32} so on and so forth.

So if you substitute this what do you get c_1^2 multiplied by h_{11} + $c_1 c_2$ multiplied by $H_{12} + H_{21}$ so H_{12} and H_{21} are 1 and the same so I get 2 into H_{12} or 2 into h_{21} is fine that multiplied by $2 c_1 c_2 + c_2^2$ multiplied by $\int \phi_2^* \phi_1$ so sorry what am I saying I just say that once again what I am trying to do is I am trying to write an expression for ϵ_0 while doing that I am just simplifying it and we are writing this expression here I get c_1^2 multiplied by H_{11} + $c_1 c_2$ multiplied by $H_{12} + H_{21}$ in brackets since H_{12} and H_{21} are 1 and the same I can write $2 c_1 c_2 h_{12}$.

And the last term is c_2^2 multiplied by H_{22} 1 thing I want to remind you is that these are numbers are not they right these are integrals and they are integrals that we should be able to evaluate we are going to choose the functions that way so these are all numbers c_1 the coefficients are actually the parameters here. Similarly in the denominator I get $c_1^2 s_{11} + 2 c_1 c_2 s_{12} + c_2^2 s_{22}$.

Now what will do is just to get into the same convention as that of the textbook I am going to replace ϵ_0 by E . So, I get E multiplied so I am basically bringing the denominator up in the numerator of the left hand side so E multiplied by $c_1^2 S_{11} + 2c_1 c_2 S_{12} + c_2^2 S_{22}$, $c_1^2 S_{11} + 2c_1 c_2 S_{12} + c_2^2 S_{22}$ this is your left hand side.

Right hand side will be equal to same as numerator $c_1^2 H_{11} + 2c_1 c_2 H_{12} + c_2^2 H_{22}$ what do I do next what do I want to do I want to find the minimum value of energy for that I have to differentiate with respect to the variation parameter and equate to 0. So, when I differentiate left hand side equal to 0 what do I get in the I get 2 terms essentially right it is a differentiation of product remember. So, the first term I am going to differentiate this function.

And in the second term I am going to keep the function intact and differentiate with respect to E sorry sorry so I differentiate with respect to E all the time γ what is the parameter sorry c_1 all the time since it is a differentiation of products first of all I differentiate this function that I have keeping E constant then I differentiate E with respect to c_n keeping the function constant. I hope I did not confuse you too much.

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Secular equations

$$\phi = \sum_{n=1}^N c_n f_n$$

$n = 1, 2: \quad \phi = c_1 f_1 + c_2 f_2$ **Real** coefficients, to start with

f_n : Arbitrary **KNOWN** functions
 c_n : Variational parameters

$$\epsilon_0(\phi) = \frac{\langle \phi | \hat{H} | \phi \rangle}{\langle \phi | \phi \rangle}$$

$$E(c_1^2 S_{11} + 2c_1 c_2 S_{12} + c_2^2 S_{22}) = (c_1^2 H_{11} + 2c_1 c_2 H_{12} + c_2^2 H_{22})$$

$$c_1(H_{11} - ES_{11}) + c_2(H_{12} - ES_{12}) = 0$$

$$c_1(H_{12} - ES_{12}) + c_2(H_{22} - ES_{22}) = 0$$

$$\begin{pmatrix} H_{11} - ES_{11} & H_{12} - ES_{12} \\ H_{12} - ES_{12} & H_{22} - ES_{22} \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = 0$$

$$\begin{vmatrix} H_{11} - ES_{11} & H_{12} - ES_{12} \\ H_{12} - ES_{12} & H_{22} - ES_{22} \end{vmatrix} = 0 \text{ in order to have } c_1, c_2 \neq 0$$

$$E = \epsilon_0(\phi)$$

- Two roots for E in terms of the integrals
- Evaluate the integrals to obtain the roots
- Lower energy: Ground state

So when we differentiate with respect to c_1 what do we get with c_1 right. So, first of all let us keep E and differentiate this function here so I will get and I am differentiating with respect to c_1 right everything else is constant so here from here the first time I get $2 c_1 s_{11}$ in the second term $d c_1$ is equal to 1 so I get 2 multiplied by c_2 multiplied by s_{12} , $2 c_2 s_{12}$. Similarly what I can do is I can do the other part second term there I do not have to differentiate the function I have to differentiate energy.

So when you do that we get $\frac{\partial E}{\partial c_1}$ here I have to write $\frac{\partial}{\partial c_1}$ because there are 2 parameters c_1 and c_2 . So, what do I do I just expand it a little bit first is equal to $2 c_1 H_{11} + 2 c_1 H_{12}$. So, I am now differentiating the right hand side. So, now see are you convinced that I differentiate the right hand side $c_1^2 H_{11}$ you differentiate with respect to c_1 what do I get I get $2 c_1$ multiplied by H_{11} and remember what I said H_{11} is a constant right its number plus how do I get $2 c_2$ into H_{12} .

Because with respect we are differentiating with respect to c_1 so this becomes 1 and we are left with $2 c_1 s_{12}$, now what about the third term, third term is c_2^2 multiplied by H_{22} with respect to c_1 c_2 is constant H_{22} is a constant anyway. So, we do not worry about it it becomes 0. Moreover if you want to find the minimum then this $\frac{\partial E}{\partial c_1}$ has to be equal to 0 right. So, we said that to be 0 and this is what we get I said this to be just so this entire second term becomes 0 maybe I will just cut it out and see what happens.

Since this is 0, I just cut it out. So, now I have something on the left hand side E multiplied by $2 c_1 s_{11} + 2 c_2 s_{12}$ equal to $2 c_1 H_{11} + 2 c_2 H_{12}$ I can collect the terms in c_1 cannot I let us do that so we can write E multiplied by $2 c_1 s_{11} + 2 c_2 s_{12}$ is the same thing plus this is equal to 0 sorry what am I doing I think I have got the animation wrong sorry about that so differentiate with respect to 0 and then this is what you get right.

You get you just bring it to this side what happens this everything is multiplied by 2 so 2 cancels. So, c_1 multiplied by $H_{11} - E$ into s_{11} this is what we get here and you could have put a minus sign in front of that it would have changed its equated to 0 anyway. Then terms in c_2 would be we are bringing to right hand side remember. So, c_2 multiplied by $H_{12} - c_2$ well $H_{12} - E s_{12}$

right that is what the coefficient of c_2 is on the left hand side c_2 multiplied by E multiplied by s_{12} all right.

So from there we get this linear equation in c_1 and c_2 remember c_1 and c_2 are variables now I have 2 variables and so far I have only 1 equation I need 1 more I obtain the other 1 by differentiating with respect to c_2 and equating to 0 keeping in mind that $\frac{\partial E}{\partial c_2}$ is 0 anyway. So, from here it turns out to be $2c_1 H_{12} + 2c_2 H_{22}$ that is your second equation in c_1 and c_2 what can I do from here?

First of all I can try and focus on the coefficients and try to find expressions for the coefficients right because the simultaneous equations are in terms of c_1 as variable c_1 and c_2 as variable. Problem with that approach is that I do not know E yet so better find out E first. Let us learn that and then I will tell you that you can of course go ahead and find the coefficients but will not do that in this course that is all but it is very simple all right so here we are we have got an equation right we have got an equation and I hope it is not difficult to see that we can write it nicely as a matrix equation where the matrix elements are not H_{11} s_{12} so on and so forth.

No rather they are $H_{12} - E s_{12}$ $H_{22} - E s_{22}$ what did I say $H_{11} - E s_{11}$ the second one is $H_{12} - E s_{12}$ third 1 is $H_{12} - c s_{12}$, fourth 1 is $H_{22} - E s_{22}$ that is the matrix. Now what are the possibilities now 1 possibility is that c_1 and c_2 is equal to 0 then we do not have to worry about anything the problem is if c_1 and c_2 are equal to 0 then what are we talking about here? Then I do not have a wave function no matter what f_1 and f_2 may be if c_1 equal to 0 c_2 equal to 0 the function vanishes ψ does not exist I mean it becomes 0.

And wave function cannot be 0 everywhere that is 1 of the things that we learnt from 1 approximation. So, the other option is that this matrix equal to 0 so if you go a little further using Gammon's theorem and all what it turns out is that this secular determinant, the circular determinant means the same matrix elements make up a determinant this time that is equal to 0 in order to have $c_1 c_2$ is not equal to 0.

What is the variable now in this case H 11 I can find out s 11 in principle I can find out the only variable here is E. So, if we set that secular equation to be 0 then we are going to get an equation in some nth order do you agree that well in this case we are going to get an equation of second order quadratic equation. So, if we solve these we will get 2 roots 2 solutions so use the smaller ones we first of all of course evaluate the roots and the root with lowest energy is the ground state energy.

This can be nothing with energy lower than down state is not it. So, that is what we get, fine, so, far for so much for secular equations for now.

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General form

$$\phi = \sum_{n=1}^N c_n f_n$$

$n = 1, 2, \dots, N : \phi = c_1 f_1 + c_2 f_2 + \dots + c_N f_N$

f_n : Arbitrary **KNOWN** functions
 c_n : Variational parameters

$$\epsilon_0(\phi) = \frac{\langle \phi | \hat{H} | \phi \rangle}{\langle \phi | \phi \rangle}$$

$E = \epsilon_0(\phi)$

$H_{11} - ES_{11}$	$H_{12} - ES_{12}$	\dots	$H_{1N} - ES_{1N}$	$= 0$ in order to have $c_1, c_2, \dots, c_N \neq 0$
$H_{21} - ES_{21}$	$H_{22} - ES_{22}$	\dots	$H_{2N} - ES_{2N}$	
	\vdots	\vdots	\vdots	
	\vdots	\vdots	\vdots	
$H_{N1} - ES_{N1}$	$H_{N2} - ES_{N2}$	\dots	$H_{NN} - ES_{NN}$	

- N roots for E in terms of the integrals
- Evaluate the integrals to obtain the roots
- Lower energy: Ground state

Well not really I want to show you the general form. The general form is for n number of terms this is what you will get H 11 - E s 1 H 12 - c s 12 why am I saying s 1 all the time. H 11 - E s 11 the 1 2 term is H 12 - E s 12 and so on and so forth up to H 1n - E s n 1 n. In the second term everything remains same just this subscript 1 becomes subscript 2 so on and so forth until in the capital Nth row the this subscript becomes capital A right so this has to be equal to 0 this is the equation that we need to solve.

So let us break now and let us come back and discuss very quickly in a short module how to tackle this particle in a box problem using secular equations.