

**Smart Structures**  
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**Week 10**  
**Lecture No: 53**  
**Analysis of a Beam with Shape Memory Alloy Wire – Continued**  
**Part 04**

So, in the last lecture, we derived the final element formulation for the beam that has externally applied load, which is dampened out by shape memory alloy wires.

Now today, we will see, how the forces  $F_H$  bar  $F_V$  bar and  $M$  can be calculated. Based on the displacement that are experienced at node number  $2N$  plus 1, sorry, node number  $N$  plus 1. So, to do that let us look into the diagram.

So, here this portion shows only the part of the beam which is from  $x$  equal to 0 to  $L_a$ . Now, due to the bending of the beam, this point moves here. Now, we have to find out the final length which is  $l$  plus  $\Delta l$ . So,  $l$  is the initial length of the shape memory alloy wire, and  $l$  plus  $\Delta l$  is the final length after the deformation. So, we have to find out this final length  $l$  plus  $\Delta l$ .

So, the slope here is – this slope is  $\Delta w$  by  $\Delta x$ , because if we consider the Euler-Bernoulli assumption, then the plane section remains plane before and after bending. So, this slope is also  $\Delta w$  by  $\Delta x$ . Now, if this slope is  $\Delta w$  by  $\Delta x$ , then this offset is  $\Delta w$  by  $\Delta x$ , which is  $w'$  multiplied by  $t_b$  by 2. So, in this diagram  $w'$  is equal to  $w$  comma  $x$  equal to  $\Delta w$  by  $\Delta x$ . Then, if we know this quantity then finally, after applying trigonometry, it can be shown that our  $l$  plus  $\Delta l$  whole square is equal to  $h$  minus  $d_{2N \text{ plus } 1}$  whole square, plus  $L_a$  minus  $t_b$  by 2,  $d_{2N \text{ plus } 2}$  whole square.

$$(l + \Delta l)^2 = (h - d_{2N+1})^2 + \left( L_a - \frac{t_b}{2} d_{2N+1} \right)^2$$

Here,  $h$  is this vertical dimension, and as we know that  $d_{2N \text{ plus } 1}$  as per our degree of freedom nomenclature is equal to  $w$  at  $x$  equal to  $L_a$ . And  $d_{2N \text{ plus } 2}$  is equal to  $\Delta w$  by  $\Delta x$  at  $x$  equal to  $L_a$ . And also we know that  $l$  square, the undeformed length is  $h$  square plus  $L_a$  square.

$$l^2 = h^2 + L_a^2$$

Then subtracting, we can get  $2$  small  $l$ ,  $\Delta l$  equal to  $h$  minus  $2$   $d_{2N \text{ plus } 1}$  minus  $L_a$ ,  $t_b$   $d_{2N \text{ plus } 2}$ .

$$2l\Delta l = -2hd_{2N+1} - L_a t_b d_{2N+2}$$

And then, it becomes  $\Delta l$  equal to minus  $\sin \theta d_{2N+1}$ , minus  $\cos \theta t_b$  into  $t_b$  by 2,  $d_{2N+2}$ .

$$\Delta l = -\sin \theta d_{2N+1} - \cos \theta \frac{t_b}{2} d_{2N+2}$$

So, strain in wire 1 increases by an amount  $\epsilon_{1c}$  which is equal to  $\Delta l$  by  $l$ , and that is equal to minus  $\sin \theta d_{2N+1}$  divided by small  $l$ , minus  $\cos \theta t_b$  by  $2l$ , multiplied by  $d_{2N+2}$ .

$$\epsilon_{1c} = \frac{\Delta l}{l} = -\frac{\sin \theta d_{2N+1}}{l} - \frac{\cos \theta t_b}{2l} d_{2N+2}$$

Now, we have wire 2 below it. So, the upper wire, we denote it as wire 1. And then below also we have a wire, and the wire below is wire 2. So, the wire that is positioned here, we call it wire 2. The upper one is wire 1, and the wire below is wire 2. So, because they are symmetrically placed the amount of strain would be same here, but opposite because if this is experiencing a negative quantity as a strain this would experience a positive quantity as a strain it will try to increase in length. So, strain in wire 2 increases by  $\epsilon_{2c}$  which is equal to minus of  $\epsilon_{1c}$ .

$$\epsilon_{2c} = -\epsilon_{1c}$$

Now let us define a quantity  $\epsilon_{1c}$  is equal to  $\epsilon_{2c}$  is equal to  $\epsilon_c$ .

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$(l + \Delta l)^2 = (h - d_{2N+1})^2 + (La - \frac{t_b}{2} d_{2N+2})^2$   
 $p^2 = h^2 + La^2$   
 Subtracting  
 $2L\Delta l = -2h d_{2N+1} - La t_b d_{2N+2}$   
 $\Delta l = -\sin \theta d_{2N+1} - \cos \theta \frac{t_b}{2} d_{2N+2}$   
 Strain in wire 1 increases by  
 $\epsilon_{1c} = \frac{\Delta l}{l} = -\frac{\sin \theta d_{2N+1}}{l} - \frac{\cos \theta t_b}{2l} d_{2N+2}$   
 Strain in wire 2 increases by  
 $\epsilon_{2c} = -\epsilon_{1c}$   
 $\epsilon_c = -\epsilon_{2c} = \epsilon_{1c}$

$d_{2N+1} = w|_{x=La}$   
 $d_{2N+2} = \frac{\partial w}{\partial x}|_{x=La}$

$w'_c = w, x = \frac{\partial w}{\partial x}$

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Now, we can say that: strain in wire 1 is  $\epsilon_1$  equal to  $\epsilon_p$  plus  $\epsilon_{1c}$ , where  $\epsilon_p$  is the amount of pre strain in the wire. So, when the beam is undeformed the amount of prestrain in the wire is  $\epsilon_p$ . So,  $\epsilon_p$  is prestrain in the wires and they should be same because they are symmetrically placed, when the beam is undeformed. And strain in wire 2 is  $\epsilon_2$  equal to  $\epsilon_p$  minus  $\epsilon_c$ . We have already defined a quantity  $\epsilon_c$ . So, let us not use the suffix 1 or 2, say  $\epsilon_p$  plus  $\epsilon_c$  and  $\epsilon_p$  minus  $\epsilon_c$ .

$$\epsilon_1 = \epsilon_p + \epsilon_c$$

$$\epsilon_2 = \epsilon_p - \epsilon_c$$

Now, if I know the strains, we can find out the stress by using the constitutive relation of the piezoelectric materials that we discussed. So, stress in wire 1 can be found from the equation,  $\sigma_1$  minus  $\sigma_{1,0}$  is equal to  $E \xi_1$  multiplied by  $\epsilon_1$  minus  $\epsilon_{1,0}$  plus  $\Omega \xi_1$  multiplied by  $\xi_1$  minus  $\xi_{1,0}$ . And there could also be a term  $\theta$  multiplied by the temperature difference. However, in this entire process the temperature is remaining fixed. So, that quantity does not have any contribution and anyway even if there is some temperature difference, we have seen that the contribution due to that  $\theta$  term is generally negligible. So, we do not have that term.

$$\sigma_1 - \sigma_{1,0} = E(\xi_1)(\epsilon_1 - \epsilon_{1,0}) + \Omega(\xi_1)(\xi_1 - \xi_{1,0})$$

So, here  $\xi_1$  is the martensite volume fraction in wire 1 and  $\epsilon_{1,0}$  is the initial. So, it is not  $\epsilon_{1,0}$ . It is  $\epsilon_{1,0}$  maybe we can put a comma here. 0 means the initial configuration.  $\epsilon_1$  means the stress in wire 1. 0 means initially how much it was. So, there is a change in the stress in wire 1. Similarly, here also it is, sorry, it is  $\sigma_1$  minus  $\sigma_{1,0}$  that is the change in the stress in.

So, here we have this term  $\sigma_1$  minus  $\sigma_{1,0}$ . So, it is not  $\sigma_{10}$ , it is  $\sigma_{1,0}$  and that signifies the change in the stress in wire 1. Similarly, I have  $\epsilon_1$  minus  $\epsilon_{1,0}$  and that signifies change in the strain in wire 1. So, it is change in the stress in wire 1, this is the change in the strain in wire 1. And similarly, this is the change in the martensite volume fraction in wire 1, and they are related to this constitutive relation. Again, we know that this equation is a highly non-linear equation because this  $\xi_1$  is a non-linear function of  $\sigma_1$ . So,  $\xi_1$  is non-linear function of  $\sigma_1$  and it we can use it Tanaka model or Liang and Rogers model or Brinson model, whatever model we use, this is a non-linear function of  $\sigma_1$ . And we have seen how to solve this equation using an iterative techniques like Newton Raphson technique that we discussed in the last lecture of the previous week.

So, accordingly we have to find this out based on some initial and final strain. So, if I know the initial strain, if I know the final strain, we can find this quantity, find the stress out by

solving the non-linear equation. So, that makes the entire solution of the finite element equation highly iterative. So, now, let us discuss how we can solve it.

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strain in wire 1 is  $\epsilon_1 = \epsilon_p + \epsilon_c$        $\epsilon_p =$  pre strain in the wires when the beam is undeformed

strain in wire 2 is  $\epsilon_2 = \epsilon_p - \epsilon_c$

stress in wire 1 can be found from the equation

$$\sigma_1 - \sigma_{1,0} = E(\epsilon_1)(\epsilon_1 - \epsilon_{1,0}) + \Omega(\epsilon_1)(\epsilon_1 - \epsilon_{1,0})$$

$\epsilon_1$  is nonlinear function of  $\sigma_1$   
(Tanaka Model, or Liang & Rogers's Model, Brinson's Model)

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So, here is the flow chart of the iteration procedure. So, at first we guess  $\epsilon_{1c}$  because – Let us suppose that we are solving a static problem. So, for a given load, for a given static load, first we find out what is the amount of displacement? What is the deformation in the beam? So, for that condition, we do not know the  $\epsilon_{1c}$ . So, we guess – that is what we have to find out.

So, let us guess  $\epsilon_{1c}$ . Guess some value and based on the guess, we find out  $\sigma_{1,1}$ . Find out  $\sigma_{1,1}$ ,  $\sigma_{2,2}$ . So, in this case our  $\epsilon_{1p}$  becomes the initial strain,  $\sigma_{1,1,0}$  and the final strain is  $\epsilon_{1,1}$  which is equal to  $\epsilon_{1p}$  plus  $\epsilon_{1c}$  for the wire 1, and the for the wire 2, it is  $\epsilon_{2,2}$  equal to  $\epsilon_{1p}$  minus  $\epsilon_{1c}$ . And for both the wires our initial strain is  $\epsilon_{1p}$ . So, based on that, we find out our stresses and again this itself is a iterative procedure. So, to evaluate this block, itself we need to do the Newton Raphson based iteration and find out  $\sigma_{1,1}$  and  $\sigma_{2,2}$ . Once we get our  $\sigma_{1,1}$  and  $\sigma_{2,2}$ , we can find out the amount of forces in the wire, we can find out the amount of tensions in the wire.

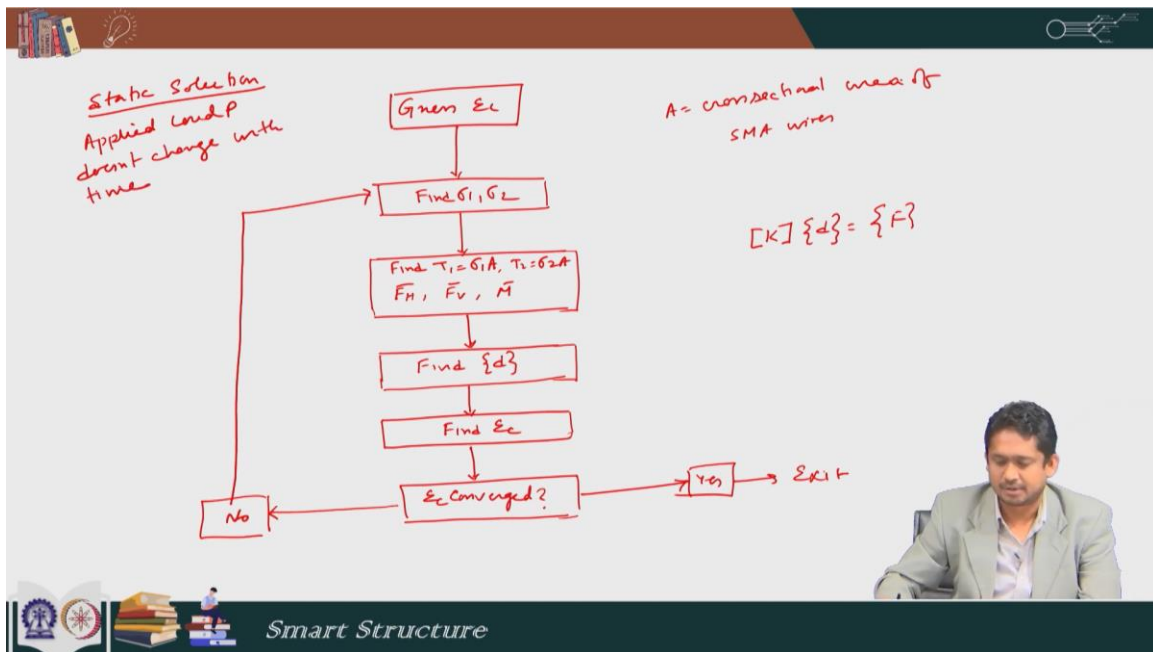
So,  $\sigma_{1,1}$  is that a stress in wire 1 and  $\sigma_{2,2}$  is the stress in wire 2. If I multiply those by the area of cross section of the wire, we get our tension  $T_1$  and  $T_2$ . So, find  $T_1$  equal to  $\sigma_{1,1} A$  and  $T_2$  equal to  $\sigma_{2,2} A$ , where  $A$  is the cross sectional area of SMA wires. If I get my  $T_1$ ,  $T_2$ , I can find out the quantities  $F_H$  bar,  $F_V$  bar and  $M$  bar. Once I know those quantities, now my force matrix, force vector in the final element formulation is defined.

Now, for that known force vector I can find out d. So, now please understand here we are solving a static problem, we are assuming that the applied force is time independent. So, in this case we would just solve this equation  $K_d = F$ . Then once we have found out d, we know what our  $d_{2N \text{ plus } 1}$  and what our  $d_{2N \text{ plus } 2}$  and what is our  $d_{2M \text{ plus } 1}$ . And then based on that we find out again  $\epsilon_c$ . Now, the question is whether this  $\epsilon_c$  or the previously got  $\epsilon_c$  is agreeing or not. If they are not converged, we have to repeat the procedure. If they have converged, we can stop the procedure.

So, now,  $\epsilon_c$  converged, if the answer is yes, then we can exit. And if the answer is no, again we go back and feed that newly obtained value of  $\epsilon_c$  and repeat the procedure until and unless the improvement of  $\epsilon_c$  stops. So, until and unless the convergence of  $\epsilon_c$  is obtained. Once the convergence of  $\epsilon_c$  is obtained, the corresponding d is our obtained value of the nodal degrees of freedom at different node points and that gives us the solution.

So, it is a solution of the static problem. So, static solution. So, applied load P does not change with time.

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Now, what if we want to do the dynamic solution and that is the goal of this work, because we have added a damping and our force P is a time dependent force and the vibration caused due to that has to be dampened out. So, here let us go to the dynamic solution. P is time dependent. Now, for dynamic solution, we already discussed the various algorithms to do the time merging. One of them was the beta Newmark method. We did this discussion in week 5. So, here in the beta Newmark scheme. It goes like this. At every time step n

plus 1, we know the quantities at the previous time step. So, at the n plus 1th time step, we know  $\ddot{d}$ , at the time step n, we know  $\dot{d}$  at time step n and we know  $d$  at time step n. So, based on those known quantities, we find out our  $\ddot{d}$  at n plus 1, because in this expression all the quantities like  $\ddot{d}$  and  $d$  are from the previous time step. So, we can find this out. After you find out  $\ddot{d}$  at n plus 1, we can find out our  $\dot{d}_{n+1}$ . After you find out  $\dot{d}_{n+1}$  and  $\ddot{d}$  at n plus 1 we can find out  $d$  at n plus 1 and the and the time merging proceeds. Then we go to the n plus 2th time step and at that time step n plus 1 quantities are n plus 1 are known to me. So, I can do the same thing. However, here because this problem is highly non-linear, evaluation of this  $\ddot{d}$  at n plus 1 involve the iteration. Because here, I have the force matrix here.

Now, to do this again we do the same procedure. So, whatever iteration we defined here, that entire iteration has to be done to solve this equation. So, for solution of this equation, this iteration has to be repeated. So, within each time step, we have to find out  $\ddot{d}$  at n plus 1 by this kind of iteration. And after that we can find out  $\dot{d}$  at  $d$  and  $\dot{d}_{n+1}$ . So, here if I want to find out this by iteration, again I make a guess of epsilon and based on guess of epsilon that we make, we can find out  $\dot{d}$  at n plus 1, and that will give us  $d$  at n plus 1. And once we get that and we can find out  $\dot{d}$  also. And then once we get that  $d$  at n plus 1, we can find out the new epsilon and again the procedure is repeated till my epsilon converges.

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*Dynamic Solution*  
*P is time dependent*

$$\dot{d}_{n+1} = \dot{d}_n + (1 - \gamma)\Delta t \ddot{d}_n + \gamma \Delta t \ddot{d}_{n+1}$$

$$d_{n+1} = d_n + \Delta t \dot{d}_n + \frac{\Delta t^2}{2} [(1 - 2\beta)\ddot{d}_n + 2\beta \ddot{d}_{n+1}]$$

$$[M]\ddot{d}_{n+1} + [C]\dot{d}_{n+1} + [K]d_{n+1} = \{F\}_{n+1}$$

$$\ddot{d}_{n+1} = [M + \gamma \Delta t [C] + \beta \Delta t^2 [K]]^{-1} \left\{ \{F\}_{n+1} - \Delta t(1 - \gamma)[C]\dot{d}_n - \frac{\Delta t^2}{2} [K](1 - 2\beta)\ddot{d}_n - [C]\dot{d}_n - \Delta t[K]d_n - [K]d_n \right\}$$

$\gamma = 0.5, \beta = 0$  Explicit Central Difference  
 $\gamma = 0.5, \beta = 0.5$  Average Constant

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So, within each time step, the evaluation of these quantities they involved the iteration that was discussed in the previous slide and it continues. So, that is what that is what about the iterative solution of the finite element equation that we get.

Now, if you want to solve the same problem using the Ritz method, we can do it in this way. Again, we can write the variational form. So, let us write it down. We have the last quantity. Here the virtual work is at the point  $L_b$ , because that is where we are applying the externally applied load.

$$\int_0^L \rho A \ddot{w} \delta w dx + \int_0^L EI w_{,xx} \delta w_{,xx} dx - \int_0^{L_a} \bar{F}_H w_{,x} \delta(w_{,x}) dx - \bar{F}_v \delta w|_{x=L_a} - \bar{M} \delta \left( \frac{\partial w}{\partial x} \right) \Big|_{x=L_a} - P w|_{x=L_b} = 0$$

Now, here instead of having a localized basis functions, what we assume is  $w$  as a function of  $x$  and  $t$  is  $\phi_i x$  multiplied by,  $\phi_j x$  multiplied by  $q_j t$ , where  $\phi_i$  is a known function of  $x$  and  $q_j$  is unknown function of time.

$$w(x, t) = \sum_{j=1}^N \phi_j(x) q_j(t)$$

So again, we follow the same procedure that we discussed for Ritz method, we put everything in the virtual work equation and then finally, we get the quantities as finally, we get the a set of ordinary coupled differential equations which looks like this.

$$[M]\{\ddot{q}\} + [K]\{q\} = \{F\}$$

And then, we have  $M_{ij}$  is equal to  $\int_0^L \rho A \phi_i \phi_j dx$ ,  $\phi_i$  I should have the  $\rho A$  also,  $\rho A \phi_i \phi_j dx$ . And then, we have  $k_j$  is equal to  $\int_0^L EI \phi_{i,xx} \phi_{j,xx} dx - \bar{F}_H \int_0^L \phi_{i,x} \phi_{j,x} dx$  minus we have  $\bar{F}_H$  bar,  $0$  to  $L_a$ . So, this quantity  $0$  to  $L_a$ , not  $L$ .  $\phi_{i,x} \phi_{j,x} dx$ .

$$M_{ij} = \int_0^L \rho A \phi_i \phi_j dx$$

$$K_{ij} = \int_0^L EI \phi_{i,xx} \phi_{j,xx} dx - \bar{F}_H \int_0^L \phi_{i,x} \phi_{j,x} dx$$

And then we have the force as  $\bar{F}_v$  bar multiplied by  $\phi_i$  evaluated at  $x$  equal to  $L$  plus  $\bar{M}$  bar multiplied by  $\phi_{i,x}$  evaluated at  $x$  equal to  $L$ ,  $L_a$ , plus  $p$  multiplied by  $\phi_i$  evaluated at  $x$  equal to  $L_a$ .

$$F_i = \bar{F}_v \phi_i|_{x=L_a} + \bar{M} \phi_{i,x}|_{x=L_a} + \rho \phi_i|_{x=L_a}$$

So, this is the set of ordinary differential equations that we get when we use the Ritz method. In the finite element problem our force matrix was mostly  $0$  except for few places here the force matrix is populated fully. And then the solution remains same. We have to

iteratively find out q. So, there we are finding out d, here we will be finding out q. And the iteration has to be in such a way that epsilon<sub>c</sub> converges.

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Ritz Method

$$\int_0^L PA \dot{w} \dot{w} dx + \int_0^L EI w_{,xx} \delta w_{,xx} - \int_0^{L_a} \bar{F}_H w_{,x} \delta w_{,x} dx - \bar{F}_V \delta w|_{x=L_a} - \bar{M} \delta \left( \frac{\partial w}{\partial x} \right) \Big|_{x=L_a} - P \delta w|_{x=L_b} = 0$$

$$w(x, t) = \sum_{j=1}^N \phi_j(x) q_j(t)$$

$$[M] \{\ddot{q}\} + [K] \{q\} = \{F\}$$

$$M_{ij} = \int_0^L PA \phi_i \phi_j dx \quad K_{ij} = \int_0^L EI \phi_{i,xx} \phi_{j,xx} dx - \bar{F}_H \int_0^{L_a} \phi_{i,x} \phi_{j,x} dx$$

$$F_i = \bar{F}_V \phi_i \Big|_{x=L_a} + \bar{M} \phi_{i,x} \Big|_{x=L_a} + P \phi_i \Big|_{x=L_b}$$

Now, if we want to simplify it, let us assume that we have a one term approximation for w and the approximation is x by L cube multiplied by q<sub>1</sub>.

$$w(x) = \left( \frac{x}{L} \right)^3 q_1$$

So, that gives the equation as L by 7, q<sub>1</sub> double dot, plus 12 multiplied by EI, 12EI by L cube, minus 9 F<sub>H</sub> bar, 5L multiplied by q<sub>1</sub> minus F<sub>V</sub> bar, L<sub>a</sub> by L. So, it is L suffix a, minus 3M bar L<sub>a</sub> square by L cube, plus P into L<sub>b</sub> equal to 0.

$$\frac{L}{7} \ddot{q}_1 + \left( \frac{12EI}{L^3} - \frac{4\bar{F}_H}{5L} \right) q_1 - \bar{F}_V \frac{L_a}{L} - \frac{3\bar{M}L_a^2}{L^3} + PL_b = 0$$

So, here I have the force terms directly written in terms of F<sub>V</sub> bar and M bar. So, here I have just one equation and this equation now has to be solved again iteratively. So, we discussed. We have to do the time marching and within each time step. We have to iteratively find out this q's so that epsilon<sub>c</sub> converges. So, that is how this problem can be solved.

Now, shape memory alloys are used as actuators also in that case we have to give thermal actuation. So, for the same configuration, if it has to be used in actuation mode. So, application as actuator for the same problem, if we want to use the same configuration, I



mean, the shape memory alloys put in the same configuration as actuators. In that case, let us assume that both the wires are in the martensite phase. So, martensite phase with some permanent deformation.

Now when due to the application of this force  $P$ , this beam wants to go up. In that case, what we can do is – because the upward moment of  $P$ , upward moment of the beam would try to extend this wire. Now, if I give a heat actuation here, then the heat actuation would try to take the wire to its previous shape which has a length less than the length that is here. So, that would generate an actuation force to the beam in the bottom direction and would try to prevent the upward moment. Similarly, when the beam tries to go downward, we can excite this actuator thermally and that would try to bring this shape memory alloy wire to the austenite phase. So, it would try to reduce the length of it and then the beam would experience an upward force. So, that is how it can be controlled by thermal actuation.

Now here, apart from the thermal apart from the temperature, the stress also comes into picture, because when the beam is going up or down the stress in the wires also changes. So, the same nonlinearity still remains which needs to be solved, but temperature also comes into picture because of the actuation. And the entire system can be designed in such a way that, it has to sense by a sensor, it has to sense whether the displacement is up, how the displacement is, how the velocity is, and accordingly, one of the actuators have to be excited to control the displacement.

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Assume  $w(x) = \left(\frac{x}{L}\right)^2 q_1$

$$\frac{L}{3} \ddot{q}_1 + \left(\frac{12EI}{L^3} - \frac{7F_H}{5L}\right) q_1 - \bar{F}_v \frac{L_a}{L} - \frac{3M L_a^2}{L^2} + PL_b = 0$$

Application as Actuator

Diagram: A beam of length  $L$  is fixed at the left end. A force  $P$  is applied at the free right end. The beam is shown with a slight upward deflection.

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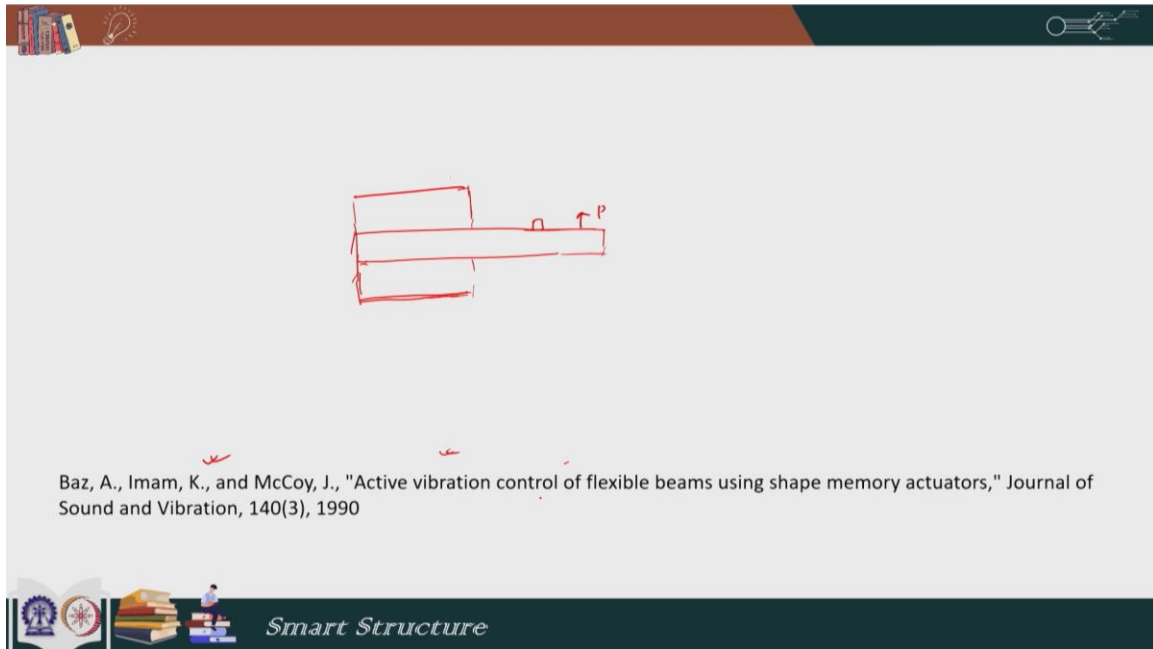
So, for this, this paper can be referred to see an application like this. There are various papers which talk about applications of shape memory materials for vibration control or

shape control. However, in this paper it is one of the earliest papers in this area here the actuation equation is much more simplified. So, this can be a good paper to start with to read it read those things.

In this paper the system is little different from the paper that we saw before. Here, we have a beam in this paper and there are two parallel shape memory alloy rods and they are connected to the beam. And this entire beam is vibrating under the action of some load and there are some sensors which sense the displacement and velocity of the beam.

Now, the formulation given in this paper includes the bending stiffness of the shape memory alloy rods at the top and bottom also. And by giving thermal excitation to one of the shape memory alloy rods, based on what the sensors sense the vibration is controlled. The formulation can be seen in the paper.

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So, with that I would like to conclude this lecture here.

Thank you.