

Jet and Rocket Propulsion
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Lecture – 13

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The chalkboard contains the following handwritten derivations:

$$R_i = \frac{1 + \lambda_i}{\lambda_i + \epsilon_i} \quad \text{Can 1}$$

$$l_i = \frac{\lambda_i}{1 + \lambda_i}$$

$$I_{sp_i} = I_{sp} \Rightarrow U_{eq_i} = U_{eq}$$

$$G = \epsilon$$

$$\frac{\Delta U}{U_{eq}} = \sum_{i=1}^n l_i \frac{1 + \lambda_i}{\lambda_i + \epsilon_i} = \sum_{i=1}^n F(\lambda_i)$$

$$l = \prod_{i=1}^n l_i = \prod_{i=1}^n \frac{\lambda_i}{1 + \lambda_i}$$

$$\ln l = \sum_{i=1}^n \ln \left(\frac{\lambda_i}{1 + \lambda_i} \right) = \sum_{i=1}^n G(\lambda_i)$$

So, in the last lecture we were discussing the multi stage optimization, and what we have shown there is that delta u for the entire multi stage rocket having n stages is given as this expression, where equivalent i is the equivalent velocity for i th stage, and array is the inverse of mass ratio for i th stage. And then we had since we have proved earlier that array equal to 1 plus lambda i divided by lambda i by epsilon i, well lambda i is the payload factor for the i th stage, and epsilon i is the structural coefficient for the i th stage, therefore this becomes equal to equivalent i l n 1 plus lambda i upon lambda i plus epsilon.

We had also shown in the last lecture that the optimization essentially means either to attain a given delta u for a given payload with minimum initial mass, and the equivalent statement is to attain a given payload mass fraction with maximum velocity. And we have said that maximization of velocity is easier way to do it. So, therefore that is what we will be focusing on. So, we will be we are actually maximizing this delta u. After that what we did was we also showed that l is equal to l i that is the payload fraction for higher stage is given like this in terms of payload factor like this.

And then we took a case case one which we have been discussing in the last lecture, there what we said that the $I_s p$ for all the stages are same which essentially implies that the equivalent velocity for all the stages are same, and we also assume that the structural coefficient for all the stages are same. Making this assumption then simplifies this expression to Δu is by equivalent equal to $\sum_{i=1}^{n-1} \frac{1}{1 + \lambda_i}$ upon λ_i plus epsilon. What we see here is the right hand side is the function of only λ_i which is added the equations, and summation of all the $\frac{1}{1 + \lambda_i}$ upon λ_i plus epsilon for all the stages. So, we can define this as a function f of λ_i then we can write this as like this.

So, now our objective optimization is to maximize Δu which essentially means maximizing $f(\lambda_i)$, but we have said that we have also a constrain, and that constrain is that the overall payload fraction is the product of independent individual payload fractions, this is the constrain that we have, and this is the given quantity, L is the given quantity. Now, since we have shown that L_i can be given like this we can write this as, $i = 1$ to n $\lambda_i + 1$ plus λ_i . Then what we do say it is the product and here we want to have a summation, what we did was we took natural logarithm of L then that becomes equal to this, what we see here now, is that this term here is also a function of only λ_i and the left hand side of this is constant. So, this is a constant which is then can be written as equal to $G(\lambda_i)$.

Now, if we multiply this constant with another constant, it still remains a constant right. So, now if we take this function and add a constant to it, and then now if I say that we want to maximize this, they are essentially maximizing this is equivalent to maximizing this plus a constant right, because constant is going to be there always right. So, what we says that we define new operators function n , which is the sum of this plus this, but this is multiplied by a function alpha sorry, a factor alpha.

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$$L(\lambda_i) = f(\lambda_i) + \alpha G(\lambda_i)$$

$$\frac{\partial L}{\partial \lambda_i} = 0 \Rightarrow \frac{\partial f(\lambda_i)}{\partial \lambda_i} + \alpha \frac{\partial G(\lambda_i)}{\partial \lambda_i} = 0$$

$$\frac{1}{1+\lambda_i} - \frac{1}{\epsilon+\lambda_i} + \frac{\alpha}{\lambda_i} - \frac{\alpha}{1+\lambda_i} = 0$$

$$\lambda_i = \frac{\alpha - \epsilon}{1 - \alpha - \epsilon}$$

$$\lambda_i = \frac{\lambda}{1+\lambda} = \text{const}$$

$$l = \prod_{i=1}^n \lambda_i = \left(\frac{\lambda}{1+\lambda}\right)^n$$

$$\lambda = \frac{l^{1/n}}{1 - l^{1/n}}$$

So, then this is equal to where alpha is Lagrange's multiply, then what we did was now this becomes our new objective function where maximizing this was our objective. So, this was our objective function, this was our constrain, now this becomes our new objective function which we like to maximize. So, for that what we did was ((Refer Time: 06:07)) d l d lambda i equal to 0. And then that implies that this is equal to d d lambda i of f lambda i plus alpha d d lambda i of G lambda i. Now, once we differentiate this we got this expression equal to 0, and after simplifying this we get an expression for lambda i. So, this is what we had obtained till the end of last lecture.

Now, let us proceed from here and have a relook at this, if I look at the right hand side of this equation we said that the structural coefficient for all the stages are same and they are given is a constant. So, therefore in this expression alpha ((Refer Time: 07:22)) is a constant, and we have also said when we are discussing this that the Lagrange's multiplier alpha is also a constant right. So, here therefore this is also a constant. So, if I look now at the right hand side of this equation essentially this is a constant. So, the right hand side of this equation is a constant which implies the left hand side must also be a constant and our left hand side is lambda i. So, because alpha and epsilon are constants which implies lambda i is also a constant, this is a very significant observation that lambda i is constant. So, I can just put it as lambda.

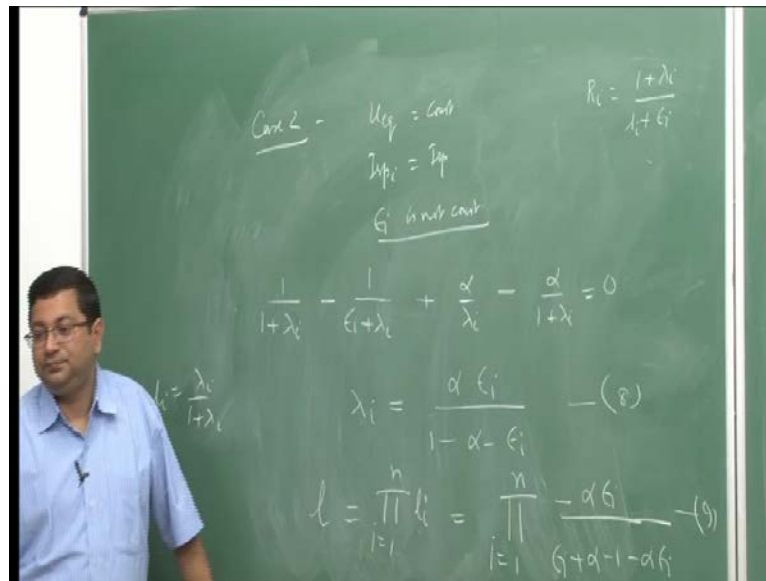
Then now that brings us to a very interesting thing, because here I was saying λ_i was equal to $\lambda_{i-1} + \lambda_i$. Now, we have shown they had that λ_i is a constant. So, we can write λ_i which is the payload mass fraction for different stages is equal to $\lambda_{i-1} + \lambda_i$, that is why λ_i is constant. And we also know that λ overall mass fraction is a product of a light here. If λ_i is a constant therefore λ_i is also a constant right. So, λ_i is constant which means that the payload mass fraction for all the stages are same, and that value is a constant, and we know that overall payload fraction is the product of this alike. So, what I can do now is I can write λ is equal to product of $\lambda_{i-1} + \lambda_i$ n times, right. So, essentially this becomes equal to $\lambda_{i-1} + \lambda_i^n$, which essentially means that λ_i is equal to L to the power 1 upon n upon $1 - \lambda_i$ to the power one upon n .

So, this from this now we can directly get an expression for λ_i . Let us now see that what is the significance of getting this relationship? We are saying that we have a case for a n stage of rocket, all the stages have same specific impulse and they have same structural coefficient. Unless say we are acts to maximize it, and the overall payload ratio is given to us, fraction is given to us λ_i is payload ratio for it. So, overall payload ratio fraction is given to us. That is λ_i is given we know the number of stages. So, first and foremost thing what we do is we use this equation, where my λ_i is known my n is known, I can get a value for λ_i this is my payload ratio. Once I have this value of λ_i I come to this equation, so in this equation now λ_i is equal to λ_i , so I put this value of λ_i , which I have obtained there into this equation.

Now, in this equation once I put λ_i is known, because my payload fraction is given to me sorry, the structural coefficient is given to me. So, now in this equation the only unknown becomes α . So, I can just solve for α from here. So, that gives me the value of α which will be optimizing it, but in this scenario actually we do not need to solve for α , because finally what are been interested in. We are actually interested in the maximize λ_i only right. So, we do not need to solve for this. In this case we just need to do this, and then once we have this we can directly go back to this equation, and get the velocity equation. We do not define need to solve for α for this problem, for the other cases which we will discuss will see that we need to solve for α , but not for this problem because it is very simple, because λ_i is constant, but when λ_i is not constant then we need to solve for α also separately.

So, for this case we have already discussed, let us now look at some other cases. So, the first case what we had was this was the given condition where we had same equivalent velocity for all the stages, and the same structural coefficient. With that we got this relationship that we do not need to solve them for alpha, we can directly get the value of lambda by solving that equation, and we can solve for the problem. Just look at the next case here, in this case what we will say is the rocket.

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Case two: We will still maintain that equivalent is constant which implies I_{sp} is same for all the stages, we can still maintain that but now what we say is that our epsilon i is not constant; that means that different stages have different structural coefficient. When that happens immediately the changes that R_i is equal to now by epsilon i right. Now if you look back at my optimization equation. Here again the function here still remains of lambda i whereas, now for every stage we also have epsilon i added here right, but this still remains as lambda i . So, epsilon i only comes in f and we still differentiate with respect to lambda i . So, therefore as for as this optimization equation is concerned, epsilon is a constant which appearing as epsilon i that is it. So, therefore nothing here changes in the optimization process except this expression here epsilon is replaced by epsilon i . So, here I will replace this by epsilon i .

So, what I will get them is that $1 + \lambda_i - 1 + \alpha \lambda_i + \lambda_i - \alpha \lambda_i = 0$. So, once again i

would like to deviated the point that as for as this optimization process is concerned, no where we have ϵ_i as the variable right. So, our variable primary variable is λ_i , therefore in this optimization equation we still can have variation in ϵ_i , the only thing that we change is that ϵ will be replaced by ϵ_i everything else remains same. So, in that case then our expression for λ_i will now have ϵ_i instead of ϵ . So, if I do that I will get λ_i is equal to $\alpha \epsilon_i / (1 - \alpha - \epsilon_i)$, let we call this equation 8. S

o, now α here is a function, sorry λ_i here is a function of α as well as ϵ_i , so far so good. But the problem now is here in the previous case since α and ϵ both were constant, therefore, λ_i was a constant equal to λ , and because of that we can do it like this and get direct expression for λ . But when we come back to this case, now ϵ_i is not constant, it is varying from stage to stage. Therefore, λ_i is also not constant it is varying from stage to stage, even though α is a constant . So, therefore, our l_i is essentially equal to π_i equal to $1 - n l_i$ and my l_i is equal to λ upon λ_i upon $1 + \lambda_i$. So, this l_i then will be replaced by this equation, and this equation I will replace λ_i by this. After that if I simplify it, let we call this equation 9.

So, this is equal to π_i equal to $1 - n$ minus $\alpha \epsilon_i$ divided by ϵ_i plus α minus $1 - \alpha \epsilon_i$. Now we got this expression, now we see that for this case the solution is not prevail, what is happening is that even if we know ϵ_i , now we have this condition here which is the constrain. So, there is no direct method of solving α apart from solving from this equation. So, what we see here is we have this equation which is relating the variation of α and ϵ_i to the overall payload fraction l . So, if this is given then this becomes a polynomial in α depending on the degree of polynomial depends on the number of stages that we have.

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$$n=2$$
$$l = \begin{pmatrix} -\alpha \epsilon_1 \\ \epsilon_1 + \alpha - 1 - \alpha \epsilon_1 \end{pmatrix} \begin{pmatrix} -\alpha \epsilon_2 \\ \epsilon_2 + \alpha - 1 - \alpha \epsilon_2 \end{pmatrix}$$

2nd order polynomial in α

$$h(\alpha) = 0$$

solve for α

$$\lambda_1 = \frac{\alpha \epsilon_1}{1 - \alpha - \epsilon_1} ; \lambda_2 = \frac{\alpha \epsilon_2}{1 - \alpha - \epsilon_2}$$
$$l_1 = \frac{\lambda_1}{1 + \lambda_1} ; l_2 = \frac{\lambda_2}{1 + \lambda_2}$$

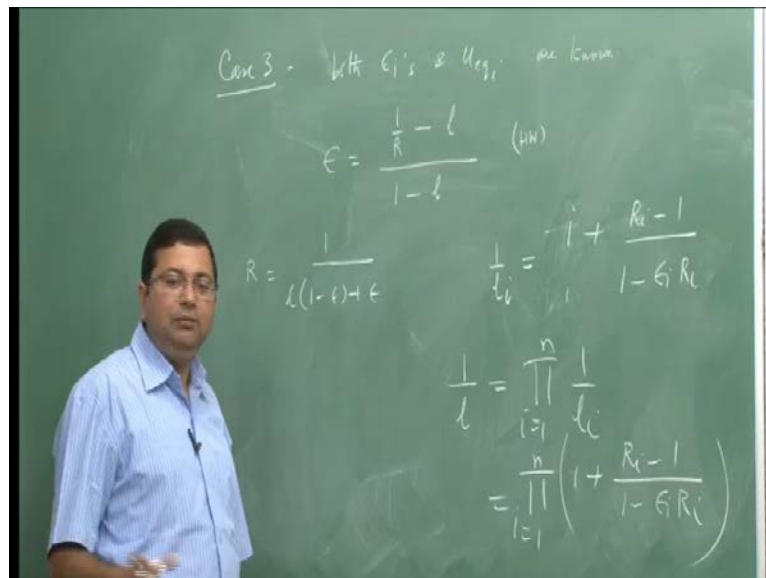
For example, if we have a two stage rocket then what we will have is that the if n is equal to 2. If we have a two stage rocket then l is equal to minus alpha epsilon one upon alpha epsilon 1 plus alpha minus alpha minus alpha epsilon 1 times minus alpha epsilon 2 upon epsilon 2 plus alpha minus 1 minus alpha epsilon 2 . So, now this is a polynomial in alpha, as we can clearly see and this going to be a second order polynomial. So, left hand side is known, the only unknown here is alpha. So, for this case we get a second order polynomial in alpha that is say some function is alpha equal to 0. So, now what we need to do the process for solution is first we found this polynomial, we solve for alpha alpha from this polynomial. Once we have the value of alpha by solving this polynomial, then we come back here, and we estimate lambda i, because my epsilon i is given.

So, what we have here now is we have two stages. So, my lambda 1 is going to be equal to alpha epsilon 1 upon 1 minus alpha minus epsilon 1, and my lambda 2 is going to be equal to alpha epsilon 2 1 minus alpha minus epsilon 2. After we get this the next step because finally our optimization is getting l i. So, next will be l 1 which is equal to lambda 1 upon 1 plus lambda one and then L 2 which is equal to lambda 2 upon 1 plus lambda 2. So, this is going to be the procedure, if you go to a three stage rocket we will have a third order polynomial, because there is of another polynomial will coming here, another term will coming here. If we have a 4 stage rocket, it will be a fourth order polynomial.

So, as the number of stages increases, the order of polynomial will increase and we have to solve them to get the final solution, but this is the procedure. Once we have this now l_1 and l_2 are estimated or λ_1 and λ_2 are estimated, we can go back to our expression for Δu , which is equal to $\sum_{i=1}^n \frac{1}{\lambda_i} + \lambda_i \epsilon_i$. So, in this equation now ϵ_i 's are known λ_i we are calculating from here. So, we can put them back into this equation equivalent velocities are known, we can estimate what is going to be our overall velocity increment. So, the final velocity will be getting from this, that is how this problem is to be solved. So, this was case two where the equivalent velocity was same for both the cases, where we allowed for the structural coefficient to change.

Let us look at our real more complex case. Now, we say that neither the structural coefficient is constant nor the equivalent velocity is constant every stage is on its own, it is varying all over the place and we have to find out what is the optimum value for the payload fraction that we need to have. So, for that again we do the similar optimization process as we have been doing so far.

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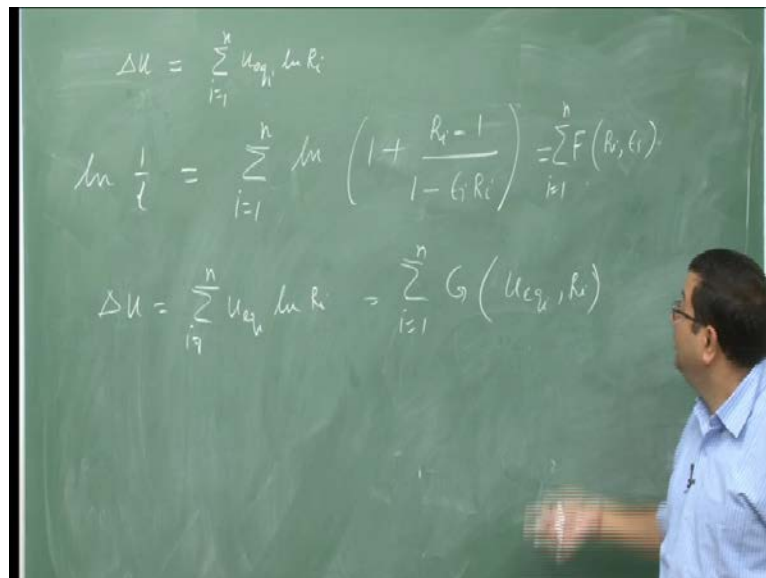


Let us look at the third case, case 3: Here what we are saying that both ϵ_i and both are varying, but known we know the value of ϵ_i and equivalent l_i ; both of them are known. Under such conditions then we want to find out what is going to be our final value. Now in order to do that I will go back to older equation, which we had derived

few lectures back, I will write this equation here that epsilon is equal to 1 upon R minus 1 divided by 1 minus l, and I had given this as the homework couple of lectures back, and from here only we derived that R is equal to 1 upon 1 1 minus epsilon plus epsilon. So, few lectures back, we had derived this expressions. Now, let us look at this equation here what we can say is that from here, I can get an expression for 1 upon l, and 1 upon l from here can be written as pi i equal to 1 to n 1 plus, sorry first lets me write l i 1 upon l i is equal to 1 plus R i minus 1 upon 1 minus epsilon i R i. We can do that here very simply let take it to this side and explained it.

So from this equations we can get this expression that one upon l i equal to 1 plus R i minus 1 upon 1 minus epsilon i R i. If that is the case then the product of all this is equal to 1 upon l is the overall payload fraction is nothing but product of 1 upon l i and this is equal to then equal to i equal to 1 to n 1 plus R i minus 1 upon 1 minus epsilon i R i. Now, this is our new constrain essentially the constrain is same, but now we are writing it in little different form, because our epsilon i is varying our I s p is varying everything is varying. So, we are trying to write it in a little different form.

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The image shows a chalkboard with three equations written in white chalk. A lecturer in a light blue shirt is visible on the right side, pointing towards the board. The equations are:

$$\Delta U = \sum_{i=1}^n u_{eq_i} \ln R_i$$

$$\ln \frac{1}{l} = \sum_{i=1}^n \ln \left(1 + \frac{R_i - 1}{1 - \epsilon_i R_i} \right) = \sum_{i=1}^n F(R_i, \epsilon_i)$$

$$\Delta U = \sum_{i=1}^n u_{eq_i} \ln R_i = \sum_{i=1}^n G(u_{eq_i}, R_i)$$

So, now let us go back to our overall velocity expression. So, this expression is equal to now we will operated little differently, we had talked about two cases right; one case was either we maximize payload fraction for a given delta u, other case was we minimize the payload fraction for the maximize the velocity for the given payload fraction which we

have been doing so far. The same equivalent case is we maximize the payload fraction for a given Δu . So, that is let us look at this one. Here ideally what we want to do is we want to minimize the payload ratio right, payload ratio want to minimize. So, let me illustrate it, l is equal to $m l$ upon m naught. What is our ultimate goal? To put this into our width with as little m naught as possible right, now l by l is equal to m naught upon l right. So, here we want to minimize this which means that we want to maximize l , and if you want to maximize l we want to minimize l by l sorry, we want yeah we want to minimize l by l . So, this is something that we want to minimize, and now while l by l is given in this equation like this. So, we want to minimize this, and what was our constrain that we want to have the same velocity, right.

So, now what we are doing we have shown equivalence of two statements; the first two cases we operated with the case condition that our l is given you maximize Δu . Now, we are taking the other equivalent, what we are saying is that our Δu is given we want to minimize maximize the payload ration or payload fraction by doing by doing that we have to minimize l by l . So, then now this becomes our objective, minimizing this becomes our objective, and this becomes our constrain. So, then we can lets go back to this equation and we can write l n of l by l , which is this equation when we take the natural logarithm of this, then this becomes summative, the product becomes summative. So, this is equal to then $\sum_{i=1}^n l_n 1 + R_i - 1$ upon $1 - \epsilon_i R_i$. And as we can see here this is a essentially something like a function of R_i in ϵ_i right. So, this is the function of R_i in ϵ_i . This is the function we want to minimize. So, in order to do that now we now but this minimization has to be done with a constrain.

So, what is our constrain this Δu . So, that Δu value is given as $\sum_{i=1}^n$ equivalent $i l_n R_i$. So, we can write this then as $\sum_{i=1}^n$ a function of equivalent $i R_i$, it is independent on structural coefficient, but it depends on equivalent. So, now this is my constrain, this is my objective function we see that the objective function is a function of R_i in structural coefficient, the constrain is a function of R_i and the specific impulse. So, therefore if I now form combining this two our modified objective function the combinative will be in R_i .

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$$\frac{1}{l} = \prod_{i=1}^n \left(1 + \frac{R_i - 1}{1 - G_i R_i} \right)$$

$$\Delta U = \sum_{i=1}^n U_{eq,i} \ln R_i = \sum_{i=1}^n G_i \left(\frac{U_{eq,i}}{R_i} \right)$$

$$\ln \frac{1}{l} = \sum_{i=1}^n \ln \left(1 + \frac{R_i - 1}{1 - G_i R_i} \right)$$

$F(R_i)$

So, now in the present case what we are trying to do is we are trying to minimize this function which is essentially the inverse of payload ratio, payload mass fraction which is given by this expression with the constrain that our velocity is fixed. So, now this becomes the velocity becomes the constrain, and we have different values of i s p for different stages. So, with this then this term is our yeah. So, what we do what we have done is we have taken log of this term then this becomes $\ln \left(1 + \frac{R_i - 1}{1 - G_i R_i} \right)$ divided by ϵ_i by R_i .

So, this becomes now our $f(R_i)$ this is also a function of ϵ_i , but what we have said is that the ϵ_i is not a variable. We know the structural coefficient for every stage. So, for a given stage this is constant, and then now this is our constrain and which we are working on. So, this is nothing but we can write it as, once again here also G is the function of equivalent velocity of that stage as R_i , but once again we are saying that equivalent velocity is known for every stage i s p is known for every stage. So, therefore it is function only of R_i .

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$$L(R_i) = F(R_i) + \alpha G(R_i)$$

$$\frac{\partial L(R_i)}{\partial R_i} = \frac{\partial}{\partial R_i} \ln\left(\frac{1+R_i-1}{1-\epsilon_i R_i}\right) + \alpha \frac{\partial (U_{eq_i} \ln R_i)}{\partial R_i} = 0$$

HW \rightarrow differentiate & rearrange

$$R_i = \frac{\alpha U_{eq_i} + 1}{\alpha \epsilon_i U_{eq_i}} = \frac{\alpha I_{sp_i} g_e + 1}{\alpha \epsilon_i I_{sp_i} g_e}$$

$$R_i = \frac{1}{\epsilon_i(1-\epsilon_i) + \epsilon_i}$$

Now, we define our new objective function as $L(R_i)$ which is $F(R_i)$ plus $\alpha G(R_i)$. So, now this is our new objective modified objective function. So, we would like to minimize this. Remember in the previous cases we maximized, but now we want to minimize it because it is 1 by 1. So, then for the minima, first let me write this as equal to... So, what we are doing is the variable that we have is R_i . So, this is $L(R_i)$ my F is equal to what is F ? F is this term.

So, this is equal to $\ln\left(\frac{1+R_i-1}{1-\epsilon_i R_i}\right)$ plus α which is the Lagrange's multiplier and $\frac{\partial}{\partial R_i} G(R_i)$; G is nothing but this term equivalent $\ln R_i$. So, this is equal to equivalent $\ln R_i$, and then we put for the minima this is equal to 0. So, we put this equal to 0, after differentiating this and rearranging. So, again I will give this as a homework, differentiate and rearrange do them as yourself what you will get is R_i is equal to α equivalent i plus 1 divided by α epsilon i equivalent i .

So after rearranging we get R_i as this. Now, we replace equivalent i by $I_{sp_i} G$ right, because we know that equivalent velocity is function of the specific impulse for each individual stages. So, this can be then written as $\alpha I_{sp_i} g_e + 1$ divided by $\alpha \epsilon_i I_{sp_i} g_e$. So, this is the expression for αR_i . Now, we need to solve for α for that we need to get R_i , and how do you get an expression for R_i we have remember this constrain always. So, like to now relate this to this the L_i will that relate

R_i to l_i . So, we know that R_i is equal to $1 - \epsilon_i + \epsilon_i$ this we had proved, therefore if I replace in this expression $L_i R_i$ by this and then simplify it we can get an expression for l_i in terms of the other parameters. So, let me write the expression for l_i .

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$$l_i = \frac{\epsilon_i}{(\epsilon_i - 1)(\alpha \bar{I}_p q_i + 1)}$$

$$\& \quad L = \prod_{i=1}^n l_i = \prod_{i=1}^n \frac{\epsilon_i}{(\epsilon_i - 1)(\alpha \bar{I}_p q_i + 1)}$$

$$\underline{\beta = -\alpha}$$

$$\Rightarrow L = \prod_{i=1}^n \frac{\epsilon_i}{(\epsilon_i - 1)(1 - \beta \bar{I}_p q_i)}$$

$$= \prod_{i=1}^n \frac{\epsilon_i}{(1 - \epsilon_i)(\beta \bar{I}_p q_i - 1)}$$

So, l_i equal to... So, what I am doing here is in this equation, we can take l_i here and R_i to that place right, and then for R_i we can put this expression, and then do the rearrangement. Finally, we get l_i equal to ϵ_i divided by $\epsilon_i - 1 + \alpha \bar{I}_p q_i + 1$. And now we have our L ; L is equal to $\prod_{i=1}^n l_i$ therefore, L is equal to $\prod_{i=1}^n \frac{\epsilon_i}{\epsilon_i - 1 + \alpha \bar{I}_p q_i + 1}$. We will do little more simplification here, we are operating with α let us define a new variable β , which is equal to $-\alpha$ therefore, we can write L is equal to $\prod_{i=1}^n \frac{\epsilon_i}{\epsilon_i - 1 - \beta \bar{I}_p q_i}$, we can write it like this. And then what we will do is because ϵ_i we know is less than 1. So, we can make it different, we can change this to ϵ_i divided by $1 - \epsilon_i - \beta \bar{I}_p q_i$. Now notice one thing here that we have got now an expression for L which includes this factor β .

Now, for the solution what we do is? This is the optimum distribution. So, in order to get the optimum thing first we have to get this parameter α right, we have to solve actually for l_i for that what we do is since we know L , we use this equation, this is the

optimum equation optimized equation which includes the objective function as well as the constrain. Solving this equation for the given l , once we expand it since epsilon values are known I s p values are known we get a polynomial in β . We solve for this β we get the value of β which is equal to minus α , once we have this α now we can put it back into this equation, and get different values of l i. Once we have the l i we can calculate then R i using this equation. Once we have R i we can go back to the expression for the velocity increment and estimate the velocity increment. So, this is the procedure that we need to follow. So, we will stop this lecture here and in the next lecture we will essentially continue with this discussion little more, and after that we take another cases and look at the optimization little more.

Thank you.