

Quantum Chemistry of Atoms and Molecules
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Lecture-36
Introduction to Perturbation theory

In a discussion of many electronic atoms we have said already that here. We have a situation when you cannot solve the Schrodinger equation directly like we could do it for hydrogen atom or rigid rotor or harmonic oscillator or free particle or particle in a box. So that is why it is cliché that the quantum chemistry are often teased by other people saying that these people have only one equation and they do not even know how to solve it.

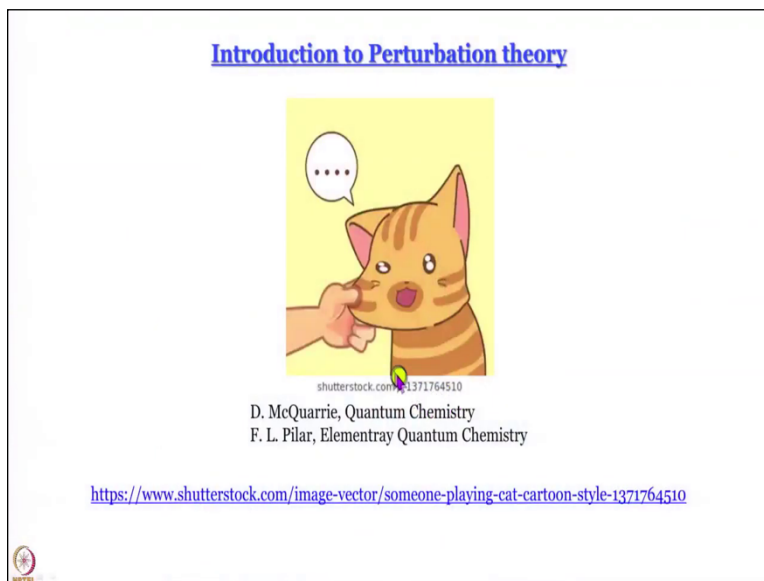
Of course that does not make too much of a sense as a way of pulling each other legs. But one thing that has come out very clearly is that we will not be able to do a very, very rigorous solution of Schrodinger equation for systems here on. So, we need approximation methods and we have already used one approximation that is orbital approximation. And that is how we have sort of circumvented the problem that is there of electron-electron repulsion by considering effective nuclear charge and shielding constant.

We have been able to reach a situation where we can still use the same wave functions 1S, 2S will not be the same but modified 1S, modified 2S, modified 2P so on and so forth that is we have been using. Here just orbital approximation again is not enough. We need to now indulge in we need to engage rather detailed discussion of more thorough approximation methods of quantum mechanics.

You will see when you do that this concept of shielding and all the come anyway. Only thing is that when you talk about wave functions. We enter a little more abstract domain you might be able to come back 1S, 2S etcetera later on but at least during the course of this discussion. Sometimes you might actually lose the memory of this good old orbital's have generated with talk in terms of both general wave functions.

So, there are two kinds of approximation techniques that are very popular in quantum mechanics one is perturbation theory the other is variation theory. These two theories are used to perform approximation. And to start with we are going to talk about perturbation theory.

(Refer Slide Time: 02:49)



So, now you might be wondering in all this discussion where does this cartoon of a cat getting its cheek pulled by somebody comes in. Well we will see in the course of our discussion but before we get into it just so that we; do not forget please have a look at the cat what has happened ah the cat this is the cat unperturbed cat and what the owner or whoever it is has done is that is tugged at the cheek.

So only this cheek portion is distorted a little bit rest of the cat is what it was before its cheek was pulled, please remember this then ah unless I forget all about this we will come back to this analogy later on. But now we start slowly talking about perturbation theory we wish to introduce ourselves to this technique.

(Refer Slide Time: 03:34)

Scope of Perturbation theory

- Start with a system for which Schrodinger equation can be solved exactly
(particle in a box, harmonic oscillator, hydrogen atom): **Unperturbed** system

Zeroth order Hamiltonian: $\hat{H}^{(0)}$

- Actual system: deviates from the system above by a small amount: **Perturbed** system
(Perturbation: small change)
- $\hat{H} = \hat{H}^{(0)} + \hat{H}^{(1)}$ ($\hat{H}^{(1)}$ = **First order** correction to Hamiltonian)
- $\psi = \psi^{(0)} + \Delta\psi$ ($\Delta\psi$ = **Small change** in wavefunction)
- $E = E^{(0)} + \Delta E$ (ΔE = **Small change** in energy)



So, first thing I want to say is what is the scope of perturbation theory? Perturbation theory is an approximation that you cannot use anywhere and everywhere. If it was then you would not need the more rigorous variation method later on. So, in perturbation theory what happens is you start with a system for which you can have exact solution of Schrodinger equation. For example as we said particle in a box, harmonic oscillator or hydrogen atom and these systems from where we start this systems for which exact solution of Schrodinger equation can be obtained.

These are called unperturbed systems. So, what exactly is the formal definition of perturbation will come to that shortly. But we start with an unperturbed system for which we are able to solve Schrodinger equation without much hassle ah we can solve it exactly. Then the Hamiltonian for such an unperturbed system is called the 0th order Hamiltonian what is the meaning of 0, 0 means there is no perturbation. ah

Exact solution is there. This is how we write it \hat{H} for a Hamiltonian operator and we write a 0 superscript in bracket which means 0th most of the time I will try to say $\hat{H}^{(0)}$ by mistake I can say \hat{H}_0 please ah do not get confused about it what when I write this \hat{H}_0 or $\hat{H}^{(0)}$ what I mean is the unperturbed well 0th order Hamiltonian of the perturbed system. Next we try to build a description of the actual system by considering a small deviation from this unperturbed system from which we get started.

And this word small is big in this context it is very important to remember that perturbation is a small disturbance but we call this a perturbed system. But please do not forget that perturbation is a small change. If the base value is 1 lakh, then it is if the deviation is by 100 it is not if the deviation is by 20000 then perturbation theory would not work and you will see shortly why it would not work. It is important that we never forget that the scope of perturbation theory is an extremely small ah I will not say minuscule but small deviation from the unperturbed system.

Please remember this perturbation is not very, very ah big change and that is what brings us back to that cartoon of the cat. Remember the entire cat was exactly the same only a small portion of the cheek that was pinched by two fingers of this ah owner of the cat only that portion got distorted rest of the cat was the same. So, you might call that a distortion but if the entire cat was caught and elongated by some way that would definitely not be distortion and that would definitely not be perturbation that would be a very major distortion.

Perturbation theory would not work in situations like those. So, once again let me emphasize in different colors perturbation is a small change very, very important ah not a small point at all great. So, now what we do is we write the Hamiltonian of the perturbed system as H_0 plus H_1 but H_1 this term is the first order correction to Hamiltonian. Ψ of the perturbation system is written as Ψ_0 plus you can write Ψ_1 but generally we write $\Delta \Psi$.

But $\Delta \Psi$ is a small change in wave function and E also is E_0 plus ΔE where ΔE is a small change in energy. so, if the so called perturbed system has an energy well if the perturbed system has an energy of 10 units and the so called perturbed system has an energy of say 50 units then perturbation theory will not work you cannot really work like this because ΔE is going to become greater than E_0 or even comparable to E_0 or even a sizeable portion fraction of E_0 then perturbation theory will not work.

So ah what will do for the rest of this module is that will try to build a ah an expression for this ΔE . And $\Delta \Psi$ I am telling you now maybe when we talk about ah anharmonic oscillator later we will come back to this in a little more detail this $\Delta \Psi$ or Ψ you can say is written as a linear combination of these Ψ_0 's. Remember the wave functions the eigen functions of

Hamiltonian of the unperturbed system constitute a complete set of orthonormal vectors. I do not recall now whether we have formally discussed what a complete set is but let me tell you what it is. Let us think of a real space; the space we live in x y z three vectors unit vectors along x y z they are enough to describe the location of anything any point in this space. So, x y z or i j k if you want to put it that way constitutes a complete set.

If I only take x y then it is not a complete set for three dimensional space it is a complete set for x y space. So what kind of a space you are choosing when I space I do not mean physical space I mean hyperspace that will decide what the dimensionality of this; what is the required dimensionality for completeness. No vector that is required to define anything in that function space as it is called is left out that is what complete set is.

All the vectors that are required to define the function space fully are there. So, that is a complete set. So, since these 0th order wave functions form a complete orthonormal set what we can do is see we are talking about very small distortion. So, we can say that even the perturb wave functions are roughly going to be in that hyperspace that function space.


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Perturbed system: Schrodinger equation

$$(\hat{H}^{(0)} + \hat{H}^{(1)})(\psi^{(0)} + \Delta\psi) = (E^{(0)} + \Delta E)(\psi^{(0)} + \Delta\psi)$$

$$\hat{H}^{(0)}\psi^{(0)} + \hat{H}^{(1)}\psi^{(0)} + \hat{H}^{(0)}\Delta\psi + \hat{H}^{(1)}\Delta\psi = E^{(0)}\psi^{(0)} + \Delta E\psi^{(0)} + E^{(0)}\Delta\psi + \Delta E\Delta\psi$$

- $\hat{H} = \hat{H}^{(0)} + \hat{H}^{(1)}$ ($\hat{H}^{(1)}$ = **First order correction** to Hamiltonian)
- $\psi = \psi^{(0)} + \Delta\psi$ ($\Delta\psi$ = **Small change** in wavefunction)
- $E = E^{(0)} + \Delta E$ (ΔE = **Small change** in energy)



So, whatever is the wave function the water wave function we should be able to write it as a linear combination of all the wave functions orthonormal wave functions in for the unperturbed systems. So, essentially you are going to write this Psi as sum over I Psi I 0th but we

will worry about that later on we are not talking about wave functions just as yet. ah Right now for the rest of the module and maybe there will be a spill over to the next module we are talking about your ah delta E.

How do we find the ah small change in energy. So, let us proceed and by doing that we are going to again ah perform a formal discussion of a fundamental aspect of quantum mechanics that we had ah perhaps should have done earlier generally in classes it has done earlier. But we wanted to get straight into the chemistry that is why we did not now we need it so we are going to discuss that very fundamental aspect of quantum chemistry properties of quantum mechanical operators also in the course of this discussion.

So that is a ah something additional something extra that we get to discuss here,. let us get ahead. So, ah what we do now is unfortunately I have gone a little far I do not know why? So, yeah this is what it is so this is what we have for the perturbed system we have the new Hamiltonian \hat{H} hat new wave function Ψ , new energy E and they all differ from the original unperturbed values by small amounts.

So, the next thing that we will do is we are going to simply write the Schrodinger equation for the perturbed system.

(Refer Slide Time: 12:11)

Quantum Mechanical Operators: Real eigenvalues

$$(\hat{H}^{(0)} + \hat{H}^{(1)})(\psi^{(0)} + \Delta\psi) = (E^{(0)} + \Delta E)(\psi^{(0)} + \Delta\psi)$$

$$\hat{H}^{(1)}\psi^{(0)} + \hat{H}^{(0)}\Delta\psi = \Delta E\psi^{(0)} + E^{(0)}\Delta\psi$$

$$(\hat{H}^{(0)} - E^{(0)})\Delta\psi + \hat{H}^{(1)}\psi^{(0)} = \Delta E\psi^{(0)}$$

Left multiply by $\psi^{(0)*}$ and integrate over all space

$$\int \psi^{(0)*} (\hat{H}^{(0)} - E^{(0)}) \Delta\psi d\tau + \int \psi^{(0)*} \hat{H}^{(1)} \psi^{(0)} d\tau = \Delta E \int \psi^{(0)*} \psi^{(0)} d\tau$$

$\hat{A}f = af$ $f^* \hat{A}f = af^* f$ $\int f^* \hat{A}f d\tau = a \int f^* f d\tau$ $= a$	$(\hat{A}f)^* = (af)^* = af^*$ $\int f(\hat{A}f)^* d\tau = a$ $\int f^* \hat{A}f d\tau = \int f(\hat{A}f)^* d\tau$
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Schrodinger equation for the perturbed system and for that ah we are going to write the Schrodinger equation for the perturbed system and ah for that we are going to work with this \hat{H} hat Psi and E. So, what is Schrodinger equation $\hat{H} \Psi$ equal to E Psi simple, so instead of \hat{H} we are going to write H_0 plus H_1 first like this instead of Psi we are going to write Ψ_0 plus delta Psi. Then right hand side is E Psi instead of E we will write E_0 plus delta E and that will be multiplied by Psi which is Ψ_0 plus delta Psi simple as that.

So this here is our Schrodinger equation for the perturbed system great. So, now let us open it up a little bit let us open the brackets we are going to have 4 terms on the left 4 terms on the right and then as usually happens in physical chemistry many of these 8 terms are going to vanish thankfully and we will have a beautiful expression for delta E. So, now ah $H_0 \Psi_0$ plus $H_1 \Psi_0$ let us say these are the two terms. I have taken these two terms in the first place well this I do not even have to say it like this everybody can do it.

This third and fourth term will be $H_0 \delta \Psi$ plus $H_1 \delta \Psi$ simple. Right hand side similarly will be $E_0 \Psi_0$ plus delta E Ψ_0 plus $E_0 \delta \Psi$ plus delta E delta Psi we have got our 8 terms. Now let us see how many of these are going to become 0. First it is very simple but let me once again ah emphasize the point that is not small the point that the changes are small delta H is small delta Psi is small.

So what happens when you multiply a small quantity by another small quantity 10 to the power minus 3 is a small quantity 10 to the power minus 5 is another small quantity multiply you get 10 to the power minus 8 which is ah smaller than each of these small quantities whose product we have taken. So, delta E delta Psi is going to be really very small and therefore we are going to neglect it. Remember we are approximating we are learning approximation methods.

Here if we say that we will not neglect any terms then there is no point in doing this exercise. So, I am reminded of another cliché something that a very renowned quantum chemist had told a student the student did not want to do approximation. So, the ah professor said see, there is an ant crawling on the table I want you to work out the time that the ant will take to go from this side of the table to that.

So you have to consider that the table is stationary and then you have to work out the velocity of the ant and work it out. Now if you say the table is on the surface of the earth the earth is rotating about its axis and revolving around the sun the entire solar system is moving and the galaxy is moving as well. So, then you have so many terms in the equation then before you can even write it the ant would have crossed the table.

So we do not want our ant to cross the table before we can write all the terms this is an absolutely justified approximation δE and $\delta \Psi$ within the ambits of perturbation theory are very small. So, the product is even smaller so we are going to neglect this no problem with that. If we cannot do it then we cannot use perturbation theory we need something else. And there are situations that we are going to encounter where that is the case.

But then what it means is that you need something else you need some more rigorous theory you cannot use perturbation theory there. You cannot use an easy theory and not invoke the approximations that you need to make for it to be applicable. So $\delta E \delta \Psi$ is equal to 0 is anything else equal to 0 do you have any other quantity any other term where the quantities are both small $\hbar^0 \Psi^0$, no, \hbar^1 at Ψ^0 well \hbar^1 it is very small but not necessarily $\Psi^0 \hbar^0$ $\delta \Psi$ again $\delta \Psi$ is small not necessarily definitely not \hbar^0 .

$E^0 \Psi^0$ if you neglect that then that means energy of the unperturbed system is 0 not a general case $\delta E \Psi^0$ once again similar thing. So, wherever you have this \hbar^1 blue terms multiplied by black ones you cannot neglect them. But here what about this one blue into blue H^1 first multiplied by $\delta \Psi$, $\delta \Psi$ is small and the perturbation is also small. So, the contribution of the perturbation to the Hamiltonian is definitely going to be small so we can neglect this $H^1 \delta \Psi$ as well.

Out of the 8 terms that we have 2 of them are neglected because they are \hbar^1 not; they are very, very small you do not have to worry about them let us see this. Immediately that brings us to another interesting situation now we have 6 terms instead of \hbar^1 8 can we get rid of more terms

here let us see what is the first term? H_0 operating on Ψ_0 what is the first term on the hand side? E_0 multiplied by Ψ_0 black and black, black and black.

So H_0 operating on Ψ_0 and E_0 s multiplied by Ψ_0 are they related to each other these are Hamiltonian wave function and energy of the unperturbed system. Of course the Schrodinger equation $H_0 \Psi_0$ is equal to $E_0 \Psi_0$ that is the ah Schrodinger equation for the unperturbed system. So, this term on the left hand side is the LHS of ah Schrodinger equation for the unperturbed system.

This term on the hand side is equal to hand side of Schrodinger equation for the unperturbed system they are equal to each other and so ah they cancel each other is great. Starting from 8 terms we now have 4 terms. We have 4 terms starting from 8 terms. Now we have ah first order Hamiltonian first order correction to Hamiltonian operating on 0th order wave function plus 0th order Hamiltonian operating on $\Delta \Psi$ the change in wave function gives us ΔE the change in energy multiplied by 0th order wave function plus 0th order energy multiplied by $\Delta \Psi$.

Now our job is to try and make ΔE as subject of formula and ah we have to see how we can simplify this further. Now one very simple technique ubiquitous technique we use every time in quantum mechanics whenever we have situations like this is to left multiply by an appropriate wave function and integrate over all space. When you do that many of the integrals become either 0 or 1 by virtue of orthonormalization orthonormal.

By virtue of wave functions being orthonormal and you get many useful new integrals. We are going to encounter many integrals of this kind later on exchange integral coulomb integral virus spectroscopy transition moment integral we have talked about transition moment integral very specially while discussing particle in a box. So, we are going to left multiply this but before that what we do is we bring this terms with $\Delta \Psi$ to one side H_0 minus E_0 $\Delta \Psi$ plus first order correction to Hamiltonian operating on Ψ_0 gives us ΔE multiplied by Ψ_0 why are we doing this because we want to make ΔE the subject of formula.

Now we will do what we are saying left multiply by the complex conjugate of the 0th order wave function and integrate over all space. When we do that what do we get? So the first term that we get is you multiply Ψ_0^* left multiply this thing you get Ψ_0^* multiplied by $H_0 - E_0$ operating on $\delta\Psi$ integrated over all space. Now ah do you see that $H_0 - E_0$ is actually an operator H_0 is the unperturbed Hamiltonian operator and E_0 is just a number E_0 .

So ah an operator minus a number is also an operator. So, this $H_0 - E_0$ is a quantum mechanical operator let us say \hat{A} or something we are going to use this ah later. So, this is what we have the first term becomes $\int \Psi_0^* \delta\Psi (H_0 - E_0) d\tau$ looks quite formidable does not look like we can work this out but let us see ah whether things simplify a little bit.

Second term of course will be from here what do we have here first order correction to Hamiltonian operating on Ψ_0 left multiply by Ψ_0^* integrate over all space. We got the second term on the left hand side. What about the right hand side δE remember is a number. So, δE comes outside the integral then we have $\int \Psi_0^* \delta\Psi d\tau$ multiplied by δE and that is a happy situation.

Because remember Ψ_0 is ah normalized, if it is not normalized you can always normalize everything and will cancel the normalization constant will cancel. So, $\int \Psi_0^* \Psi_0 d\tau$ over all space naturally is equal to 1. So, right hand side simply becomes δE the question is what about the ah other two terms is there any way to find a simpler expression for the first term that we have here $\int \Psi_0^* \delta\Psi (H_0 - E_0) d\tau$.

And to do this we are going to use a ah property of quantum mechanical operators. Remember quantum mechanical operators must have real eigen values that is where we are going to start from. Once again in case we lose track because of the sudden transition from perturbation theory to very basic quantum mechanics; please do not forget $H_0 - E_0$ is an operator. It is operating on some $\delta\Psi$. So, we are trying to find a way of simplifying this integral.

We want to know what this integral is because then finding an expression for ΔE becomes simple. So, we might as well write this operator as \hat{A} and whatever we have here this $\Delta \Psi$ or Ψ we can write it as f , f is a function. So, it has been said many times in this course that we are going to get eigen value equations whenever we have a quantum mechanical operator it operates on the wave function.

If the wave function has the information then it gives the information about the physical observable in the form of the eigen value. So, $\hat{A}f = a f$ is the eigen value equation that we get all the time. Now what we will do is something similar because we want this kind of an integral, integral some wave functions are multiplied by operator operating on some wave function. So, we will just go through the motion and do it.

We are going to left multiply by f^* , so we get $f^* \hat{A}f = a$ multiplied by $f^* f$ and then of course we are going to integrate. When we integrate left hand side becomes $\int f^* \hat{A}f d\tau$ is equal to $a \int f^* f d\tau$ is a constant so it will go outside the integration as we have discussed already. So, we already know what $\int f^* f$ is overall space that is going to be 1 because f is normalized.

So right hand side simply becomes a . Now what we will do is since we have a complex conjugate of something here we are going to take complex conjugate of the terms on both the sides of Schrodinger equation that we have written in the general form. So, complex conjugate of $\hat{A}f$ on the left hand side should be equal to complex conjugate of a multiplied by f^* on the right hand side.

Now what we had done earlier when we had $\hat{A}f$ we had left multiplied by f^* . Now we have $\hat{A}f^*$ so we are going to left multiply simply by f because complex conjugate is already there. So you do that and do the integration as you get what you get but before going there one thing that needs to be pointed out is right hand side is complex conjugate of a multiplied by f .

Now remember quantum mechanical operators have to have real eigen values. So, \hat{A} essentially has to be equal to a . It cannot be a complex quantity because the eigen value is supposed to be the value of a some physical observable it cannot be imaginary. So, it is a^* complex conjugate must be equal to itself. So, we can happily bring a out here. So, this hand side becomes $a \int \psi^* \hat{A} \psi d\tau$. So, now we left multiply by ψ and integrate over all space this is what we get $\int \psi^* \hat{A} \psi d\tau$ is equal to a .

So we have two such expressions both are equal to a , how do we proceed from here? We can write equate the two left hand sides eliminate a and we get $\int \psi^* \hat{A} \psi d\tau$ is equal to $\int \psi \hat{A}^* \psi^* d\tau$. Now \hat{A}^* we have something that is \hat{A} close to what we have here but not exactly the same. Because this expression if you see is something like $\int \psi^* \hat{A} \psi d\tau$ then \hat{A} integrated over all space.

This one is $\int \psi \hat{A}^* \psi^* d\tau$ integrated over all space they are not one and the same. So, we have to get from here to here we have to see whether we can get an expression where we have two different functions not the same one in this kind of an integral. So, that is what we are going to take up in the next module we stop here and will continue from here.